



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY  
WASHINGTON, D.C. 20460

OFFICE OF CHEMICAL SAFETY AND  
POLLUTION PREVENTION

**MEMORANDUM**

**Date:** 7/18/14

**SUBJECT: Fluopyram: Response to a request for cancer re-classification of fluopyram  
and review of the additional mechanistic studies**

**PC Code:** 080302

**Decision No.:** 479266

**Petition No.:** NA

**Risk Assessment Type:** NA

**TXR No.:** 0056908

**MRID:** 49005901 to 49005911

*(11 studies; 49005903 & 49005911 are  
presented in the same review).*

**DP Barcode:** D414301

**Registration No.:** NA

**Regulatory Action:** Cancer Re-classification

**Case No.:** NA

**CAS Nos.:** 658066-35-4

**40 CFR:** 180.661

**FROM:** Whang Phang, Toxicologist  
Risk Assessment Branch III (RAB3)  
Health Effects Division (HED) (7509P)  
Office of Pesticide Programs (OPP)

**THRU:** Christine Olinger, Branch Chief  
Risk Assessment Branch III  
HED/OPP (7509P)

**TO:** Marcel Howard, Risk Manager Reviewer  
Registration Division (7505P)

The registrant, Bayer Crop Science, submitted additional studies on the mode of action on tumor formation for fluopyram. These studies had gone through the global review (Canada and US); the data evaluation report (DER) for each study is attached. It should be noted the reviews of studies with MRID 49005903 & 49005911 are presented in the same DER because these two studies are closely related.

The data of these studies were presented to the HED Cancer Assessment Review Committee (CARC). The Committee analyzed all the relevant data on fluopyram and classified fluopyram as **"Not Likely to be Carcinogenic to Humans"** at doses that do not induce cellular proliferation in the liver or thyroid glands. This classification was based on convincing evidence that non-

genotoxic modes of action for liver tumors in rats and thyroid tumors in mice have been established and that the carcinogenic effects have been demonstrated as a result of a mode of action dependent on activation of the constitutive androstane receptor/Pregnane X receptor (CAR/PXR receptors).

The CARC has determined that quantification of risk is not required. There is sufficient data to ascertain the mode of action of fluopyram. The chronic Reference Dose (RfD) is derived using the NOAEL of 1.2 mg/kg/day as the “point of departure” which is below the dose of 11 mg/kg/day that caused cell proliferation in the liver (i.e., a key event in tumor formation) and the subsequent liver tumors at a higher dose (89 mg/kg/day). Additionally, there is no concern for mutagenicity. The CARC report (TXR No. 0056961; R. Bever Jr., May 8, 2014) is attached.



**Reviewer #** 1058

**Date** August 7, 2013

**Study Type:** Short-term oral (3-day) mechanistic study in mice, gavage and non-guideline

**Test Material (purity):** Fluopyram (94.7% a.i.)

**Synonyms:** AE C656948

**Citation:** PMRA 2310337. Fluopyram Mechanistic 3-Day Toxicity Study in the Mouse by Oral Gavage (Thyroid Hormone Investigations). Bayer S.A.S. Laboratory report number SA 10430. Study report date: 09-March-2012. Applicant Report Number Lynx-PSI N°TXGMP167. MRID: 49005901.

**Sponsor:** Bayer CropScience AG

**MRID:** 49005901

**Executive Summary:** In a short term toxicity study (MRID: 49005901), fluopyram (94.7% a.i.) was administered to 15 male C57BL/6J mice/dose/time point by gavage in 0.5% aqueous methylcellulose at dose levels of 0, 100 or 300 mg/kg bw/day for 3 days. Groups of animals were sacrificed precisely 2, 8, 14 or 48 hours after the last gavage administration.

There were no compound related effects on mortality or clinical signs. Plasma T4 levels were significantly decreased in treated animals at all time points with no obvious time-related trends. Plasma TSH levels were comparable to controls.

This short-term non-guideline mechanistic study in the mouse is acceptable.

**Compliance:** Signed and dated GLP, Quality Assurance, and Data Confidentiality statements were provided.

## I. Materials and Methods

### A. Materials

<b>Test material:</b>	Fluopyram	
<b>Description:</b>	Light beige solid, stored in an air-tight, light-resistant container at room temperature	
<b>Lot/Batch #:</b>	08528/0002	
<b>Purity:</b>	94.7% a.i.	
<b>CAS #:</b>	Not provided	
<b>Vehicle:</b>	0.5% aqueous methylcellulose	
<b>Positive control:</b>	None	
<b>Test species:</b>	Mouse	
<b>Strain:</b>	C57BL/6J	
<b>Age at dosing:</b>	Approximately 8 weeks	
<b>Weight at dosing:</b>	19.2-25.2 g ♀	
<b>Source:</b>	Charles River, France	
<b>Housing:</b>	Suspended, stainless steel wire mesh cages	
<b>Diet:</b>	Certified rodent pelleted and irradiated diet A04C-10, SAFE, France <i>ad libitum</i>	
<b>Water:</b>	Filtered and softened municipal <i>ad libitum</i>	
<b>Environmental conditions:</b>	<b>Temperature:</b>	20-24°C
	<b>Humidity:</b>	40-70%
	<b>Air changes:</b>	10-15/hr
	<b>Photoperiod:</b>	12 hours dark/12 hours light
<b>Acclimation:</b>	5 days	

### B. Study Design and Methods

1. Study experimentation dates - Start: January 5, 2011 End: January 20, 2011

2. Animal assignment - Animals were assigned randomly to the test groups noted in Table 1.

**Table 1.** Study design

Dose (mg/kg bw/d) and sacrifice time	Number of animals	Dose (mg/kg bw/d) and sacrifice time	Number of animals
0, 2h	15	0, 14h	15
100, 2h	15	100, 14h	15
300, 2h	15	300, 14h	15
0, 8h	15	0, 48h	15
100, 8h	15	100, 48h	15
300, 8h	15	300, 48h	15

3. Test substance preparation – The test material was suspended in a 0.5% aqueous solution of methylcellulose and stored at 4°C.

**4. Statistics** – Statistical tests were performed on hormonal parameters. The statistical protocol consisted of a Bartlett test to compare homogeneity of group variances followed by ANOVA and Dunnett tests or Kruskal-Wallis and Dunn tests.

This reviewer agrees with the statistical methods used.

## C. Methods

**1. Observations** – Animals were inspected at least once daily for signs of toxicity and mortality.

**2. Body weight** – Animals were weighed pre-test and on study day 1 for group assignment purposes only.

**3. Food consumption and compound intake** – Food consumption was not evaluated.

**4. Ophthalmoscopic examination** – Eyes were not examined.

**5. Hormone measurements** – Blood was collected for analysis the morning of the day of sacrifice, precisely 2, 8, 14 and 48 hours following the last dose. Plasma was prepared from each blood sample and tested for TSH and T4 levels by specific radio-immunoassay.

**6. Urinalysis** – Urine was not collected for analysis.

**7. Sacrifice and pathology** – No observations were made.

## II. Results

### A. Observations:

**1. Clinical signs of toxicity** – There were no treatment-related clinical signs in the fluopyram or phenobarbital groups.

**2. Mortality** – There were no mortalities during this study.

**B. Body Weight** – Measurements of body weight were only taken for group assignment purposes. Body weight distribution among the groups was acceptable.

**C. Compound Intake** – See compound intake in table 1.

**D. Hormone Analysis** – Plasma T4 levels were significantly reduced in both treatment groups at all time points. Plasma TSH levels were comparable between all groups.

**Table 2.** Mean plasma T4 values (nmol/L) following 3 days of treatment, by sacrifice time (h)

mg/kg bw/d	0 n = 15	100 n = 15	300 n = 15
2	31.5 ± 9.1	22.8 ± 6.1**	24.0 ± 6.3**
8	38.2 ± 7.0	28.8 ± 5.4**	22.4 ± 4.1**
14	25.5 ± 5.3	20.9 ± 4.5**	18.6 ± 4.3**
48	34.5 ± 8.5	25.4 ± 6.1**	24.1 ± 4.4**

<sup>a</sup> Data obtained from page 16 in the study report

\* Significantly different from control, p<0.05

\*\* Significantly different from control, p<0.01

**Table 3.** Mean plasma TSH values (ng/mL) following 3 days of treatment, by sacrifice time (h)

mg/kg bw/d	0 n = 15	100 n = 15	300 n = 15
2	2.7 ± 0.5	2.6 ± 0.5	2.7 ± 0.5
8	2.8 ± 0.6	3.0 ± 0.5	3.1 ± 0.7
14	3.1 ± 0.4	3.1 ± 0.7	3.1 ± 0.5
48	3.1 ± 0.6	3.2 ± 0.5	3.2 ± 0.6

<sup>a</sup> Data obtained from page 16 in the study report

\* Significantly different from control, p<0.05

\*\* Significantly different from control, p<0.01

### III. Discussion

**A. Investigator's Conclusions** – “This study demonstrates that fluopyram administration to C57BL/6J male mice by oral gavage for three days at concentrations similar to or above the top dose level administered in the mouse cancer bioassay induced a statistically significant decrease in plasma T4 levels at all the time points examined. However, no change in plasma TSH level was detected in this short term assay.”

**B. Reviewer's Conclusions** –The study author's conclusions are valid.

**C. Deficiencies** – There were no significant deficiencies.



Reviewer # 1058

Date July 31, 2013

**Study Type:** Short-term oral (28-day) mechanistic study in rats, dietary and non-guideline

**Test Material (purity):** Fluopyram (94.7% a.i.)

**Synonyms:** None

**Citation:** PMRA 2310341. Fluopyram Mechanistic Investigations in the Liver of Female Rats Following Dietary Administration. Bayer S.A.S. Laboratory report number SA 11104. Study report date: 16-March-2012. Applicant Report Number Lynx-PSI N°TXGMP151. MRID: 49005902

**Sponsor:** Bayer CropScience AG

**MRID:** 49005902

**Executive Summary:** In a 28-day mechanistic study (MRID: 49005902), fluopyram (94.7% a.i.) was administered to 30 female Wistar rats/dose in diet at dose levels of 0, 30, 75, 150, 600 or 1500 ppm (0, 2.2, 5.6, 11.3, 44.5, or 111.4 mg/kg bw/day) for 28 days. A one month recovery period in control and high dose animals assessed reversibility of effects. Phenobarbital was used as a positive control at 80 mg/kg bw/day.

There was no mortality during the study. There were no treatment-related clinical signs in the fluopyram groups. The phenobarbital group had reduced motor activity starting at week 2. Three females had ocular discharge and/or lacrimation, also starting at week 2. Body weight gain was decreased at  $\geq 44.5$  mg/kg bw/day fluopyram at 29 days. This effect was reversible during the recovery phase. There was a reduction in body weight gain in the phenobarbital group during the first week of recovery, but no effect during treatment. High dose fluopyram rats had reduced food consumption during the last two weeks of treatment. This effect was reversible during the recovery phase. The phenobarbital treated rats had increased food consumption during treatment followed by a drastic decrease during the first week of recovery before returning to control values by the end of recovery.

Absolute and relative liver weights were increased at  $\geq 44.5$  mg/kg bw/day fluopyram and in the phenobarbital-treated group. Following the recovery phase, liver weights were nearly identical between control, high dose fluopyram- and phenobarbital-treated rats. Visibly enlarged livers were seen starting at 5.6 mg/kg bw/day with red foci and prominent lobulation being recorded at

higher dose levels. Hepatocellular hypertrophy was observed starting at 44.5 mg/kg bw/day.

After 28 days of treatment, mean cell proliferation was increased in the fluopyram treated groups starting at 5.6 mg/kg bw/day and in the phenobarbital group. Enzyme activity was increased in fluopyram- and phenobarbital-treated rats. These activity levels showed partial reversibility following recovery.

Gene transcript expression was increased for both fluopyram- and phenobarbital-treated rats, though there were notable differences in expression between compounds. Partial reversibility was evident after a month without treatment.

This short-term non-guideline mechanistic study in the rat is acceptable.

**Compliance:** Signed and dated GLP, Quality Assurance, and Data Confidentiality statements were provided.



## I. Materials and Methods

### A. Materials

<b>Test material:</b>	Fluopyram	
<b>Description:</b>	Light beige solid, stored in an air-tight, light-resistant container at room temperature	
<b>Lot/Batch #:</b>	C656948-02-01, mix 08528/0002	
<b>Purity:</b>	94.7% a.i.	
<b>CAS #:</b>	Not provided	
<b>Vehicle:</b>	Diet	
<b>Positive control:</b>	Phenobarbital, 99% in a 0.5% aqueous solution of methylcellulose 400	
<b>Test species:</b>	Rat	
<b>Strain:</b>	Wistar Rj:WI (IOPS HAN)	
<b>Age at dosing:</b>	Approximately 10 weeks	
<b>Weight at dosing:</b>	215-261 g ♀	
<b>Source:</b>	R. Janvier, France	
<b>Housing:</b>	Suspended, stainless steel wire mesh cages	
<b>Diet:</b>	Certified rodent pelleted and irradiated diet A04C-10, SAFE, France <i>ad libitum</i>	
<b>Water:</b>	Filtered and softened municipal <i>ad libitum</i>	
<b>Environmental conditions:</b>	<b>Temperature:</b>	20-24°C
	<b>Humidity:</b>	40-70%
	<b>Air changes:</b>	10-15/hr
	<b>Photoperiod:</b>	12 hours dark/12 hours light
<b>Acclimation:</b>	At least 5 days	

### B. Study Design and Methods

1. Study experimentation dates - Start: May 10, 2011 End: July 10, 2011

2. Animal assignment - Animals were assigned randomly to the test groups noted in Table 1.

**Table 1.** Study design

Dose (mg/kg bw/d)	Concentration in diet (ppm)	Number of animals, 28 d	Number of animals, recovery
0	0	15	15
2.2	30	15	0
5.6	75	15	0
11.3	150	15	0
44.5	600	15	0
111.4	1500	15	15
80 (phenobarbital)	n/a	15	15

**3. Diet preparation and analysis** - Diet was prepared twice by mixing appropriate amounts of test substance with the test diet and was stored at room temperature. Homogeneity was verified at the lowest and highest concentrations. Those samples were also used for concentration analysis. The concentration analysis at the other dose levels was assessed at the time of preparation. The stability was tested in a previous study and was found to be acceptable.

**Results - Homogeneity Analysis:** Less than 10% of nominal variance within samples

**Stability Analysis:** From study SA 03332, stable in diet up to 105 days at room temperature

**Concentration Analysis:** 91-109%

The analytical data indicated that the mixing procedure was adequate and that the variance between nominal and actual dosage to the animals was acceptable.

**4. Statistics** – For body weight, organ weight, food consumption, total cytochrome P450 content and liver enzyme activities and gene transcript analyses, the Bartlett test was performed to compare homogeneity of group variances. These results were then compared by ANOVA and Dunnett tests or Kruskal-Wallis and Dunn tests.

For cell proliferation analyses, a Levene test was performed followed by a Dunnett or a Dunn test.

For the phenobarbital treated group, F tests and t-tests were used for all parameters except cell proliferation which was also analyzed using the exact Mann-Whitney test.

This reviewer agrees with the statistical methods used.

## C. Methods

**1. Observations** – Animals were inspected at least once daily for signs of toxicity and mortality.

**2. Body weight** – Animals were weighed on study day 1 and weekly thereafter.

**3. Food consumption and compound intake** – Food consumption for each animal was determined and mean daily diet consumption was calculated as g food/kg body weight/day. Compound intake (mg/kg bw/day) values were calculated as time-weighted averages from the consumption and body weight gain data.

**4. Ophthalmoscopic examination** – Eyes were not examined.

**5. Hematology and clinical chemistry** – Blood was not collected for analysis.

**6. Urinalysis** – Urine was not collected for analysis.

**7. Sacrifice and pathology** – All animals that died and those sacrificed on schedule (either day 30 of treatment or day 29 of recovery) were subjected to gross pathological examination. The external surfaces, all orifices and all major organs, tissues and body cavities were examined. Macroscopic abnormalities were recorded. The brain and liver were weighed. The liver, duodenum and any macroscopic findings were kept for further potential study. The liver samples were flash frozen for qPCR investigations. Liver and duodenum samples were preserved in paraffin wax for histopathological examination, subjected to Ki 67 staining for cell proliferation measurements and homogenized for microsomal preparations to determine total cytochrome P-450 content, specific cytochrome P-450 enzyme activities and UDPGT specific isoenzyme profiles.

## II. Results

### A. Observations:

**1. Clinical signs of toxicity** – There were no treatment-related clinical signs in the fluopyram groups. The phenobarbital group had reduced motor activity starting at week 2. Three females had ocular discharge and/or lacrimation, also starting at week 2.

**2. Mortality** – There were no mortalities during this study.

**B. Body Weight** – Body weight gain was decreased in the two top fluopyram dose groups at 29 days. This effect was reversible during the recovery phase. There was a reduction in body weight gain in the phenobarbital group during the first week of recovery, but no effect during treatment.

**Table 2.** Mean body weights (g) and body weight gains (g) following 28 days of treatment plus recovery (%C)

mg/kg bw/d	0 n = 30-15	2.2 n = 15	5.6 n = 15	11.3 n = 15	44.5 n = 15	111.4 n = 30-15	80 PB n = 30-15
<b>Dosing for 28 days</b>							
<b>BW Day 1</b>	238 ± 11	238 ± 9	239 ± 10	239 ± 12	237 ± 10	236 ± 10	237 ± 10
<b>BW Day 8</b>	252 ± 13	251 ± 9	252 ± 14	251 ± 15	252 ± 11	248 ± 14	250 ± 11
<b>BW Day 29</b>	278 ± 16	270 ± 9	280 ± 17	281 ± 23	271 ± 14	267 ± 15	276 ± 18
<b>BWG 1-8</b>	14 ± 7	13 ± 5	14 ± 8	12 ± 6	15 ± 10	11 ± 8	13 ± 7
<b>BWG 1-29</b>	39 ± 10	33 ± 5	41 ± 11	41 ± 14	34 ± 12 (↓13)	31 ± 12 (↓21)	39 ± 14
<b>Recovery phase</b>							

<b>BW Day 1</b>	278 ± 16	-	-	-	-	266 ± 16	279 ± 17
<b>BW Day 8</b>	286 ± 15	-	-	-	-	274 ± 16*	277 ± 15
<b>BW Day 28</b>	301 ± 15	-	-	-	-	295 ± 23	304 ± 20
<b>BWG 1-8</b>	8 ± 5	-	-	-	-	8 ± 7	-2 ± 12**
<b>BWG 1-28</b>	23 ± 8	-	-	-	-	29 ± 13	24 ± 17

<sup>a</sup> Data obtained from pages 70-94 in the study report

\* Significantly different from control, p<0.05

\*\* Significantly different from control, p<0.01

BW body weight, BWG body weight gain

## C. Food Consumption and Compound Intake

**1. Food consumption** – There was a treatment-related decrease in high dose fluopyram rats during the last two weeks of treatment. This effect was reversible during the recovery phase. The phenobarbital treated rats had increased food consumption during treatment followed by a drastic decrease during the first week of recovery before returning to control values by the end of recovery.

**Table 3.** Mean body weights (g) and body weight gains (g) following 28 days of treatment plus recovery (%C)

mg/kg bw/d	0 n = 30-15	2.2 n = 15	5.6 n = 15	11.3 n = 15	44.5 n = 15	111.4 n = 30-15	80 PB n = 30-15
<b>Dosing for 28 days</b>							
<b>FC Day 8</b>	19.6 ± 1.5	18.9 ± 1.0	19.3 ± 1.4	19.1 ± 2.0	19.0 ± 1.7	19.0 ± 1.9	20.7 ± 2.0* (↑6)
<b>FC Day 29</b>	20.5 ± 1.8	19.7 ± 1.3	20.4 ± 1.5	20.1 ± 1.9	19.5 ± 1.2	18.8 ± 1.6** (↓8)	21.8 ± 1.9* (↑6)
<b>Recovery phase</b>							
<b>FC Day 8</b>	20.4 ± 1.5	-	-	-	-	19.5 ± 1.7	14.6 ± 3.0** (↓28)
<b>FC Day 28</b>	19.5 ± 1.7	-	-	-	-	19.4 ± 2.2	19.7 ± 2.8

<sup>a</sup> Data obtained from pages 95-103 in the study report

\* Significantly different from control, p<0.05

\*\* Significantly different from control, p<0.01

BW body weight, BWG body weight gain

**2. Compound consumption** – See compound intake in table 1.

## D. Sacrifice and Pathology

**1. Organ weight** – Absolute and relative liver weights were increased in the top two fluopyram groups and in the phenobarbital-treated group at first sacrifice. The 11.3 mg/kg bw/day group

only had increased relative liver weight. Following the recovery phase, liver weights were nearly identical between control, high dose fluopyram- and phenobarbital-treated rats.

**Table 4.** Mean liver weights (g) following 28 days of treatment plus recovery

mg/kg bw/d	0 n = 15	2.2 n = 15	5.6 n = 15	11.3 n = 15	44.5 n = 15	111.4 n = 15	80 PB n = 15
<b>Dosing for 28 days</b>							
<b>Body weight</b>	257.8 ± 14.9	251.9 ± 10.1	260.4 ± 15.0	259.9 ± 21.6	252.0 ± 12.3	249.9 ± 13.7	254.8 ± 14.9
<b>Abs. liver</b>	6.14 ± 0.53	3.10 ± 0.26	6.48 ± 0.46	6.64 ± 0.77	6.78 ± 0.49*	7.90 ± 0.53**	7.48 ± 1.01**
<b>Rel. liver</b>	2.38 ± 0.16	2.42 ± 0.11	2.49 ± 0.13	2.55 ± 0.11**	2.69 ± 0.15**	3.16 ± 0.14**	2.94 ± 0.35**
<b>Recovery phase</b>							
<b>Body weight</b>	280.9 ± 15.1	-	-	-	-	273.5 ± 20.2	283.1 ± 16.4
<b>Abs. liver</b>	6.52 ± 0.56	-	-	-	-	6.54 ± 0.67	6.46 ± 0.66
<b>Rel. liver</b>	2.32 ± 0.15	-	-	-	-	2.39 ± 0.12	2.28 ± 0.14

<sup>a</sup> Data obtained from pages 108-114 in the study report

\* Significantly different from control, p<0.05

\*\* Significantly different from control, p<0.01

**2. Gross pathology** – Visibly enlarged livers were seen starting at 5.6 mg/kg bw/day. Low incidences of red foci were observed in the two highest dose groups and with phenobarbital. Prominent lobulation was present at 111.4 mg/kg bw/day fluopyram and with phenobarbital. The finding of enlarged livers appears to be reversible. The low incidences of the other two findings make reversibility assessments difficult.

**Table 5.** Selected gross liver pathology observations (%C)

mg/kg bw/d	0 n = 15	2.2 n = 15	5.6 n = 15	11.3 n = 15	44.5 n = 15	111.4 n = 15	80 PB n = 15
<b>Dosing for 28 days</b>							
<b>Enlarged</b>	0	0	3	5	4	14	10
<b>Prominent lobulation</b>	0	0	0	0	0	2	2
<b>Red foci</b>	0	0	0	0	2	1	1
<b>Recovery phase</b>							
<b>Enlarged</b>	0	-	-	-	-	0	1
<b>Red foci</b>	0	-	-	-	-	1	0

<sup>a</sup> Data obtained from pages 116-123 in the study report

\* Significantly different from control, p<0.05

\*\* Significantly different from control,  $p < 0.01$   
BW body weight, BWG body weight gain

**3. Microscopic pathology** – After 28 days of treatment, minimal to moderate centrilobular to panlobular hepatocellular hypertrophy was found in the highest two fluopyram dose groups and in phenobarbital treated rats. An increase in mitosis in hepatocytes was observed in a single phenobarbital treated rat at that same time. There were no effects noted after the recovery phase.

**Table 6.** Incidence of histopathological findings following 28 days of treatment plus recovery

mg/kg bw/d	0 n = 15	2.2 n = 15	5.6 n = 15	11.3 n = 15	44.5 n = 15	111.4 n = 15	80 PB n = 15
Dosing for 28 days							
Hepato. hypertrophy	0	0	0	0	6	14	12
Incr. mitosis	0	0	0	0	0	0	1

<sup>a</sup> Data obtained from pages 125-138 in the study report

\* Significantly different from control,  $p < 0.05$

\*\* Significantly different from control,  $p < 0.01$

**4. Cellular proliferation** – Mean cell proliferation was increased in the fluopyram treated groups starting at 5.6 mg/kg bw/day and in the phenobarbital group after 28 days of treatment. In the phenobarbital group, unlike the fluopyram groups, the effect was more pronounced in the centrilobular rather than periportal regions.

**Table 7.** Mean cell proliferation indices following 28 days of treatment plus recovery

mg/kg bw/d	0 n = 15	2.2 n = 15	5.6 n = 15	11.3 n = 15	44.5 n = 15	111.4 n = 15	80 PB n = 15
Dosing for 28 days							
Centrilobular	4.93 ± 3.11	4.23 ± 2.42	7.23 ± 3.55*	10.16 ± 3.86**	10.14 ± 5.27**	15.54 ± 7.33*	21.46 ± 17.90**
Periportal	8.37 ± 4.75	7.62 ± 3.66	8.51 ± 3.86	12.51 ± 3.97	12.10 ± 8.36	22.80 ± 10.49	10.28 ± 7.24
Total	6.65 ± 3.19	5.93 ± 2.82	7.87 ± 2.65	11.33 ± 3.30**	11.12 ± 6.50**	19.17 ± 7.20**	15.87 ± 11.74**
Recovery phase							
Centrilobular	4.59 ± 2.44	-	-	-	-	8.30 ± 3.75**	6.91 ± 3.44*
Periportal	8.25 ± 4.60	-	-	-	-	11.12 ± 6.87	16.92 ± 9.83**
Total	6.42 ± 3.29	-	-	-	-	9.71 ± 4.77*	11.92 ± 6.47**

<sup>a</sup> Data obtained from page 36 in the study report

\* Significantly different from control,  $p < 0.05$

\*\* Significantly different from control,  $p < 0.01$

**5. Enzyme activity assessments** – There was a non-statistically significant increase in total P450 in the high dose group with fluopyram at 28 days, while the phenobarbital group did reach

statistical significance. All other enzymes evaluated were increased in fluopyram-treated rats starting at either 11.3 or 44.5 mg/kg bw/day. Except for EROD, the phenobarbital results were generally similarly increased, though the relative response was different among enzymes. All enzyme activity showed evidence of incomplete reversibility following the recovery phase.

**Table 8.** Mean content or enzyme activity following 28 days of treatment plus recovery

mg/kg bw/d	0 n = 15	2.2 n = 15	5.6 n = 15	11.3 n = 15	44.5 n = 15	111.4 n = 15	80 PB n = 15
<b>Dosing for 28 days</b>							
<b>Total P450</b>	0.88 ± 0.20	0.83 ± 0.06	0.85 ± 0.25	1.09 ± 0.48	0.92 ± 0.14	1.25 ± 0.13	1.33 ± 0.15**
<b>EROD</b>	33.64 ± 3.92	37.97 ± 3.73	36.23 ± 7.43	45.20 ± 2.95**	44.00 ± 3.91*	66.07 ± 6.13**	36.15 ± 6.12
<b>BROD</b>	1.61 ± 0.78	2.00 ± 0.42	2.32 ± 0.63	4.65 ± 1.11	14.69 ± 11.18**	62.93 ± 42.55**	140.72 ± 65.42**
<b>PROD</b>	4.07 ± 0.34	3.75 ± 0.70	5.18 ± 0.63	6.22 ± 0.47	7.61 ± 2.24*	19.36 ± 10.00**	34.34 ± 16.25*
<b>UDPGT-4-nitrophenol</b>	6.53 ± 1.21	5.38 ± 0.53	6.19 ± 0.86	7.07 ± 1.57	11.94 ± 1.49**	20.97 ± 1.71**	11.81 ± 1.27**
<b>UDPGT-bilirubin</b>	0.57 ± 0.07	0.62 ± 0.10	0.69 ± 0.13	0.90 ± 0.24**	1.22 ± 0.14**	1.58 ± 0.07**	0.79 ± 0.12*
<b>Recovery phase</b>							
<b>Total P450</b>	0.74 ± 0.11	-	-	-	-	0.76 ± 0.07	0.75 ± 0.09
<b>EROD</b>	36.48 ± 3.23	-	-	-	-	44.02 ± 5.82*	46.45 ± 3.58**
<b>BROD</b>	1.63 ± 0.35	-	-	-	-	2.39 ± 0.55*	1.39 ± 0.36
<b>PROD</b>	2.31 ± 0.61	-	-	-	-	3.56 ± 0.34**	4.45 ± 0.48**
<b>UDPGT-4-nitrophenol</b>	5.89 ± 0.54	-	-	-	-	5.95 ± 0.39	5.76 ± 0.51
<b>UDPGT-bilirubin</b>	0.42 ± 0.06	-	-	-	-	0.58 ± 0.10*	0.65 ± 0.11**

<sup>a</sup> Data obtained from pages 141-147 in the study report

\* Significantly different from control, p<0.05

\*\* Significantly different from control, p<0.01

**6. Gene transcript analysis** – Gene transcript expression was increased in nearly all cases, especially starting around 44.5 mg/kg bw/day of fluopyram. The phenobarbital results were often similar to those produced with fluopyram, though Cyp1a1, Cyp2b1 and Sult were notable exceptions. All affected parameters showed potential reversibility following the recovery phase, though in many cases, the reversal was incomplete following a month without treatment.

**Table 9.** Mean relative quantity following 28 days of treatment plus recovery

mg/kg bw/d	0 n = 15	2.2 n = 15	5.6 n = 15	11.3 n = 15	44.5 n = 15	111.4 n = 15	80 PB n = 15
<b>Dosing for 28 days</b>							
<b>POR</b>	0.78 ± 0.34	0.70 ± 0.29	0.67 ± 0.28	0.77 ± 0.27	0.81 ± 0.24	0.89 ± 0.30	0.60 ± 0.25
<b>Cyp1a1</b>	1.06 ± 0.70	1.87 ± 1.25	2.43 ± 1.48	8.60 ± 7.02**	107 ± 28.0**	375 ± 130**	0.80 ± 0.40
<b>Cyp2b1</b>	1.26 ± 1.15	3.38 ± 7.17	2.09 ± 1.91	13.7 ± 10.6**	268 ± 193**	1345 ± 1518**	2930 ± 2578**
<b>Cyp3a3</b>	1.66 ± 0.65	3.01 ± 1.34**	6.18 ± 2.66**	8.72 ± 2.69**	28.4 ± 7.22**	83.7 ± 27.0**	54.2 ± 43.4**
<b>Cyp4a1</b>	0.78 ± 0.30	0.65 ± 0.25	0.69 ± 0.20	0.77 ± 0.16	0.63 ± 0.18	0.553 ± 0.08	0.41 ± 0.08**
<b>Gsta</b>	3.62 ± 2.63	3.76 ± 2.19	3.43 ± 2.34	3.28 ± 2.37	5.54 ± 2.54*	10.8 ± 6.21	6.33 ± 4.30*
<b>Gstm</b>	0.56 ± 0.39	0.60 ± 0.36	0.92 ± 0.62	1.32 ± 0.48**	3.42 ± 2.82**	7.78 ± 6.64**	13.8 ± 11.4**
<b>Udpg</b>	0.90 ± 0.40	1.08 ± 0.40	1.04 ± 0.58	1.48 ± 0.51**	2.29 ± 0.92**	3.64 ± 1.51**	3.92 ± 2.67**
<b>Eph1</b>	1.06 ± 0.22	1.34 ± 0.60	1.18 ± 0.31	1.49 ± 0.35	2.29 ± 0.58**	4.49 ± 2.04**	3.67 ± 1.77**
<b>Eph2</b>	1.73 ± 0.64	1.67 ± 0.64	1.55 ± 0.74	1.51 ± 0.66	1.27 ± 0.54	0.98 ± 0.29**	0.95 ± 0.27**
<b>Tacs</b>	1.08 ± 0.31	1.30 ± 0.38	1.16 ± 0.23	1.35 ± 0.25	1.23 ± 0.28	1.35 ± 0.32	1.16 ± 0.42
<b>Gadd</b>	0.79 ± 0.23	0.85 ± 0.44	0.76 ± 0.20	0.75 ± 0.20	1.38 ± 0.49**	1.75 ± 0.89**	1.33 ± 0.59**
<b>RB1</b>	1.15 ± 0.26	1.14 ± 0.18	1.10 ± 0.19	1.21 ± 0.18	1.16 ± 0.18	1.16 ± 0.23	1.28 ± 0.23
<b>Sult</b>	1.08 ± 1.23	8.98 ± 20.2	0.66 ± 0.82	80.7 ± 207	20.0 ± 38.5	34.2 ± 88.8	223 ± 502*
<b>Recovery phase</b>							
<b>POR</b>	0.54 ± 0.24	-	-	-	-	0.62 ± 0.18	0.76 ± 0.23*
<b>Cyp1a1</b>	0.72 ± 0.23	-	-	-	-	1.32 ± 1.11	0.87 ± 0.45
<b>Cyp2b1</b>	0.30 ± 0.24	-	-	-	-	0.51 ± 0.36	0.62 ± 0.46**
<b>Cyp3a3</b>	2.67 ± 1.60	-	-	-	-	6.86 ± 3.75**	7.46 ± 5.02**
<b>Cyp4a1</b>	0.68 ± 0.28	-	-	-	-	0.76 ± 0.14	0.99 ± 0.29**
<b>Gsta</b>	1.55 ± 1.37	-	-	-	-	1.67 ± 1.12	1.98 ± 2.11
<b>Gstm</b>	0.74 ± 0.48	-	-	-	-	1.28 ± 0.95*	2.08 ± 1.22**
<b>Udpg</b>	0.83 ± 0.33	-	-	-	-	0.65 ± 0.25	0.69 ± 0.38
<b>Eph1</b>	0.80 ± 0.18	-	-	-	-	0.86 ± 0.31	0.97 ± 0.22*
<b>Eph2</b>	0.85 ± 0.26	-	-	-	-	1.11 ± 0.29*	1.03 ± 0.40
<b>Tacs</b>	1.20 ± 0.29	-	-	-	-	1.31 ± 0.27	1.20 ± 0.26
<b>Gadd</b>	1.38 ± 0.42	-	-	-	-	1.96 ± 0.57**	1.57 ± 0.41
<b>RB1</b>	1.10 ± 0.14	-	-	-	-	1.15 ± 0.16*	1.16 ± 0.22
<b>Sult</b>	4.93 ± 10.6	-	-	-	-	2.13 ± 2.39	8.95 ± 14.8

<sup>a</sup> Data obtained from pages 150-160 in the study report



\* Significantly different from control,  $p < 0.05$   
\*\* Significantly different from control,  $p < 0.01$

### III. Discussion

**A. Investigator's Conclusions** – “Overall, treatment with fluopyram for at least 28 days induced clear and statistically significant changes in the liver (cell proliferation, hypertrophy and enzyme activity as well as associated changes in gene expression). These changes were dose-related beginning from 75 ppm. The dose of 30 ppm was considered as a No Observed Adverse Effect Level (NOAEL; based on the increased gene expression of Cyp3a3, with no other correlated findings, at this dose level). The hepatic changes appeared to be reversible as evidenced by the reduced hepatic responses recorded in females previously treated with 1500 ppm fluopyram following the recovery period.”

**B. Reviewer's Conclusions** – The study author's conclusions are valid.

**C. Deficiencies** – There were no significant deficiencies.



Reviewer # 1058

Date August 7, 2013

**Study Type:** Short-term oral (28-day) mechanistic study in mice, diet and non-guideline

**Test Material (purity):** Fluopyram (94.7% a.i.)

**Synonyms:** AE C656948

**Citation:** PMRA 2310351. Fluopyram 28-Day Toxicity Study for Proliferation Assessment in the C57BL/6J Male Mouse. Bayer S.A.S. Laboratory report number SA 11123. Study report date: 20-March-2012. Applicant Report Number Lynx-PSI N°TXGMP165. MRID: 49005904

**Sponsor:** Bayer CropScience AG

**MRID:** 49005904

**Executive Summary:** In a 28-day mechanistic study (MRID: 49005904), fluopyram (94.7% a.i.) was administered to 15 male C57BL/6J mice/dose in diet at dose levels of 0 or 750 ppm (0 or 127 mg/kg bw/day) for 28 days. Phenobarbital, by gavage, in 0.5% aqueous methylcellulose 400 was used as a positive control at 80 mg/kg bw/day. Animals were sacrificed the morning after the end of treatment.

There were no treatment-related clinical signs in the fluopyram group. The phenobarbital group showed reduced motor activity during the dosing phase starting day 2. There were no mortalities during this study. There was a marginal effect on body weight with phenobarbital and no effect following with fluopyram. The phenobarbital group had large decreases in body weight gain throughout dosing. There was no effect on food consumption, but water consumption was slightly decreased in the treated groups.

Enlarged and dark livers were present in treated mice. Phenobarbital-treated mice also exhibited dark thyroids without microscopic correlates.

The BrdU labeling index was increased in the fluopyram group, but not the phenobarbital group.

This short-term non-guideline mechanistic study in the mouse is acceptable.

**Compliance:** Signed and dated GLP, Quality Assurance, and Data Confidentiality statements were provided.

## I. Materials and Methods

### A. Materials

<b>Test material:</b>	Fluopyram	
<b>Description:</b>	Light beige solid, stored in an air-tight, light-resistant container at room temperature	
<b>Lot/Batch #:</b>	08528/0002	
<b>Purity:</b>	94.7% a.i.	
<b>CAS #:</b>	Not provided	
<b>Vehicle:</b>	0.5% aqueous methylcellulose	
<b>Positive control:</b>	Phenobarbital, 100% in a 0.5% aqueous solution of methylcellulose	
<b>Test species:</b>	Mouse	
<b>Strain:</b>	C57BL/6J	
<b>Age at dosing:</b>	Approximately 8 weeks	
<b>Weight at dosing:</b>	19.6-24.8 g ♂	
<b>Source:</b>	Charles River, France	
<b>Housing:</b>	Suspended, stainless steel wire mesh cages	
<b>Diet:</b>	Certified rodent pelleted and irradiated diet A04CP1-10, SAFE, France <i>ad libitum</i>	
<b>Water:</b>	Filtered and softened municipal <i>ad libitum</i>	
<b>Environmental conditions:</b>	<b>Temperature:</b>	20-24°C
	<b>Humidity:</b>	40-70%
	<b>Air changes:</b>	10-15/hr
	<b>Photoperiod:</b>	12 hours dark/12 hours light
<b>Acclimation:</b>	At least 13 days	

### B. Study Design and Methods

1. Study experimentation dates - Start: May 25, 2011 End: July 7, 2011

2. Animal assignment – Animals were assigned randomly to the test groups noted in Table 1.

**Table 1.** Study design

Dose (mg/kg bw/d)	Concentration in diet (ppm)	Number of animals, 28 d	Number of animals, recovery
0	0	15	15
127	750	15	15
80 (phenobarbital)	n/a	15	15

3. Test substance preparation – Diet was prepared by mixing appropriate amounts of test substance with the test diet and was stored at room temperature. Homogeneity was verified at the lowest and highest concentrations. Those samples were also used for concentration analysis. The concentration analysis at the other dose levels was assessed at the time of preparation. The stability was tested in a previous study and was found to be acceptable. Phenobarbital was suspended in a 0.5% aqueous solution of methylcellulose and stored at 5°C. For labeling, BrdU

was prepared twice in filtered tap water at 80 mg BrdU/100 mL water and stored at room temperature.

**Results - Homogeneity Analysis:** Less than 10% of nominal variance within samples

**Stability Analysis:** From study SA 03332, stable in diet up to 105 days at room temperature

**Concentration Analysis:** 98-99%

The analytical data indicated that the mixing procedure was adequate and that the variance between nominal and actual dosage to the animals was acceptable.

**4. Statistics** – Statistics were performed on body weight, body weight change, food consumption and thyroid proliferation parameters. The two test paradigms were 1) An f-test followed by a t-test or a modified t-test with data transformation when necessary or 2) A non-parametric Mann-Whitney test.

This reviewer agrees with the statistical methods used.

## C. Methods

**1. Observations** – Animals were inspected at least once daily for signs of toxicity and mortality.

**2. Body weight** – Animals were weighed pre-test, on day 1 and weekly thereafter.

**3. Food consumption and compound intake** – Food consumption for each animal was determined weekly and mean daily diet consumption was calculated as g food/kg body weight/day. Compound intake (mg/kg bw/day) values were calculated as time-weighted averages from the consumption and body weight gain data. Water consumption was also monitored starting day 23 when BrdU was added.

**4. Ophthalmoscopic examination** – Eyes were not examined.

**5. Urinalysis** – Urine was not collected for analysis.

**6. Sacrifice and pathology** – All animals that died and those sacrificed on schedule were subjected to gross pathological examination. All major organs, tissues and body cavities were examined. Macroscopic abnormalities were recorded, but not examined. The liver, duodenum and thyroid were kept for further study. Six thyroid sections, one piece of duodenum and two liver sections were taken from all animals and embedded in paraffin. These samples were stained

with hematoxylin and eosin. A section of a formalin-fixed paraffin-embedded block containing six thyroid samples and one duodenum sample was prepared from each animal for immunohistochemical analysis of incorporated BrdU.

## II. Results

### A. Observations:

**1. Clinical signs of toxicity** – There were no treatment-related clinical signs in the fluopyram group. The phenobarbital group showed reduced motor activity during the dosing phase starting day 2.

**2. Mortality** – There were no mortalities during this study.

**B. Body Weight** – There was no effect on body weight related to fluopyram treatment. Body weights were statistically significantly decreased in phenobarbital-treated mice during treatment, but the difference was within 5% so these changes are not toxicologically significant. In that same group, body weight gains were greatly reduced throughout dosing.

**Table 2.** Mean body weights (g) and body weight gains (g) over 28 days of treatment (%C)

mg/kg bw/d	0 n = 15	128 n = 15	80 PB n = 15
<b>BW Day 1</b>	22.9 ± 0.9	22.6 ± 1.6	22.8 ± 1.0
<b>BW Day 8</b>	23.1 ± 0.9	23.1 ± 1.1	22.3 ± 1.0* (↓3)
<b>BW Day 29</b>	25.0 ± 0.9	24.8 ± 1.2	23.8 ± 1.0** (↓5)
<b>BWG 1-8</b>	0.2	0.5	-0.5**
<b>BWG 1-29</b>	2.1	2.1	1.0**

<sup>a</sup> Data obtained from pages 38-50 in the study report

\* Significantly different from control, p<0.05

\*\* Significantly different from control, p<0.01

BW body weight, BWG body weight gain

### C. Food Consumption and Compound Intake

**1. Food consumption** – There were no treatment-related effects in any group.

**2. Compound intake** – See compound intake in table 1.

**3. Water consumption** – There was a slight reduction in water intake in both treated groups.

**Table 3.** Mean water consumption/day (g)

mg/kg bw/d	0 n = 15	128 n = 15	80 PB n = 15
<b>Overall</b>	3.8 ± 0.4	3.5 ± 0.6	3.5 ± 0.5*

<sup>a</sup> Data obtained from pages 60-62 in the study report

\* Significantly different from control, p<0.05

\*\* Significantly different from control, p<0.01

BW body weight, BWG body weight gain

**D. Sacrifice and Pathology** – Enlarged and dark livers were seen in both fluopyram and phenobarbital-treated mice. Two phenobarbital-treated mice also had dark thyroids without microscopic correlates.

**Table 4.** Select gross pathology findings following 28 days of treatment

mg/kg bw/d	0 n = 15	128 n = 15	80 PB n = 15
<b>Enlarged liver</b>	0	14	3
<b>Dark liver</b>	0	5	4
<b>Dark thyroid</b>	0	0	2

<sup>a</sup> Data obtained from page 70-72 in the study report

\* Significantly different from control, p<0.05

\*\* Significantly different from control, p<0.01

BW body weight, BWG body weight gain

The BrdU labeling index was increased in the fluopyram group, but not the phenobarbital group.

**Table 5.** Mean BrdU labeling index following 28 days of treatment

mg/kg bw/d	0 n = 13	128 n = 15	80 PB n = 14
<b>Mean</b>	12.45 ± 6.17	21.09 ± 5.78**	12.68 ± 3.71

<sup>a</sup> Data obtained from page 25 in the study report

\* Significantly different from control, p<0.05

\*\* Significantly different from control, p<0.01

BW body weight, BWG body weight gain

### III. Discussion

**A. Investigator's Conclusions** – “In conclusion, a clear increase in thyroid cell proliferation, as evidenced by a 1.7 fold increase (p≤0.01) in BrdU labeling index, compared to the controls, was observed following dietary administration of fluopyram at 750 ppm for at least 28 days, to the

male C57BL/6J mouse.”

**B. Reviewer's Conclusions** – The study author’s conclusions are valid.

**C. Deficiencies** – There were no significant deficiencies.



**Reviewer #** 1058

**Date** August 7, 2013

**Study Type:** Short-term oral (28-day) mechanistic study in mice, diet and non-guideline

**Test Material (purity):** Fluopyram (94.7% a.i.)

**Synonyms:** AE C656948

**Citation:** PMRA 2310356. Fluopyram 28-Day Toxicity Study for Thyroid Cell Proliferation in the C57BL/6J Mouse. Bayer S.A.S. Laboratory report number SA 12058. Study report date: 14-May-2013. Applicant Report Number Lynx-PSI N°TXGMN001. MRID: 49005905

**Sponsor:** Bayer CropScience AG

**MRID:** 49005905

**Executive Summary:** In a 28-day thyroid cell proliferation study (MRID: 49005905), fluopyram (94.7% a.i.) was administered to 15 male C57BL/6J mice/dose in diet at dose levels of 0, 30, 75, 150, 600, 750 or 1500 ppm (0, 5, 13, 25, 99, 124 or 247 mg/kg bw/day) for 28 days. A 28 day recovery period was allowed for a further 15 males/dose for the controls and high dose fluopyram groups.

There were no compound related effects on mortality, clinical signs, body weight, food or water consumption, organ weights, or gross and histologic pathology. The proliferation index in the thyroid was increased starting at 25 mg/kg bw/day.

This short-term non-guideline mechanistic study in the mouse is acceptable.

**Compliance:** Signed and dated GLP, Quality Assurance, and Data Confidentiality statements were provided.



## I. Materials and Methods

### A. Materials

<b>Test material:</b>	Fluopyram	
<b>Description:</b>	Beige powder, stored in an air-tight, light-resistant container at room temperature	
<b>Lot/Batch #:</b>	08528/0002	
<b>Purity:</b>	94.7% a.i.	
<b>CAS #:</b>	Not provided	
<b>Vehicle:</b>	Diet	
<b>Positive control:</b>	None	
<b>Test species:</b>	Mouse	
<b>Strain:</b>	C57BL/6J	
<b>Age at dosing:</b>	Approximately 8 weeks	
<b>Weight at dosing:</b>	19.4-23.9 g ♂	
<b>Source:</b>	Charles River, France	
<b>Housing:</b>	Suspended, stainless steel wire mesh cages	
<b>Diet:</b>	Certified rodent pelleted and irradiated diet A04CP1-10, SAFE, France <i>ad libitum</i>	
<b>Water:</b>	Filtered and softened municipal <i>ad libitum</i>	
<b>Environmental conditions:</b>	<b>Temperature:</b>	20-24°C
	<b>Humidity:</b>	40-70%
	<b>Air changes:</b>	10-15/hr
	<b>Photoperiod:</b>	12 hours dark/12 hours light
<b>Acclimation:</b>	At least 13 days	

### B. Study Design and Methods

1. Study experimentation dates - Start: May 9, 2012 End: July 5, 2012

2. Animal assignment - Animals were assigned randomly to the test groups noted in Table 1.

**Table 1.** Study design

Dose (mg/kg bw/d)	Concentration in diet (ppm)	Number of animals, 28 d	Number of animals, recovery
0	0	15	15
5	30	15	0
13	75	15	0
25	150	15	0
99	600	15	0
124	750	15	0
247	1500	15	15

3. Test substance preparation – Diet was prepared by mixing appropriate amounts of test substance with the test diet and was stored at room temperature. Homogeneity was verified at the lowest and highest concentrations. Those samples were also used for concentration analysis. The

concentration analysis at the other dose levels was assessed at the time of preparation. The stability was tested in a previous study and was found to be acceptable.

**Results - Homogeneity and Concentration Analysis:** Between 80 and 108% of nominal

**Stability Analysis:** From study SA 03332, stable in diet up to 105 days at room temperature

The analytical data indicated that the mixing procedure was adequate and that the variance between nominal and actual dosage to the animals was acceptable.

**4. Statistics** – Statistics were performed on body weight, body weight change, food consumption and thyroid cell proliferation parameters. The two test paradigms were 1) An f-test followed by a t-test or a modified t-test with data transformation when necessary or 2) A Bartlett test or a Levene test to compare homogeneity of group variances followed by ANOVA and Dunnett tests or Kruskal-Wallis and Dunn tests.

This reviewer agrees with the statistical methods used.

## C. Methods

**1. Observations** – Animals were inspected at least once daily for signs of toxicity and mortality.

**2. Body weight** – Animals were weighed pre-test, on day 1 and weekly thereafter.

**3. Food consumption and compound intake** – Food consumption for each animal was determined weekly and mean daily diet consumption was calculated as g food/kg body weight/day. Compound intake (mg/kg bw/day) values were calculated as time-weighted averages from the consumption and body weight gain data. Water consumption was also monitored starting day 23 when BrdU was added.

**4. Ophthalmoscopic examination** – Eyes were not examined.

**5. Urinalysis** – Urine was not collected for analysis.

**6. Sacrifice and pathology** – All animals that died and those sacrificed on schedule were subjected to gross pathological examination. All major organs, tissues and body cavities were examined. Macroscopic abnormalities were recorded, but not examined. Thyroid gland (with trachea) and duodenum samples were taken from all animals for histopathological examination and BrdU immunohistochemical cell proliferation measurements.

## II. Results

## A. Observations:

**1. Clinical signs of toxicity** – There were no treatment-related clinical signs.

**2. Mortality** – The study author claims that there were no treatment-related mortalities, though one high dose animal was found dead without explanation at the end of the recovery phase. It is unlikely that the death is treatment-related in the absence of clinical signs or test substance dosing for 28 days.

**B. Body Weight** – There was no treatment-related effect on body weight.

**Table 2.** Mean body weights (g) and body weight gains (g) following 28 days of treatment plus recovery (%C)

mg/kg bw/d	0 n = 30-15	5 n = 15	13 n = 15	25 n = 15	99 n = 15	124 n = 15	247 n = 30-15
<b>Dosing for 28 days</b>							
<b>BW Day 1</b>	21.8 ± 1.0	21.9 ± 1.0	21.8 ± 0.9	21.9 ± 0.9	21.7 ± 1.0	21.7 ± 0.8	21.6 ± 1.0
<b>BW Day 8</b>	22.5 ± 0.8	22.7 ± 0.9	22.6 ± 0.9	22.8 ± 0.8	22.7 ± 0.9	22.5 ± 0.8	22.6 ± 1.1
<b>BW Day 29</b>	24.4 ± 0.8	24.4 ± 0.8	24.2 ± 0.6	24.8 ± 1.1	24.5 ± 0.9	24.5 ± 0.9	24.5 ± 0.9
<b>BWG 1-8</b>	0.8 ± 0.5	0.8 ± 0.5	0.8 ± 0.3	0.9 ± 0.3	1.0 ± 0.4	0.8 ± 0.5	0.9 ± 0.5
<b>BWG 1-29</b>	2.7 ± 0.8	2.5 ± 0.8	2.5 ± 0.7	2.9 ± 0.8	2.7 ± 0.9	2.7 ± 0.7	2.9 ± 0.7
<b>Recovery phase</b>							
<b>BW Day 1</b>	24.3 ± 0.6	-	-	-	-	-	24.6 ± 0.9
<b>BW Day 8</b>	25.2 ± 0.6	-	-	-	-	-	25.6 ± 0.9
<b>BW Day 29</b>	25.8 ± 0.7	-	-	-	-	-	26.2 ± 1.0
<b>BWG 1-8</b>	0.9 ± 0.6	-	-	-	-	-	0.8 ± 0.3
<b>BWG 1-29</b>	1.5 ± 0.5	-	-	-	-	-	1.5 ± 0.5

<sup>a</sup> Data obtained from pages 40-55 in the study report

\* Significantly different from control, p<0.05

\*\* Significantly different from control, p<0.01

BW body weight, BWG body weight gain

## C. Food Consumption and Compound Intake

**1. Food consumption** – There was no treatment-related effect on food or water consumption.

**2. Compound Intake** – See compound intake in table 1.

**D. Sacrifice and Pathology** – There were no treatment-related effects on organ weight, macropathology or micropathology.

The proliferation index was increased in a dose-dependent manner starting at 25 mg/kg bw/day and the increase reached statistical significance at 99 mg/kg bw/day. One of the control animal values was removed from the dataset by the study author as it was a clear outlier.

**Table 3.** Thyroid cell proliferation index following 28 days of treatment plus recovery

mg/kg bw/d	0 n = 14	5 n = 15	13 n = 15	25 n = 15	99 n = 15	124 n = 15	247 n = 15
<b>Dosing for 28 days</b>							
<b>Rate/1000 cells</b>	21.55 ± 4.75	17.81 ± 7.37	19.51 ± 5.64	26.09 ± 8.62	30.11 ± 8.53**	34.78 ± 7.61***	50.21 ± 10.24***
<b>Recovery phase</b>							
<b>Rate/1000 cells</b>	17.57 ± 5.18	-	-	-	-	-	11.56 ± 4.78

<sup>a</sup> Data obtained from pages 26-27 in the study report

\* Significantly different from control, p<0.05

\*\* Significantly different from control, p<0.01

\*\*\* Significantly different from control, p<0.001

### III. Discussion

**A. Investigator's Conclusions** – “A clear dose-related increase in thyroid follicular cell proliferation was observed following dietary administration of fluopyram for at least 28 days, to the male C57BL/6J mouse. This increase in thyroid follicular cell proliferation at 1500 ppm was found to be reversible after a 28 day wash out period.”

**B. Reviewer's Conclusions** – The study author's conclusions are valid.

**C. Deficiencies** – There were no significant deficiencies.



**Reviewer #** 1058

**Date** August 7, 2013

**Study Type:** Short-term oral (28-day) mechanistic study in mice, diet and non-guideline

**Test Material (purity):** Fluopyram (94.7% a.i.)

**Synonyms:** AE C656948

**Citation:** PMRA 2310354. 28-Day Dietary Study to Determine Potential Role of the Nuclear Pregnane X Receptor (Pxr) and Constitutive Androstane Receptor (Car) on the Thyroid Changes Following the Administration of Fluopyram to Male Mice (C57BL/6J and Pxr KO/Car KO). Bayer S.A.S. Laboratory report number SA 12162. Study report date: 27-March-2013. Applicant Report Number Nexus N°TXGMN004. MRID 49005906

**Sponsor:** Bayer CropScience AG

**MRID:** 49005906

**Executive Summary:** In a 28-day mechanistic study (MRID 49005906), fluopyram (94.7% a.i.) was administered to 15 male C57BL/6J (wild type) or 15 male Pxr KO/Car KO (knockout) mice/dose in diet at dose levels of 0, 750 or 1500 ppm (125 or 256 mg/kg bw/day for wild type and 130 or 247 mg/kg bw/day for knockout) for 28 days.

There were no effects on mortality, clinical signs, body weight or food or water consumption. Liver weights were increased in all fluopyram-treated mice. Enlarged livers were observed in the wild type groups, but not in controls or knockout groups. In treated wild type mice, there was an increase in hepatocellular hypertrophy. There were no similar treatment-related effects in the knockout mice.

Thyroid cell proliferation was increased in wild type mice, while the knockout mice had a slight decrease in proliferation index that was likely incidental.

Total cytochrome P-450 content was increased in treated wild type mice, but not in treated knockout mice. PROD activity was greatly increased in treated wild type mice and slightly increased in treated knockout mice. BQ activity was increased in wild type mice while the knockout mice showed slight decreases. Thyroxine glucuronosyl transferase (T4-GT) and bilirubin glucuronidation (BIL-GT) activities were increased in treated wild type mice, but showed no change in treated knockout mice except for a slight decrease in T4-GT activity at 247 mg/kg bw/day.

There was a significant increase of Tsh beta transcript in the pituitary gland of treated wild type mice and a slight decrease in the same measure for high dose knockout mice.

This short-term non-guideline mechanistic study in the mouse is acceptable.

**Compliance:** Signed and dated GLP, Quality Assurance, and Data Confidentiality statements were provided. This study did not strictly conform to GLP.

## I. Materials and Methods

### A. Materials

Test material:	Fluopyram	
Description:	Light beige solid, stored in an air-tight, light-resistant container at room temperature	
Lot/Batch #:	08528/0002	
Purity:	94.7% a.i.	
CAS #:	Not provided	
Vehicle:	Diet	
Positive control:	None	
Test species:	Mouse	
Strain:	C57BL/6J and Pxr KO/Car KO	
Age at dosing:	10 weeks	
Weight at dosing:	22.1-26.9 ♂ and 20.5-25.0 g ♂	
Source:	Charles River, France and Taconic Farms, NY	
Housing:	Suspended, stainless steel wire mesh cages	
Diet:	Certified rodent pelleted and irradiated diet A04CP1-10, SAFE, France <i>ad libitum</i>	
Water:	Filtered and softened municipal <i>ad libitum</i>	
Environmental conditions:	Temperature:	20-24°C
	Humidity:	40-70%
	Air changes:	10-15/hr
	Photoperiod:	12 hours dark/12 hours light
Acclimation:	At least 10 days	

### B. Study Design and Methods

1. **Study experimentation dates** - Start: September 12, 2012 End: October 24, 2012

2. **Animal assignment** - Animals were assigned randomly to the test groups noted in Table 1.

**Table 1.** Study design

Dose (mg/kg bw/d)	Concentration in diet (ppm)	Number of animals, C57BL/6J	Number of animals, Pxr KO/Car KO
0	0	15	15
125 (C57); 130 (KO)	750	15	15
256 (C57); 247 (KO)	1500	15	15

3. **Test substance preparation** – Diet was prepared by mixing appropriate amounts of test substance with the test diet and was stored at room temperature. Homogeneity was verified at the lowest and highest concentrations. Those samples were also used for concentration analysis. The concentration analysis at the other dose levels was assessed at the time of preparation. The stability was tested in a previous study and was found to be acceptable. Phenobarbital was suspended in a 0.5% aqueous solution of methylcellulose and stored at 5°C.

**Results – Homogeneity and Concentration Analysis:** Between 93 and 97% of nominal

**Stability Analysis:** From study SA 03332, stable in diet up to 105 days at room temperature

The analytical data indicated that the mixing procedure was adequate and that the variance between nominal and actual dosage to the animals was acceptable.

**4. Statistics** – Statistics were performed on body weight, body weight change, food consumption, organ weight, P-450 content, liver enzyme activities, cell proliferation parameters and quantities of gene transcripts. The two test paradigms were 1) An f-test followed by a t-test or a modified t-test with data transformation when necessary or 2) A Bartlett test or a Levene test to compare homogeneity of group variances followed by ANOVA and Dunnett tests or Kruskal-Wallis and Dunn tests.

This reviewer agrees with the statistical methods used.

## **C. Methods**

**1. Observations** – Animals were inspected at least once daily for signs of toxicity and mortality.

**2. Body weight** – Animals were weighed at least weekly.

**3. Food consumption and compound intake** – Food consumption for each animal was determined weekly and mean daily diet consumption was calculated as g food/kg body weight/day. Compound intake (mg/kg bw/day) values were calculated as time-weighted averages from the consumption and body weight gain data. Water consumption was also monitored starting day 23 when BrdU was added.

**4. Ophthalmoscopic examination** – Eyes were not examined.

**5. Hormone measurements** – Blood was collected the day of sacrifice.

**6. Urinalysis** – Urine was not collected for analysis.

**7. Sacrifice and pathology** – All animals that died and those sacrificed on schedule were subjected to gross pathological examination. All major organs, tissues and body cavities were examined. Macroscopic abnormalities were recorded, but not examined. The liver, duodenum, pituitary and thyroid were kept for further study. Six thyroid sections, one piece of duodenum and two liver sections were taken from all animals and embedded in paraffin. These samples were stained with hematoxylin and eosin. A section of a formalin-fixed paraffin-embedded block containing six thyroid samples and one duodenum sample was prepared from each animal for immunohistochemical analysis of incorporated BrdU. A piece of both the median and left lobes



of the liver plus the pituitary gland from each animal were collected and flash frozen in liquid nitrogen for qPCR investigations. Remaining liver samples were flash frozen for microsomal preparations and assessment of total cytochrome P-450, specific cytochrome P-450 isoenzyme profiles and glucuronidation assessments.

**8. qPCR analysis** – Total cytoplasmic RNA was isolated from the pituitary glands of all animals. A quantitative PCR was performed to determine the relative quantity (RQ) value of beta subunit Tsh transcript and beta actin Actb.

## II. Results

### A. Observations:

**1. Clinical signs of toxicity** – There were no treatment-related clinical signs during this study.

**2. Mortality** – There were no mortalities during this study.

**B. Body Weight** –There was no treatment-related effect on body weight or body weight gain.

**Table 2.** Mean body weights (g) and body weight gains (g) following 28 days of treatment (%C)

mg/kg bw/d	0 n = 15	125 n = 15	256 n = 15	0 n = 15	130 n = 15	247 n = 15
	<b>C57BL/6J</b>			<b>Pxr KO/Car KO</b>		
<b>BW Day 1</b>	23.95 ± 0.913	23.99 ± 0.888	24.01 ± 1.173	22.82 ± 1.073	22.76 ± 0.983	24.23 ± 0.997
<b>BW Day 8</b>	24.25 ± 0.923	24.45 ± 0.955	24.43 ± 1.179	22.69 ± 1.399	23.03 ± 1.228	24.35 ± 1.183
<b>BW Day 29</b>	26.04 ± 0.833	26.31 ± 1.122	26.31 ± 1.194	22.88 ± 1.186	22.87 ± 1.275	24.41 ± 1.120
<b>BWG 1-8</b>	0.30 ± 0.468	0.47 ± 0.681	0.42 ± 0.384	-0.06 ± 0.426	0.35 ± 0.434*	-0.01 ± 0.488
<b>BWG 1-29</b>	2.09 ± 0.472	2.33 ± 0.580	2.30 ± 0.659	1.41 ± 0.435	1.66 ± 0.863	1.53 ± 0.655

<sup>a</sup> Data obtained from pages 56-68 in the study report

\* Significantly different from control, p<0.05

\*\* Significantly different from control, p<0.01

BW body weight, BWG body weight gain

### C. Food Consumption and Compound Intake

**1. Food consumption** – There was no treatment-related effect on food or water consumption.

**2. Compound Intake** – See compound intake in table 1.

**D. Sacrifice and Pathology** – Absolute and relative liver weights were increased in all fluopyram-treated mice. Enlarged livers were observed in 7 and 14 mice from the wild type groups at 125 and 256 mg/kg bw/day, respectively, compared to 0 in controls and all knockout

mice groups.

**Table 3.** Mean liver weights following 28 days of treatment

mg/kg bw/d	0 n = 15	125 n = 15	256 n = 15	0 n = 15	130 n = 15	247 n = 15
	<b>C57BL/6J</b>			<b>Pxr KO/Car KO</b>		
<b>Abs liver</b>	1.28 ± 0.069	1.80 ± 0.159**	2.12 ± 0.191***	1.29 ± 0.080	1.40 ± 0.080**	1.43 ± 0.077**
<b>Rel liver</b>	4.93 ± 0.185	6.848 ± 0.159**	7.99 ± 0.483***	5.31 ± 0.246	5.71 ± 0.272**	5.80 ± 0.231**

<sup>a</sup> Data obtained from page 27-28 in the study report

\* Significantly different from control, p<0.05

\*\* Significantly different from control, p<0.01

\*\*\* Significantly different from control, p<0.001

In treated wild type mice, there was a dose-related increase in both incidence and severity of centrilobular to panlobular hepatocellular hypertrophy. There were no similar treatment-related effects in the knockout mice.

**Table 4.** Select histopathological observations following 28 days of treatment

mg/kg bw/d	0 n = 15	125 n = 15	256 n = 15
	<b>C57BL/6J</b>		
<b>Hepatocellular hypertrophy</b>	0	15	15
<b>Hepatocellular necrosis</b>	0	5	10
<b>Increased # mitosis</b>	0	0	3
<b>Interstitial mixed cell infiltrate</b>	6	9	11

<sup>a</sup> Data obtained from page 29 in the study report

Thyroid cell proliferation was increased in a dose-dependent manner in wild type mice. There was a slight decrease in proliferation index in the knockout mice that was not statistically significant and likely incidental.

**Table 5.** Thyroid gland proliferation index following 28 days of treatment

mg/kg bw/d	0 n = 15	125 n = 15	256 n = 15	0 n = 15	130 n = 15	247 n = 15
	<b>C57BL/6J</b>			<b>Pxr KO/Car KO</b>		
<b>Proliferation index</b>	14.3 ± 3.96	26.1 ± 7.16***	36.6 ± 10.27***	10.05 ± 3.88	9.91 ± 4.06	8.27 ± 3.38

% control	100	183	256	100	99	82
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<sup>a</sup> Data obtained from page 30 in the study report

\* Significantly different from control, p<0.05

\*\* Significantly different from control, p<0.01

\*\*\* Significantly different from control, p<0.001

Total cytochrome P-450 content was increased in treated wild type mice, but not in treated knockout mice. PROD activity was greatly increased in treated wild type mice and slightly increased in treated knockout mice. BQ activity was increased in wild type mice while the knockout mice showed slight decreases. Thyroxine glucuronosyl transferase (T4-GT) and bilirubin glucuronidation (BIL-GT) activities were increased in treated wild type mice, but showed no change in treated knockout mice except for a slight decrease in T4-GT activity at 347 mg/kg bw/day.

**Table 6.** Mean content/activity of liver toxicity markers following 28 days of treatment

mg/kg bw/d	0 n = 15	125 n = 14	256 n = 15	0 n = 15	130 n = 15	247 n = 15
	C57BL/6J			Pxr KO/Car KO		
Total P-450 nmol/mg protein	0.34 ± 0.22	1.24 ± 0.16***	1.25 ± 0.18***	0.24 ± 0.09	0.27 ± 0.12	0.27 ± 0.12
PROD pmols resorufin formed/min/mg protein	2.01 ± 0.20	140.21 ± 15.111***	302.14 ± 84.76***	2.27 ± 0.30	3.20 ± 0.81***	3.24 ± 1.16**
BQ nmols 7- OH quinolone formed/min/mg protein	2.77 ± 0.34	15.20 ± 1.89***	21.94 ± 1.83***	3.51 ± 0.39	2.40 ± 0.40***	2.09 ± 0.35***
T4-GT nmol T4- glucuronide formed/min/ mg protein	0.58 ± 0.17	1.06 ± 0.17***	1.09 ± 0.25***	0.57 ± 0.19	0.66 ± 0.17	0.43 ± 0.15*
BIL-GT nmol bilirubin- glucuronide formed/min/ mg protein	0.73 ± 0.11	1.30 ± 0.22***	1.43 ± 0.42***	0.70 ± 0.24	0.66 ± 0.24	0.61 ± 0.28

<sup>a</sup> Data obtained from page 31-32 in the study report

\* Significantly different from control, p<0.05

\*\* Significantly different from control, p<0.01

**E. qPCR analysis** – There was a significant increase of Tsh beta transcript in the pituitary gland of treated wild type mice and a slight decrease in the same measure for high dose knockout mice.

**Table 7.** Mean relative quantity of transcript following 28 days of treatment

mg/kg bw/d	0 n = 15	125 n = 15	256 n = 15	0 n = 15	130 n = 15	247 n = 15
	C57BL/6J			Pxr KO/Car KO		
<b>Tsh b</b>	1.23 ± 0.289	1.92 ± 0.306**	2.05 ± 0.586***	1.25 ± 0.264	1.14 ± 0.177	1.04 ± 0.165*

<sup>a</sup> Data obtained from page 32-33 in the study report

\* Significantly different from control, p<0.05

\*\* Significantly different from control, p<0.01

### III. Discussion

**A. Investigator's Conclusions** – “The clear increases in PROD activity seen in wild type C57BL/6J mice, with minimal PROD induction in Pxr KO/Car KO mice, after exposure to fluopyram, indicates that fluopyram is an inducer of Cyp2b in wild type mice. The induction of BQ activity in wild type mice and decrease in BQ activity in Pxr KO/Car KO mice shows that fluopyram is also a Cyp3a inducer in wild type mice.

These data, together with the increases in the glucuronidation of bilirubin and thyroxine seen in wild type mice, but not in Pxr KO/Car KO mice after exposure to fluopyram, clearly demonstrate that fluopyram is a Car and Pxr activator in mice. This is translated as in an increase in *Tshb* gene transcripts and in an increase of thyroid follicular cell proliferation.”

**B. Reviewer's Conclusions** – The reviewer's conclusions are valid.

**C. Deficiencies** – There were no significant deficiencies.



**Reviewer #** 1058

**Date** September 30, 2013

**Study Type:** Enzyme and DNA synthesis mechanistic study in cultured human hepatocytes, non-guideline

**Test Material (purity):** Fluopyram (98.7% a.i.)

**Synonyms:** AE C656948

**Citation:** PMRA 2310352. Fluopyram: Enzyme and DNA-Synthesis Induction in Cultured Human Hepatocytes, Main Study. CXR Biosciences. Laboratory report number CXR1241. Study report date: 02-April-2013. MRID: 49005907

**Sponsor:** Bayer CropScience AG

**MRID:** 49005907

**Executive Summary:** In an enzyme and DNA synthesis mechanistic study (MRID 49005907), primary female human hepatocytes were dosed with fluopyram (98.7% a.i.) at 0, 1, 3, 10, 30, 100 or 300  $\mu$ M fluopyram or at 10, 100 or 1000  $\mu$ M phenobarbital for 96 hours. The test vehicle was DMSO. Epidermal growth factor, at 25 ng/mL, was used as a positive control. Enzyme activity, DNA synthesis and cell toxicity were assessed.

ATP concentration in the fluopyram groups indicated that cytotoxicity begins around 100  $\mu$ M fluopyram. Phenobarbital did not affect ATP levels.

There was no effect on DNA synthesis with either fluopyram or phenobarbital. The positive control substance generated a large increase in DNA synthesis.

There was no dose-related increase in PROD activity with fluopyram treatment, though all dose levels up to cytotoxic levels showed an approximate 2-fold increase over controls. The same general effect was seen with phenobarbital. There was a dose-dependent increase in BROD activity following fluopyram treatment. Phenobarbital treatment also produced an increase at the high dose. There was a slight increase in BQ activity at low dose levels of fluopyram. Phenobarbital caused a dose-related increase at the mid and high dose level.

This cell culture mechanistic study is acceptable.

**Compliance:** Signed and dated Quality Assurance and Data Confidentiality statements were provided. This study authors stated "No claim of GLP compliance is made for this study."

## I. Materials and Methods

### A. Materials

<b>Test material:</b>	Fluopyram
<b>Description:</b>	Beige powder, handled and stored to preserve identity and integrity
<b>Lot/Batch #:</b>	AE C656948-01-06
<b>Purity:</b>	98.7% a.i.
<b>CAS #:</b>	Not provided
<b>Vehicle:</b>	0.1% DMSO
<b>Positive control:</b>	Phenobarbital Epidermal growth factor (EGF)
<b>Culture medium:</b>	Leibowitz HCL15
<b>Test species:</b>	Cultured human hepatocytes
<b>Source:</b>	Invitrogen, Cheshire, UK

### B. Study Design and Methods

**1. Study experimentation dates** - Start: December 7, 2012      End: December 20, 2012

**2. Cell acquisition** – Cryopreserved primary female human hepatocytes, were sourced from Invitrogen, Cheshire. Viabilities of the hepatocyte preparation, determined by trypan blue exclusion, were in excess of 70%. Hepatocytes from one donor were used.

**3. Cell dosing** – Hepatocytes were exposed to phenobarbital, fluopyram or EGF in 0.1% (v/v), 1 µL DMSO/mL medium for 96 hours. There were 3, 5 and 6 replicates for enzyme activity measurements, replicative DNA synthesis and cell toxicity (ATP) measurements, respectively. Dose levels are noted in Table 1.

**Table 1.** Study design

Dose (µM)	BrdU for S-phase	CYP enzyme activity	ATP
0, DMSO	X	X	X
1, fluopyram	X	X	X
3, fluopyram	X	X	X
10, fluopyram	X	X	X
30, fluopyram	X	X	X
100, fluopyram	X	X	X
300, fluopyram	X	X	X
10, phenobarbital	X	X	X
100, phenobarbital	X	X	X
1000, phenobarbital	X	X	X
25 ng/mL, EGF	X	-	-

**4. Statistics** – Statistical comparisons of treated hepatocytes compared to controls were made using a 2-tailed Student's t-test.

This reviewer agrees with the statistical methods used.

## C. Methods

Cellular ATP was measured by luminometry and was expressed as a percentage of the maximum amount of ATP released. S-phase DNA synthesis was measured by BrdU incorporation and immunostaining after fixation at 96 hours and was expressed as a labeling index (% of total hepatocytes that incorporated BrdU). PROD, BROD and BQ were determined spectrofluorometrically.

## II. Results

ATP concentration in the fluopyram groups was slightly elevated at 30  $\mu$ M, then slightly decreased at 100  $\mu$ M and drastically decreased at 300  $\mu$ M. This indicates that cytotoxicity to these cells begins around 100  $\mu$ M fluopyram. Phenobarbital did not appear to have an effect on ATP levels.

**Table 2.** Relative ATP levels as a measure of cytotoxicity

Dose ( $\mu$ M)	ATP (% control)
0, DMSO	100.0 $\pm$ 8.7
1, Fluopyram	108.9 $\pm$ 1.6*
3, Fluopyram	113.0 $\pm$ 6.3*
10, Fluopyram	111.5 $\pm$ 11.5
30, Fluopyram	126.3 $\pm$ 8.6***
100, Fluopyram	91.9 $\pm$ 6.9
300, Fluopyram	29.1 $\pm$ 1.4***
10, Phenobarbital	110.0 $\pm$ 5.2*
100, Phenobarbital	103.4 $\pm$ 7.1
1000, Phenobarbital	110.8 $\pm$ 9.5

<sup>a</sup> Data obtained from page 10 in the study report

\* Significantly different from control,  $p < 0.05$

\*\* Significantly different from control,  $p < 0.01$

\*\*\* Significantly different from control,  $p < 0.001$

There was no effect on DNA synthesis with either fluopyram or phenobarbital. Cytotoxicity at 100 and 300  $\mu$ M fluopyram resulted in a lower labeling index and no reading, respectively. The positive control substance generated a large increase in DNA synthesis.

**Table 3.** Replicative DNA synthesis

Dose ( $\mu$ M)	Labeling index (%)
0, DMSO	0.49 $\pm$ 0.09
1, Fluopyram	0.54 $\pm$ 0.13
3, Fluopyram	0.45 $\pm$ 0.03
10, Fluopyram	0.51 $\pm$ 0.16
30, Fluopyram	0.43 $\pm$ 0.04
100, Fluopyram	0.32 $\pm$ 0.04**
300, Fluopyram	excessive cytotoxicity

10, Phenobarbital	0.43 ± 0.16
100, Phenobarbital	0.31 ± 0.11*
1000, Phenobarbital	0.43 ± 0.15
25 ng/mL, EGF	7.13 ± 0.30***

<sup>a</sup> Data obtained from page 12 in the study report

\* Significantly different from control, p<0.05

\*\* Significantly different from control, p<0.01

\*\*\* Significantly different from control, p<0.001

There was no dose-related increase in PROD activity with fluopyram treatment, though all dose levels up to 100 µM showed an approximate 2-fold increase over controls. The same general effect was seen with phenobarbital.

There was a dose-dependent increase in BROD activity following fluopyram treatment. Phenobarbital treatment also produced an increase at the high dose.

There was a slight increase in BQ activity at low dose levels of fluopyram. Phenobarbital caused a dose-related increase at the mid and high dose level.

**Table 4.** PROD, BROD and BQ activity

Dose (µM)	PROD (pmol resorufin formed/min/mg protein)	BROD (pmol resorufin formed/min/mg protein)	BQ (nmol 7-hydroxyquinoline formed/min/mg protein)
0, DMSO	0.132 ± 0.005	0.612 ± 0.107	0.066 ± 0.016
1, Fluopyram	0.206 ± 0.035*	0.550 ± 0.011	0.105 ± 0.027
3, Fluopyram	0.236 ± 0.018***	0.515 ± 0.102	0.110 ± 0.011*
10, Fluopyram	0.200 ± 0.116	0.671 ± 0.100	0.120 ± 0.011**
30, Fluopyram	0.179 ± 0.053	0.840 ± 0.040*	0.088 ± 0.008
100, Fluopyram	0.246 ± 0.037**	1.182 ± 0.206*	0.037 ± 0.005*
300, Fluopyram	0.007 ± 0.012***	1.222 ± 0.269*	0.008 ± 0.003**
10, Phenobarbital	0.306 ± 0.026***	0.865 ± 0.105*	0.079 ± 0.002
100, Phenobarbital	0.224 ± 0.021**	0.741 ± 0.033	0.156 ± 0.004***
1000, Phenobarbital	0.413 ± 0.045***	2.473 ± 0.089***	0.344 ± 0.045***

<sup>a</sup> Data obtained from pages 13-16 in the study report

\* Significantly different from control, p<0.05

\*\* Significantly different from control, p<0.01

\*\*\* Significantly different from control, p<0.001

### III. Discussion

**A. Investigator's Conclusions** – “In conclusion, these data suggest that fluopyram is a weak activator of CAR and PXR, with no compound-stimulated DNA synthesis in human hepatocytes.”

**B. Reviewer's Conclusions** – The study author's conclusions are valid.

**C. Deficiencies** – There were no significant deficiencies.





Reviewer # 1058

Date September 30, 2013

**Study Type:** Enzyme and DNA synthesis mechanistic study in cultured rat hepatocytes, non-guideline

**Test Material (purity):** Fluopyram (98.7% a.i.)

**Synonyms:** AE C656948

**Citation:** PMRA 2310353. Fluopyram: Enzyme and DNA-Synthesis Induction in Cultured Rat Hepatocytes, Main Study. CXR Biosciences. Laboratory report number CXR1242. Study report date: 02-April-2013. MRID: 49005908

**Sponsor:** Bayer CropScience AG

**MRID:** 49005908

**Executive Summary:** In an enzyme and DNA synthesis mechanistic study (MRID: 49005908), primary rat hepatocytes were dosed with fluopyram (98.7% a.i.) at 0, 1, 3, 10, 30, 100 or 300  $\mu$ M fluopyram or at 10, 100 or 1000  $\mu$ M phenobarbital for 96 hours. The test vehicle was DMSO. Epidermal growth factor, at 25 ng/mL, was used as a positive control. Enzyme activity, DNA synthesis and cell toxicity were assessed.

ATP concentration in the fluopyram groups was comparable to controls until cytotoxic levels. Phenobarbital did not appear to have an effect on ATP levels.

DNA synthesis was increased with fluopyram and phenobarbital. Cytotoxicity at 100 and 300  $\mu$ M fluopyram impacted the results. The positive control substance generated a large increase in DNA synthesis.

There was an increase in PROD, BROD and BQ activity following fluopyram and phenobarbital treatment until cytotoxic levels.

This cell culture mechanistic study is acceptable.

**Compliance:** Signed and dated Quality Assurance and Data Confidentiality statements were provided. This study authors stated "No claim of GLP compliance is made for this study."

## I. Materials and Methods

### A. Materials

<b>Test material:</b>	Fluopyram	
<b>Description:</b>	Beige powder, handled and stored to preserve identity and integrity	
<b>Lot/Batch #:</b>	AE C656948-01-06	
<b>Purity:</b>	98.7% a.i.	
<b>CAS #:</b>	Not provided	
<b>Vehicle:</b>	0.1% DMSO	
<b>Positive control:</b>	Phenobarbital Epidermal growth factor (EGF)	
<b>Test species:</b>	Rat	
<b>Strain:</b>	HsdHan:WIST	
<b>Age at dosing:</b>	Approximately 8 weeks	
<b>Weight at dosing:</b>	Approximately 150-200 g ♀	
<b>Source:</b>	Harlan UK	
<b>Housing:</b>	Solid bottom polypropylene cages	
<b>Diet:</b>	RM1 pelleted diet, Special Diet Services <i>ad libitum</i>	
<b>Water:</b>	Filtered and softened municipal <i>ad libitum</i>	
<b>Environmental conditions:</b>	<b>Temperature:</b>	19-23°C
	<b>Humidity:</b>	40-70%
	<b>Air changes:</b>	14-15/hr
	<b>Photoperiod:</b>	12 hours dark/12 hours light
<b>Acclimation:</b>	At least 5 days	

### B. Study Design and Methods

**1. Study experimentation dates** - Start: November 22, 2012 End: December 20, 2012

**2. Cell acquisition** – Rats were euthanized with euthatal and the hepatocytes were collected by in situ perfusion.

**3. Cell dosing** – Hepatocytes were exposed to phenobarbital, fluopyram or EGF in 0.1% (v/v), 1 µL DMSO/mL medium for 96 hours. There were 3, 5 and 6 replicates for enzyme activity measurements, replicative DNA synthesis and cell toxicity (ATP) measurements, respectively. Dose levels are noted in Table 1.

**Table 1.** Study design

Dose (µM)	BrdU for S-phase	CYP enzyme activity	ATP
0, DMSO	X	X	X
1, Fluopyram	X	X	X
3, Fluopyram	X	X	X
10, Fluopyram	X	X	X
30, Fluopyram	X	X	X
100, Fluopyram	X	X	X

300, Fluopyram	X	X	X
10, Phenobarbital	X	X	X
100, Phenobarbital	X	X	X
1000, Phenobarbital	X	X	X
25 ng/mL, EGF	X	-	-

**3. Statistics** – Statistical comparisons of treated hepatocytes compared to controls were made using a 2-tailed Student's t-test.

This reviewer agrees with the statistical methods used.

## C. Methods

Cellular ATP was measured by luminometry and was expressed as a percentage of the maximum amount of ATP released. S-phase DNA synthesis was measured by BrdU incorporation and immunostaining after fixation at 96 hours and was expressed as a labeling index (% of total hepatocytes that incorporated BrdU). PROD, BROD and BQ were determined spectrofluorometrically.

## II. Results

ATP concentration in the fluopyram groups was comparable to controls, except above 100  $\mu$ M where cytotoxicity begins to affect results. Phenobarbital did not appear to have an effect on ATP levels.

**Table 2.** Relative ATP levels as a measure of cytotoxicity

Dose ( $\mu$ M)	ATP (% control)
0, DMSO	100.0 $\pm$ 18.7
1, Fluopyram	89.0 $\pm$ 8.2
3, Fluopyram	104.4 $\pm$ 5.0
10, Fluopyram	106.4 $\pm$ 10.0
30, Fluopyram	119.3 $\pm$ 7.4*
100, Fluopyram	110.5 $\pm$ 10.0
300, Fluopyram	31.3 $\pm$ 8.6***
10, Phenobarbital	80.5 $\pm$ 14.6
100, Phenobarbital	90.8 $\pm$ 14.6
1000, Phenobarbital	108.9 $\pm$ 14.5

<sup>a</sup> Data obtained from page 11 in the study report

\* Significantly different from control,  $p < 0.05$

\*\* Significantly different from control,  $p < 0.01$

\*\*\* Significantly different from control,  $p < 0.001$

DNA synthesis was increased at all dose levels with fluopyram and phenobarbital. Cytotoxicity at 100 and 300  $\mu$ M fluopyram resulted in a loss of dose-related trend in labeling index and no reading, respectively. The positive control substance generated a large increase in DNA synthesis.

**Table 3.** Replicative DNA synthesis

Dose (μM)	Labeling index (%)
0, DMSO	10.97 ± 2.32
1, Fluopyram	30.82 ± 4.04***
3, Fluopyram	33.31 ± 3.66***
10, Fluopyram	37.79 ± 2.39***
30, Fluopyram	42.56 ± 2.09***
100, Fluopyram	28.67 ± 2.80***
300, Fluopyram	excessive cytotoxicity
10, Phenobarbital	24.59 ± 3.00***
100, Phenobarbital	32.34 ± 2.07***
1000, Phenobarbital	29.57 ± 2.99***
25 ng/mL, EGF	46.07 ± 3.29***

<sup>a</sup> Data obtained from page 12 in the study report

\* Significantly different from control, p<0.05

\*\* Significantly different from control, p<0.01

\*\*\* Significantly different from control, p<0.001

There was an increase in PROD, BROD and BQ activity at all dose levels following fluopyram and phenobarbital treatment except the highest doses of fluopyram where cytotoxicity reduces cell counts.

**Table 4.** PROD, BROD and BQ activity

Dose (μM)	PROD (pmol resorufin formed/min/mg protein)	BROD (pmol resorufin formed/min/mg protein)	BQ (nmol 7-hydroxyquinoline formed/min/mg protein)
0, DMSO	0.247 ± 0.046	1.734 ± 0.255	0.045 ± 0.009
1, Fluopyram	0.456 ± 0.079*	4.661 ± 1.087*	0.121 ± 0.011***
3, Fluopyram	0.437 ± 0.146	5.343 ± 0.639***	0.206 ± 0.015***
10, Fluopyram	0.675 ± 0.027***	7.223 ± 0.844***	0.373 ± 0.033***
30, Fluopyram	0.688 ± 0.148**	7.299 ± 2.398*	0.701 ± 0.048***
100, Fluopyram	0.465 ± 0.119*	6.480 ± 0.662***	0.808 ± 0.121***
300, Fluopyram	0.456 ± 0.019**	1.693 ± 0.217	0.066 ± 0.011
10, Phenobarbital	0.529 ± 0.123*	3.835 ± 0.309***	0.077 ± 0.0006**
100, Phenobarbital	1.145 ± 0.222**	10.142 ± 0.704***	0.119 ± 0.004***
1000, Phenobarbital	1.110 ± 0.196**	9.560 ± 1.419***	0.538 ± 0.019***

<sup>a</sup> Data obtained from pages 14-17 in the study report

\* Significantly different from control, p<0.05

\*\* Significantly different from control, p<0.01

\*\*\* Significantly different from control, p<0.001

### III. Discussion

**A. Investigator's Conclusions** – “In conclusion, these data suggest that fluopyram is an activator of both CAR and PXR.”

**B. Reviewer's Conclusions** – The study author's conclusions are valid.

**C. Deficiencies** – There were no significant deficiencies.



Reviewer # 1058

Date August 7, 2013

**Study Type:** Short-term oral (3-day) mechanistic study in mice, gavage and non-guideline

**Test Material (purity):** Fluopyram (94.7% a.i.)

**Synonyms:** AE C656948

**Citation:** PMRA 2310336. Fluopyram Mechanistic 3-Day Toxicity Study in the Mouse by Oral Gavage (Thyroid Hormone Investigations). Bayer S.A.S. Laboratory report number SA 10241. Study report date: 17-May-2011. Applicant Report Number Lynx-PSI N°TXGMP167. **MRID 49005909**

**Sponsor:** Bayer CropScience AG

**MRID:** 49005909

**Executive Summary:** In a short term toxicity study (**MRID 49005909**), fluopyram (94.7% a.i.) was administered to 15 male C57BL/6J mice/dose by gavage in 0.5% aqueous methylcellulose at dose levels of 0, 100 or 300 mg/kg bw/day for 3 days. Phenobarbital was used as a positive control at 80 mg/kg bw/day. Animals were sacrificed the morning after three days of treatment.

There were no compound related effects on mortality, clinical signs, body weight or gross pathology. There were small decreases in body weight gains in all treated groups, though this effect lacked a dose-response relationship in the fluopyram groups.

Plasma T4 levels were significantly decreased in all fluopyram- and phenobarbital-treated mice, but plasma TSH levels were comparable to controls. There was an increase in Tsh beta transcript in the pituitary in all treated groups.

This short-term non-guideline mechanistic study in the mouse is acceptable.

**Compliance:** Signed and dated GLP, Quality Assurance, and Data Confidentiality statements were provided.

## I. Materials and Methods

### A. Materials

Test material:	Fluopyram	
Description:	Light beige solid, stored in an air-tight, light-resistant container at room temperature	
Lot/Batch #:	08528/0002	
Purity:	94.7% a.i.	
CAS #:	Not provided	
Vehicle:	0.5% aqueous methylcellulose	
Positive control:	Phenobarbital, 99.6% in a 0.5% aqueous solution of methylcellulose	
Test species:	Mouse	
Strain:	C57BL/6J	
Age at dosing:	Approximately 8 weeks	
Weight at dosing:	21.1-25.7 g ♀	
Source:	Charles River, France	
Housing:	Suspended, stainless steel wire mesh cages	
Diet:	Certified rodent pelleted and irradiated diet A04C-10, SAFE, France <i>ad libitum</i>	
Water:	Filtered and softened municipal <i>ad libitum</i>	
Environmental conditions:	Temperature:	20-24°C
	Humidity:	40-70%
	Air changes:	10-15/hr
	Photoperiod:	12 hours dark/12 hours light
Acclimation:	5 days	

### B. Study Design and Methods

**1. Study experimentation dates** - Start: September 27, 2010 End: October 1, 2010

**2. Animal assignment** - Animals were assigned randomly to the test groups noted in Table 1.

**Table 1.** Study design

Dose (mg/kg bw/d)	Number of animals
0	15
100	15
300	15
80 (phenobarbital)	15

**3. Test substance preparation** – Both test materials were suspended in a 0.5% aqueous solution of methylcellulose and stored at 4°C.

**4. Statistics** – Performed on body weight and hormonal parameters. The two test paradigms were: 1) An f-test followed by a t-test or a modified t-test with data transformation when

necessary or 2) A Bartlett test to compare homogeneity of group variances followed by ANOVA and Dunnett tests or Kruskal-Wallis and Dunn tests.

This reviewer agrees with the statistical methods used.

## C. Methods

**1. Observations** – Animals were inspected at least once daily for signs of toxicity and mortality.

**2. Body weight** – Animals were weighed pre-test and on study days 1 and 3.

**3. Food consumption and compound intake** – Food consumption was not evaluated.

**4. Ophthalmoscopic examination** – Eyes were not examined.

**5. Hormone measurements** – Blood was collected for analysis the morning of day 4, precisely 24 hours following the last dose. Plasma was prepared from each blood sample and tested for TSH and T4 levels by specific radio-immunoassay.

**6. Urinalysis** – Urine was not collected for analysis.

**7. Sacrifice and pathology** – All animals that died and those sacrificed on schedule were subjected to gross pathological examination. All major organs, tissues and body cavities were examined. Macroscopic abnormalities were recorded, but not examined. Liver lobe pieces were taken from 5 animals from each group. Also, the pituitary, thyroid and parathyroid glands were collected from all animals. Gall bladders were collected from each animal and pooled within subgroups of 3 or 4, then centrifuged and the bile was tested for T4 measurements.

**8. qPCR analysis** – Total cytoplasmic RNA was isolated from the pituitary glands of all animals. A quantitative PCR was performed to determine the relative quantity (RQ) value of beta subunit Tsh transcript.

## II. Results

### A. Observations:

**1. Clinical signs of toxicity** – There were no treatment-related clinical signs in the fluopyram or phenobarbital groups.

**2. Mortality** – There were no mortalities during this study.

**B. Body Weight** – There was no effect on body weight related to fluopyram or phenobarbital treatment. Body weight gains were statistically significantly decreased, however, the absolute



magnitude of the change is small.

**Table 2.** Mean body weights (g) and body weight gains (g) following 3 days of treatment

mg/kg bw/d	0 n = 15	100 n = 15	300 n = 15	80 PB n = 15
BW Day 1	23.1 ± 1.2	23.1 ± 1.1	23.1 ± 1.1	23.4 ± 1.1
BW Day 3	23.4 ± 1.4	23.1 ± 1.1	23.0 ± 1.1	22.6 ± 1.3
Total BWG	0.14 ± 0.22	-0.03 ± 0.18*	-0.01 ± 0.11*	-0.39 ± 0.20**

<sup>a</sup> Data obtained from pages 64-88 in the study report

\* Significantly different from control, p<0.05

\*\* Significantly different from control, p<0.01

BW body weight, BWG body weight gain

**C. Compound Intake** – See compound intake in table 1.

**D. Hormone Analysis** – Plasma T4 levels were significantly reduced in fluopyram and phenobarbital treated animals. Plasma TSH levels were comparable between all groups. Bile T4 levels were very slightly increased at 300 mg/kg bw/day of fluopyram, but decreased in the phenobarbital group. These bile results did not match the expected results and the data were considered flawed by the study authors, attributed to potential technical errors. As bile is widely considered the primary route for phenobarbital-induced T4 elimination following hepatic metabolism, a procedural or measurement error is a plausible explanation for the observed results.

**Table 3.** Mean plasma hormone values following 3 days of treatment

mg/kg bw/d	0 n = 15	100 n = 15	300 n = 15	80 PB n = 15
T4 (nmol/L)	34.2 ± 8.7	25.4 ± 6.1**	22.6 ± 4.6**	21.3 ± 3.1**
TSH (ng/mL)	3.45 ± 0.40	3.37 ± 0.24	3.53 ± 0.29	3.55 ± 0.37

<sup>a</sup> Data obtained from page 19 in the study report

\* Significantly different from control, p<0.05

\*\* Significantly different from control, p<0.01

**Table 4.** Mean bile hormone values following 3 days of treatment

mg/kg bw/d	0 n = 15	100 n = 15	300 n = 15	80 PB n = 15
T4 (nmol/L)	79.2 ± 7.9	79.0 ± 6.1	83.9 ± 8.6	64.5 ± 4.4**

<sup>a</sup> Data obtained from page 20 in the study report

\* Significantly different from control, p<0.05

\*\* Significantly different from control, p<0.01

**D. Sacrifice and Pathology** – There were no treatment-related observations.

**E. qPCR analysis** – There was a significant increase of Tsh beta transcript in the pituitary gland of fluopyram and phenobarbital treated animals.

**Table 5.** Mean relative quantity of transcript following 3 days of treatment

mg/kg bw/d	0 n = 15	100 n = 15	300 n = 15	80 PB n = 15
Tsh beta	0.994 ± 0.124	1.138 ± 0.230	1.404 ± 0.253**	1.381 ± 0.198**

<sup>a</sup> Data obtained from page 19 in the study report

\* Significantly different from control, p<0.05

\*\* Significantly different from control, p<0.01

### III. Discussion

**A. Investigator's Conclusions** – “This study demonstrates that fluopyram administration by oral gavage at nominal concentrations of 100 and 300 mg/kg/day, in the C57BL/6J mouse for 3 days, induced a decrease in plasma T4 levels associated with an increase in the levels of Tsh transcript (beta subunit) in the pituitary gland, whereas no increase of plasma TSH levels were detected.”

**B. Reviewer's Conclusions** – The study author's conclusions are valid.

**C. Deficiencies** – There were no significant deficiencies.



Reviewer # 1058

Date July 31, 2013

**Study Type:** Short-term oral (7-day) mechanistic study in rats, dietary and non-guideline

**Test Material (purity):** Fluopyram (94.7% a.i.)

**Synonyms:** None

**Citation:** PMRA 2310335. Fluopyram Mechanistic Investigation in the Female Rat by Dietary Administration for up to 7 Days. Bayer S.A.S. Laboratory report number SA 10240. Study report date: 20-May-2011. Applicant Report Number Lynx-PSI N°TXGMP166. MRID: 49005910

**Sponsor:** Bayer CropScience AG

**MRID:** 49005910

**Executive Summary:** In a short term toxicity study (MRID: 49005910), fluopyram (94.7% a.i.) was administered to 30 female Wistar rats/dose in diet at dose levels of 0, 30, 75, 150, 600 or 1500 ppm (0, 2.4, 6.2, 12.0, 46.1, or 117.6 mg/kg bw/day) for up to 7 days. Phenobarbital was used as a positive control at 80 mg/kg bw/day. In each group, 15 animals were sacrificed the morning after three days of treatment and the other 15 were sacrificed the morning after seven days of treatment.

There were no treatment-related clinical signs in the fluopyram groups. The phenobarbital group had reduced motor activity and increased ocular discharge. There were no mortalities and no effect on body weight or food consumption. The phenobarbital group had small decrease in body weight gain compared to controls.

Absolute and/or relative liver weights were increased in fluopyram groups at  $\geq 46.1$  mg/kg bw/day and in the phenobarbital group at day 3. Only the highest dose fluopyram group had both increased absolute and relative liver weights at day 7, while the phenobarbital group had increased relative liver weight and marginally higher absolute liver weight. Visibly enlarged livers were noted in the top two fluopyram groups but not in the phenobarbital-treated rats. Hepatocellular hypertrophy was found in fluopyram and phenobarbital-treated rats. An increase in mitosis in hepatocytes was observed at day 3, but not in day 7 fluopyram-treated rats and at both time points for phenobarbital-treated rats. Mean cell proliferation was increased starting at 12.0 mg/kg bw/day fluopyram after both 3 and 7 days of treatment. In the phenobarbital group,

centrilobular proliferation was more pronounced than perilobular at both time points, though both were increased compared to controls. Most hepatotoxicity enzyme markers and gene transcripts tested were increased starting at 46.1 mg/kg bw/day of fluopyram. The phenobarbital results were often similar to those produced with fluopyram, though some notable exceptions were identified.

This short-term non-guideline mechanistic study in the rat is acceptable.

**Compliance:** Signed and dated GLP, Quality Assurance, and Data Confidentiality statements were provided.

## I. Materials and Methods

### A. Materials

<b>Test material:</b>	Fluopyram	
<b>Description:</b>	Light beige solid, stored in an air-tight, light-resistant container at room temperature	
<b>Lot/Batch #:</b>	C656948-02-01, mix 08528/0002	
<b>Purity:</b>	94.7% a.i.	
<b>CAS #:</b>	Not provided	
<b>Vehicle:</b>	Diet	
<b>Positive control:</b>	Phenobarbital, 99.6% in a 0.5% aqueous solution of methylcellulose	
<b>Test species:</b>	Rat	
<b>Strain:</b>	Wistar Rj:WI (IOPS HAN)	
<b>Age at dosing:</b>	Approximately 10 weeks	
<b>Weight at dosing:</b>	218-263 g ♀	
<b>Source:</b>	R. Janvier, France	
<b>Housing:</b>	Suspended, stainless steel wire mesh cages	
<b>Diet:</b>	Certified rodent pelleted and irradiated diet A04C-10, SAFE, France <i>ad libitum</i>	
<b>Water:</b>	Filtered and softened municipal <i>ad libitum</i>	
<b>Environmental conditions:</b>	<b>Temperature:</b>	20-24°C
	<b>Humidity:</b>	40-70%
	<b>Air changes:</b>	10-15/hr
	<b>Photoperiod:</b>	12 hours dark/12 hours light
<b>Acclimation:</b>	Approximately 12 days	

### B. Study Design and Methods

- Study experimentation dates** - Start: July 12, 2010      End: July 23, 2010
- Animal assignment** – Animals were assigned randomly to the test groups noted in Table 1.

**Table 1.** Study design

Dose (mg/kg bw/d)	Concentration in diet (ppm)	Number of animals
0	0	30
2.4	30	30
6.2	75	30
12.0	150	30
46.1	600	30
117.6	1500	30
80 (phenobarbital)	n/a	30

**3. Diet preparation and analysis** - Diet was prepared once by mixing appropriate amounts of test substance with the test diet and was stored at room temperature. Homogeneity was verified at the lowest and highest concentrations. Those samples were also used for concentration analysis. The concentration analysis at the other dose levels was assessed at the time of preparation. The stability was tested in a previous study and was found to be acceptable.

**Results - Homogeneity Analysis:** Less than 10% of nominal variance within samples

**Stability Analysis:** From study SA 03332, stable in diet up to 105 days at room temperature

**Concentration Analysis:** 89-98%

The analytical data indicated that the mixing procedure was adequate and that the variance between nominal and actual dosage to the animals was acceptable.

**4. Statistics** – For body weight, organ weight, food consumption, total cytochrome P450 content and liver enzyme activities and gene transcript analyses, the Bartlett test was performed to compare homogeneity of group variances. These results were then compared by ANOVA and Dunnett tests or Kruskal-Wallis and Dunn tests.

For cell proliferation analyses, a Levene test was performed followed by a Dunnett test.

For the phenobarbital treated group, F tests and t-tests were used for all parameters except cell proliferation which was also analyzed using the exact Mann-Whitney test.

This reviewer agrees with the statistical methods used.

## C. Methods

**1. Observations** – Animals were inspected daily for signs of toxicity and mortality.

**2. Body weight** – Animals were weighed on study day 1 and again the day before sacrifice.

**3. Food consumption and compound intake** – Food consumption for each animal was determined and mean daily diet consumption was calculated as g food/kg body weight/day. Compound intake (mg/kg bw/day) values were calculated as time-weighted averages from the consumption and body weight gain data.

**4. Ophthalmoscopic examination** – Eyes were not examined.

**5. Hematology and clinical chemistry** – Blood was not collected for analysis.

**6. Urinalysis** – Urine was not collected for analysis.

**7. Sacrifice and pathology** – All animals that died and those sacrificed on schedule were subjected to gross pathological examination. The brain and liver were weighed. The liver, duodenum and any macroscopic findings were kept for further study. The liver samples were flash frozen for qPCR investigations. Liver and duodenum samples were preserved in paraffin wax for histopathological examination, subjected to Ki 67 staining for cell proliferation measurements and homogenized for microsomal preparations to determine total cytochrome P-450 content, specific cytochrome P-450 enzyme activities and UDPGT specific isoenzyme profiles.

## II. Results

### A. Observations:

**1. Clinical signs of toxicity** – There were no treatment-related clinical signs in the fluopyram groups. The phenobarbital group had reduced motor activity and increased ocular discharge at both 3 and 7 days.

**2. Mortality** – There were no mortalities during this study.

**B. Body Weight** – There were no body weight effects related to fluopyram treatment. There was a slight reduction in body weight gain in the phenobarbital subgroup at day 7.

**Table 2.** Mean body weights (g) and body weight gains (g) following 3 or 7 days of treatment

mg/kg bw/d	0 n = 15	2.4 n = 15	6.2 n = 15	12.0 n = 15	46.1 n = 15	117.6 n = 15	80 PB n = 15
<b>Dosing for 3 days</b>							
<b>BW Day 1</b>	236 ± 12	236 ± 7	235 ± 9	237 ± 9	237 ± 11	235 ± 7	237 ± 11
<b>BW Day 3</b>	236 ± 12	238 ± 9	236 ± 10	238 ± 7	238 ± 13	236 ± 7	237 ± 11
<b>Total BWG</b>	0 ± 4	2 ± 6	1 ± 6	1 ± 5	1 ± 5	1 ± 5	-1 ± 5
<b>Dosing for 7 days</b>							
<b>BW Day 1</b>	241 ± 9	241 ± 13	241 ± 12	236 ± 9	238 ± 12	241 ± 13	241 ± 11
<b>BW Day 7</b>	254 ± 10	254 ± 14	252 ± 15	253 ± 10	249 ± 9	252 ± 13	250 ± 8
<b>Total BWG</b>	13 ± 7	13 ± 9	11 ± 6	16 ± 6	11 ± 6	12 ± 9	10 ± 8

<sup>a</sup> Data obtained from pages 64-88 in the study report

\* Significantly different from control, p<0.05

\*\* Significantly different from control, p<0.01

BW body weight, BWG body weight gain

## C. Food Consumption and Compound Intake

**1. Food consumption** – There was no treatment-related effect.

**2. Compound consumption** – See compound intake in table 1.

## D. Sacrifice and Pathology

**1. Organ weight** – Absolute and relative liver weights were increased in the top two fluopyram groups and in the phenobarbital group at day 3. Only the highest dose fluopyram group had both increased absolute and relative liver weights at day 7, while the phenobarbital group had increased relative liver weight and marginally higher absolute liver weight (8%).

**Table 3.** Mean liver weights (g) following 3 or 7 days of treatment

mg/kg bw/d	0 n = 15	2.4 n = 15	6.2 n = 15	12.0 n = 15	46.1 n = 15	117.6 n = 15	80 PB n = 15
<b>Day 3</b>							
<b>Body weight</b>	236 ± 12	238 ± 9	236 ± 10	238 ± 7	238 ± 13	236 ± 7	237 ± 11
<b>Abs. liver</b>	5.84 ± 0.30	5.93 ± 0.35	5.97 ± 0.40	6.16 ± 0.26	6.23 ± 0.49*	6.84 ± 0.38**	6.36 ± 0.57**
<b>Rel. liver</b>	2.69 ± 0.08	2.70 ± 0.15	2.75 ± 0.13	2.79 ± 0.10	2.83 ± 0.17*	3.15 ± 0.17**	2.93 ± 0.22**
<b>Day 7</b>							
<b>Body weight</b>	254 ± 10	254 ± 14	252 ± 15	253 ± 10	249 ± 9	252 ± 13	250 ± 8
<b>Abs. liver</b>	6.18 ± 0.91	5.88 ± 0.53	5.96 ± 0.43	5.96 ± 0.35	6.29 ± 0.49	7.17 ± 0.51**	6.66 ± 0.37
<b>Rel. liver</b>	2.67 ± 0.34	2.52 ± 0.13	2.59 ± 0.14	2.61 ± 0.20	2.76 ± 0.16	3.16 ± 0.16**	2.95 ± 0.17**

<sup>a</sup> Data obtained from pages 35-36 in the study report

\* Significantly different from control, p<0.05

\*\* Significantly different from control, p<0.01

**2. Gross pathology** – Visibly enlarged livers were noted in two and three 117.6 mg/kg bw/day fluopyram rats at day 3 and 7, respectively. There was no effect in the phenobarbital-treated rats.

**3. Microscopic pathology** – After 3 or 7 days of treatment, minimal to slight centrilobular to panlobular hepatocellular hypertrophy was found in the highest dose fluopyram and in phenobarbital treated rats. An increase in mitosis in hepatocytes was observed at day 3, but not day 7 fluopyram rats and at both time points for phenobarbital treated rats.

**Table 4.** Incidence of histopathological findings following 3 or 7 days of treatment

mg/kg bw/d	0 n = 15	2.4 n = 15	6.2 n = 15	12.0 n = 15	46.1 n = 15	117.6 n = 15	80 PB n = 15
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Day 3							
Min. hepato. hypertrophy	0	0	0	0	0	6	3
Incr. mitosis	0	0	0	0	0	4	3
Day 7							
Min. hepato. hypertrophy	0	0	0	0	1	6	6
Sl. hepato. hypertrophy	0	0	0	0	0	8	3
Incr. mitoses	0	0	0	0	0	0	3

<sup>a</sup> Data obtained from pages 37-38 in the study report

\* Significantly different from control, p<0.05

\*\* Significantly different from control, p<0.01

**4. Cellular proliferation** – Mean cell proliferation was statistically significantly increased at 12.0 mg/kg bw/day fluopyram after both 3 and 7 days of treatment. In the phenobarbital group, centrilobular proliferation was more pronounced than perilobular proliferation at both time points, though both were increased compared to controls.

**Table 5.** Mean cell proliferation indices following 3 or 7 days of treatment

mg/kg bw/d	0 n = 15	2.4 n = 15	6.2 n = 15	12.0 n = 15	46.1 n = 15	117.6 n = 15	80 PB n = 15
Day 3							
Centrilobular	14.6 ± 7.4	11.8 ± 8.0	13.4 ± 5.9	25.1 ± 11.1*	57.3 ± 20.1**	99.5 ± 62.3**	46.25 ± 36.24**
Perilobular	11.1 ± 5.1	10.7 ± 5.8	15.4 ± 8.2	22.6 ± 13.4**	36.6 ± 15.7**	67.4 ± 30.0**	17.48 ± 8.95*
Total	12.8 ± 5.8	11.2 ± 6.4	14.4 ± 5.8	23.8 ± 10.6**	47.0 ± 15.8**	83.4 ± 38.3**	31.87 ± 19.80**
Day 7							
Centrilobular	8.3 ± 5.3	11.2 ± 7.6	12.0 ± 6.1	20.4 ± 13.0**	27.8 ± 12.1**	32.2 ± 19.6**	58.6 ± 33.9**
Perilobular	10.5 ± 6.1	15.3 ± 11.2	10.3 ± 5.1	18.4 ± 8.1**	27.0 ± 13.0**	34.9 ± 17.2**	16.2 ± 10.2
Total	9.4 ± 5.1	13.2 ± 8.6	11.1 ± 5.1	19.4 ± 9.6**	27.4 ± 9.8**	33.5 ± 15.0**	37.4 ± 19.6**

<sup>a</sup> Data obtained from pages 39-40 in the study report

\* Significantly different from control, p<0.05

\*\* Significantly different from control, p<0.01

**5. Hepatotoxicity** – Total P450 was affected in fluopyram-treated rats starting at 117.6 mg/kg bw/day and in phenobarbital-treated rats. EROD was also only affected in high dose fluopyram-treated rats, but not in the phenobarbital group. BROD, PROD, UDPGT-4-nitrophenol and UDPGT-bilirubin were statistically significantly increased starting at 46.1 mg/kg bw/day. Only BROD, PROD and UDPGT-4-nitrophenol were increased in the phenobarbital group.

**Table 6.** Mean content or enzyme activity following 7 days of treatment

mg/kg bw/d	0 n = 5	2.4 n = 5	6.2 n = 5	12.0 n = 5	46.1 n = 5	117.6 n = 5	80 PB n = 5
<b>Day 7</b>							
<b>Total P450</b>	0.95 ± 0.24	0.91 ± 0.12	0.98 ± 0.07	1.09 ± 0.17	1.00 ± 0.13	1.31 ± 0.24*	1.62 ± 0.47*
<b>EROD</b>	47.59 ± 8.52	50.09 ± 6.67	44.52 ± 6.27	48.58 ± 5.30	53.20 ± 5.63	77.47 ± 14.97*	53.31 ± 7.62
<b>BROD</b>	8.88 ± 0.26	9.65 ± 0.79	10.43 ± 0.84	12.81 ± 3.48	21.47 ± 12.24**	52.30 ± 32.21**	246.25 ± 156.08*
<b>PROD</b>	2.69 ± 0.22	3.60 ± 0.36	3.93 ± 0.86	3.78 ± 1.78	5.81 ± 2.64*	12.27 ± 5.99**	28.49 ± 11.64**
<b>UDPGT-4-nitrophenol</b>	6.82 ± 0.57	7.17 ± 1.40	7.48 ± 1.21	8.67 ± 1.14	10.35 ± 0.89**	20.52 ± 4.34*	12.68 ± 1.50**
<b>UDPGT-bilirubin</b>	0.79 ± 0.26	0.83 ± 0.20	1.02 ± 0.18	1.14 ± 0.34	1.52 ± 0.13**	2.10 ± 0.28**	1.08 ± 0.23

<sup>a</sup> Data obtained from pages 132-134 in the study report

\* Significantly different from control, p<0.05

\*\* Significantly different from control, p<0.01

**6. Gene transcript analysis** – Gene transcript expression was increased in nearly all cases, especially starting around 46.1 mg/kg bw/day of fluopyram. The phenobarbital results were often similar to those produced with fluopyram, though Cyp1a1 and Cyp2b1 were notable exceptions; Cyp1a1 was not affected by phenobarbital and the Cyp2b1 activity was far greater in the phenobarbital group than in the fluopyram group.

**Table 7.** Mean relative quantity following 3 or 7 days of treatment

mg/kg bw/d	0 n = 15	2.4 n = 15	6.2 n = 15	12.0 n = 15	46.1 n = 15	117.6 n = 15	80 PB n = 15
<b>Day 3</b>							
<b>POR</b>	0.87 ± 0.24	0.82 ± 0.29	0.96 ± 0.37	0.71 ± 0.21	0.95 ± 0.30	0.98 ± 0.39	0.70 ± 0.24
<b>Cyp1a1</b>	1.35 ± 1.14	1.12 ± 0.68	1.51 ± 1.61	2.30 ± 2.30	9.87 ± 8.29**	84.6 ± 51.6**	1.65 ± 2.05
<b>Cyp2b1</b>	1.27 ± 1.87	0.81 ± 0.56	1.44 ± 0.86	4.2 ± 3.38**	63.0 ± 71.2**	309.5 ± 176.7**	962.8 ± 892.5**
<b>Cyp3a3</b>	0.93 ± 0.49	1.01 ± 0.50	1.38 ± 0.68*	2.41 ± 0.89**	7.64 ± 2.23**	20.0 ± 6.45**	10.12 ± 7.18**
<b>Cyp4a1</b>	1.46 ± 0.33	1.37 ± 0.38	1.51 ± 0.40	1.30 ± 0.40	1.43 ± 0.46	1.14 ± 0.27	0.52 ± 0.16**
<b>Gsta2</b>	0.51 ± 0.45	0.58 ± 0.60	0.52 ± 0.34	0.83 ± 0.54	1.02 ± 0.67**	2.35 ± 1.31**	2.22 ± 1.63**
<b>Gstm4</b>	0.83 ± 0.35	1.05 ± 0.69	1.24 ± 0.78	1.01 ± 0.99	2.15 ± 1.23**	3.86 ± 2.09**	3.62 ± 3.82*
<b>Udpgr2</b>	1.77 ± 0.93	1.68 ± 0.79	2.00 ± 0.89	2.97 ± 2.13	4.27 ± 2.03**	6.66 ± 3.68**	7.83 ± 5.43**
<b>Ephx1</b>	0.85 ± 0.24	0.91 ± 0.30	0.84 ± 0.31	1.00 ± 0.36	1.73 ± 0.44**	3.12 ± 0.93**	3.30 ± 2.30**
<b>Ephx2</b>	1.14 ± 0.31	0.92 ± 0.29	0.94 ± 0.21	1.02 ± 0.26	1.18 ± 0.33	0.95 ± 0.32	0.53 ± 0.23**

<b>Sult1e1</b>	ND	ND	ND	ND	ND	ND	ND
<b>Tacstd1</b>	1.19 ± 0.17	1.10 ± 0.16	1.09 ± 0.21	1.12 ± 0.23	1.26 ± 0.22	1.66 ± 0.34**	1.08 ± 0.18
<b>Gadd45b</b>	0.80 ± 0.26	0.80 ± 0.29	0.84 ± 0.36	0.90 ± 0.23	1.16 ± 0.45*	1.34 ± 0.57**	1.31 ± 0.67**
<b>Rb1</b>	1.01 ± 0.15	0.93 ± 0.15	0.96 ± 0.15	1.05 ± 0.30	1.04 ± 0.26	0.82 ± 0.14*	0.82 ± 0.15**
<b>Day 7</b>							
<b>POR</b>	0.85 ± 0.35	0.81 ± 0.29	0.81 ± 0.26	0.91 ± 0.30	1.03 ± 0.42	0.99 ± 0.36	0.66 ± 0.20
<b>Cyp1a1</b>	2.26 ± 1.30	3.07 ± 3.87	4.00 ± 3.87	10.31 ± 11.01**	143.7 ± 81.0**	503.8 ± 170.5**	1.83 ± 1.24
<b>Cyp2b1</b>	0.95 ± 0.74	2.43 ± 1.85	2.93 ± 3.87	13.64 ± 12.06**	310.2 ± 322.0**	1362.3 ± 1422.2**	2776.8 ± 1842.7**
<b>Cyp3a3</b>	0.99 ± 0.59	1.46 ± 0.84	1.93 ± 0.79**	3.59 ± 1.65**	12.32 ± 3.75**	28.27 ± 10.15**	16.26 ± 10.45**
<b>Cyp4a1</b>	0.73 ± 0.26	0.70 ± 0.22	0.62 ± 0.14	0.64 ± 0.24	0.64 ± 0.19	0.46 ± 0.11**	0.40 ± 0.08**
<b>Gsta2</b>	2.08 ± 1.70	1.37 ± 0.56	1.97 ± 1.45	2.74 ± 1.78	3.60 ± 1.83**	7.03 ± 4.57**	4.79 ± 2.30**
<b>Gstm4</b>	2.02 ± 1.02	2.42 ± 1.76	1.81 ± 0.97	2.78 ± 1.54	4.20 ± 1.82*	11.3 ± 19.5**	18.3 ± 11.8**
<b>Udpgr2</b>	2.53 ± 1.28	3.58 ± 1.32	3.91 ± 1.16**	3.46 ± 1.50	7.33 ± 3.22**	12.01 ± 4.25**	13.1 ± 7.81**
<b>Ephx1</b>	1.19 ± 0.41	1.46 ± 0.25	1.34 ± 0.32	1.20 ± 0.39	2.12 ± 0.69**	4.21 ± 2.34**	4.76 ± 2.43**
<b>Ephx2</b>	1.19 ± 0.44	1.18 ± 0.38	1.09 ± 0.48	1.03 ± 0.43	0.95 ± 0.38	0.72 ± 0.35*	0.81 ± 0.15**
<b>Sult1e1</b>	ND	ND	ND	ND	ND	ND	ND
<b>Tacstd1</b>	1.41 ± 0.32	1.55 ± 0.37	1.36 ± 0.23	1.10 ± 0.26	1.38 ± 0.45	1.51 ± 0.34	1.13 ± 0.18**
<b>Gadd45b</b>	1.20 ± 0.45	1.41 ± 0.43	1.13 ± 0.34	1.25 ± 0.65	1.55 ± 0.53	2.19 ± 1.27*	2.07 ± 0.89**
<b>Rb1</b>	0.90 ± 0.18	0.98 ± 0.15	0.89 ± 0.13	0.83 ± 0.17	0.87 ± 0.14	0.79 ± 0.15	0.71 ± 0.12**

<sup>a</sup> Data obtained from pages 42-46 in the study report

ND Not detected

\* Significantly different from control, p<0.05

\*\* Significantly different from control, p<0.01

### III. Discussion

**A. Investigator's Conclusions** – “Overall, clear and statistically significant changes in the liver (cell proliferation, hypertrophy and enzyme activity as well as associated changes in gene expression) were observed following Fluopyram treatment. These changes were recorded as early as following 3 days of treatment and starting from 150 ppm. The dose of 75 ppm was considered as a No Observed Adverse Effect Level (NOAEL; based on the increased gene expression of Cyp3a3 at this dose level) and 30 ppm as a No Observed Effect Level (NOEL).”

**B. Reviewer's Conclusions** – The study author's conclusions are valid.

**C. Deficiencies** – There were no significant deficiencies.



Reviewer # 1058

Date August 7, 2013

**Study Type:** Short-term oral (28-day) mechanistic study in mice, diet and non-guideline

**Test Material (purity):** Fluopyram (94.7% a.i.)

**Synonyms:** AE C656948

**Citation:** PMRA 2310349. Fluopyram Mechanistic 28-Day Toxicity Study in the Mouse by Dietary Administration (Hepatotoxicity and Thyroid Hormone Investigations). Bayer S.A.S. Laboratory report number SA 11105. Study report date: 20-March-2012. Applicant Report Number Lynx-PSI N°TXGML011. MRID 49005911.

PMRA 2310355. Fluopyram: Assessment of Pentoxoresorufin-O-depentylation and Benzyloxyquinoline-O-debenzylation in 50 Liver Microsomal Samples. CXR Biosciences Ltd, Dundee. Laboratory report number CXR1284. Study report date: 18-April-2013. MRID 49005903

**Sponsor:** Bayer CropScience AG

**MRID:** 49005911 & 49005903

**Executive Summary:** In 28-day mechanistic study(MRID 49005911 & 49005903), fluopyram (94.7% a.i.) was administered to 15 male C57BL/6J mice/dose in diet at dose levels of 0, 30, 75, 150, 600 or 750 ppm (0, 5, 13, 25, 102 or 128 mg/kg bw/day) for 28 days. Phenobarbital by gavage in 0.5% aqueous methylcellulose 400 was used as a positive control at 80 mg/kg bw/day. A 28 day recovery period was allowed for a further 15 males/dose for the controls, high dose fluopyram and phenobarbital groups. Animals were sacrificed the morning after the end of treatment.

There were no treatment-related clinical signs or mortalities in the fluopyram groups. The phenobarbital group showed reduced motor activity during the dosing phase and there were three deaths between days 2 and 7. There were no treatment-related clinical signs in any group during the recovery phase. There was no effect on body weight related to fluopyram treatment. Decreased body weight and body weight gain were noted in phenobarbital-treated mice during treatment followed by increased body weight gains compared to controls during the recovery phase. There was no effect on food consumption in the fluopyram-treated groups. The phenobarbital group had a small reduction in food consumption during the first week of dosing.

Plasma T4 levels were decreased in fluopyram- and phenobarbital-treated animals while plasma

TSH levels were comparable amongst all groups. Following the recovery phase, plasma hormone levels were comparable across groups.

Absolute and relative liver weights were increased in both fluopyram-treated mice starting at 25 mg/kg bw/day and the phenobarbital-treated mice following the dosing phase. After the recovery phase, all groups had comparable liver weights.

UDPGT enzymatic activity towards bilirubin was increased in all treated animals and reached statistical significance at 102 and 128 mg/kg bw/day of fluopyram. Similar results were noted for UDPGT activity towards thyroxine, though the dose-response relationship was not strong at the high dose. Following the recovery phase, the UDPGT activities were roughly comparable to controls. PROD and BQ activities were increased in fluopyram- and phenobarbital-treated mice at 28 days. The activities of both PROD and BQ were statistically comparable to controls following the recovery period.

There was an increase of Tsh beta transcript in the pituitary gland of fluopyram- and phenobarbital-treated animals following the dosing phase. After the recovery phase, the fluopyram-treated mice had slightly elevated relative tsh b, but the phenobarbital-treated mice were similar to controls.

This short-term non-guideline mechanistic study in the mouse is acceptable.

**Compliance:** Signed and dated GLP, Quality Assurance, and Data Confidentiality statements were provided.

## I. Materials and Methods

### A. Materials

Test material:	Fluopyram	
Description:	Light beige solid, stored in an air-tight, light-resistant container at room temperature	
Lot/Batch #:	08528/0002	
Purity:	94.7% a.i.	
CAS #:	Not provided	
Vehicle:	0.5% aqueous methylcellulose	
Positive control:	Phenobarbital, 99.6% in a 0.5% aqueous solution of methylcellulose	
Test species:	Mouse	
Strain:	C57BL/6J	
Age at dosing:	Approximately 8 weeks	
Weight at dosing:	18.1-24.7 g ♂	
Source:	Charles River, France	
Housing:	Suspended, stainless steel wire mesh cages	
Diet:	Certified rodent pelleted and irradiated diet A04CP1-10, SAFE, France <i>ad libitum</i>	
Water:	Filtered and softened municipal <i>ad libitum</i>	
Environmental conditions:	Temperature:	20-24°C
	Humidity:	40-70%
	Air changes:	10-15/hr
	Photoperiod:	12 hours dark/12 hours light
Acclimation:	At least 13 days	

### B. Study Design and Methods

1. Study experimentation dates - Start: May 24, 2011 End: July 25, 2011

2. Animal assignment - Animals were assigned randomly to the test groups noted in Table 1.

**Table 1.** Study design

Dose (mg/kg bw/d)	Concentration in diet (ppm)	Number of animals, 28 d	Number of animals, recovery
0	0	15	15
5	30	15	0
13	75	15	0
25	150	15	0
102	600	15	0
128	750	15	15
80 (phenobarbital)	n/a	15	15

3. Test substance preparation – Diet was prepared by mixing appropriate amounts of test substance with the test diet and was stored at room temperature. Homogeneity was verified at the lowest and highest concentrations. Those samples were also used for concentration analysis. The concentration analysis at the other dose levels was assessed at the time of preparation. The

stability was tested in a previous study and was found to be acceptable. Phenobarbital was suspended in a 0.5% aqueous solution of methylcellulose and stored at 5°C.

**Results - Homogeneity Analysis:** Less than 10% of nominal variance within samples

**Stability Analysis:** From study SA 03332, stable in diet up to 105 days at room temperature

**Concentration Analysis:** 89-98%

The analytical data indicated that the mixing procedure was adequate and that the variance between nominal and actual dosage to the animals was acceptable.

**4. Statistics** – Performed on body weight and hormonal parameters. The two test paradigms were  
1) An f-test followed by a t-test or a modified t-test with data transformation when necessary or  
2) A Bartlett test to compare homogeneity of group variances followed by ANOVA and Dunnett tests or Kruskal-Wallis and Dunn tests.

The microsomal analysis was performed by 2-tailed Student's t-test.

This reviewer agrees with the statistical methods used.

## C. Methods

**1. Observations** – Animals were inspected at least once daily for signs of toxicity and mortality.

**2. Body weight** – Animals were weighed pre-test, on day 1 and weekly thereafter.

**3. Food consumption and compound intake** – Food consumption for each animal was determined weekly and mean daily diet consumption was calculated as g food/kg body weight/day. Compound intake (mg/kg bw/day) values were calculated as time-weighted averages from the consumption and body weight gain data.

**4. Ophthalmoscopic examination** – Eyes were not examined.

**5. Hormone measurements** – Blood was collected for analysis the morning of sacrifice. Plasma was prepared from each blood sample and tested for TSH and T4 levels by specific radio-immunoassay.

**6. Urinalysis** – Urine was not collected for analysis.

**7. Sacrifice and pathology** – All animals that died and those sacrificed on schedule were subjected to gross pathological examination. All major organs, tissues and body cavities were



examined. Macroscopic abnormalities were recorded, but not examined. Liver lobe pieces were taken from 5 animals from each group and then homogenized for UDPGT specific isoenzyme activities. Liver microsome samples were frozen and sent for analysis by CXR and the results are included in this study review.

**8. qPCR analysis** – Total cytoplasmic RNA was isolated from the pituitary glands of all animals. A quantitative PCR was performed to determine the relative quantity (RQ) value of beta subunit Tsh transcript.

## II. Results

### A. Observations:

**1. Clinical signs of toxicity** – There were no treatment-related clinical signs in the fluopyram groups. The phenobarbital group showed reduced motor activity during the dosing phase. There were no treatment-related clinical signs in any group during the recovery phase.

**2. Mortality** – There were no mortalities in the fluopyram groups during this study. Three phenobarbital animals died; one was a sacrifice on day 2 and two were found dead, days 5 and 7. The study administrators believed that the phenobarbital concentration was initially too high at 16 g/L and 5 mL/kg bw. This dose concentration and amount was changed to 8 g/L and 10 mL/kg bw.

**B. Body Weight** – There was no effect on body weight related to fluopyram treatment. Fluopyram-treated animals showed decreased body weight gain during the recovery phase, but this is considered incidental to treatment. Body weights were statistically significantly decreased in phenobarbital-treated mice during treatment, but the initial body weight was low so these changes are minor. In that same group, body weight gains were greatly reduced throughout the dosing phase. The phenobarbital group showed increased body weight gains compared to controls during the recovery phase.

**Table 2.** Mean body weights (g) and body weight gains (g) following 28 days of treatment plus recovery (%C)

mg/kg bw/d	0 n = 30-15	5 n = 15	13 n = 15	25 n = 15	102 n = 15	128 n = 30-15	80 PB n = 30-15
Dosing for 28 days							
<b>BW Day 1</b>	21.8 ± 1.3	21.6 ± 1.3	21.7 ± 1.3	21.8 ± 1.4	21.8 ± 1.4	21.6 ± 1.3	21.4 ± 1.5
<b>BW Day 8</b>	22.6 ± 1.1	22.1 ± 1.3	22.3 ± 1.1	22.5 ± 1.3	22.5 ± 1.4	22.4 ± 1.5	21.7 ± 1.3** (↓4)
<b>BW Day 29</b>	24.2 ± 0.8	24.1 ± 1.3	24.2 ± 1.0	24.5 ± 1.5	24.2 ± 1.0	24.6 ± 1.3	22.9 ± 1.6** (↓5)
<b>BWG 1-8</b>	0.8 ± 0.5	0.5 ± 0.5	0.7 ± 0.6	0.7 ± 0.5	0.7 ± 0.4	0.8 ± 0.8	0.2 ± 0.7** (↓75)
<b>BWG 1-29</b>	2.4 ± 0.9	2.5 ± 0.8	2.5 ± 0.7	2.7 ± 0.7	2.4 ± 1.1	3.0 ± 0.8	1.4 ± 1.2** (↓42)

Recovery phase							
<b>BW Day 1</b>	24.0 ± 0.9	-	-	-	-	24.7 ± 1.7	22.7 ± 2.0* (↓5)
<b>BW Day 8</b>	25.0 ± 0.9	-	-	-	-	25.1 ± 1.6	24.1 ± 1.7
<b>BW Day 29</b>	26.2 ± 1.2	-	-	-	-	25.8 ± 1.9	25.5 ± 1.5
<b>BWG 1-8</b>	1.0 ± 0.6	-	-	-	-	0.4 ± 0.3** (↓60)	1.5 ± 0.7*
<b>BWG 1-29</b>	2.1 ± 0.8	-	-	-	-	1.1 ± 0.6** (↓48)	2.8 ± 1.0

<sup>a</sup> Data obtained from pages 55-66 in the study report

\* Significantly different from control, p<0.05

\*\* Significantly different from control, p<0.01

BW body weight, BWG body weight gain

### C. Food Consumption and Compound Intake

**1. Food consumption** – There was no effect on food consumption in the fluopyram-treated groups. The phenobarbital group had a 10% reduction in food consumption during the first week of dosing. There were no other treatment-related effects in this group.

**2. Compound Intake** – See compound intake in table 1.

**D. Hormone Analysis** – During the dosing phase, plasma T4 levels were significantly reduced in fluopyram and phenobarbital treated animals while plasma TSH levels were comparable amongst all groups. Following the recovery phase, the plasma hormone levels were comparable across groups.

**Table 3.** Mean plasma hormone values following 28 days of treatment plus recovery

mg/kg bw/d	0 n = 30-15	5 n = 15	13 n = 15	25 n = 15	102 n = 15	128 n = 30-15	80 PB n = 15
Dosing for 28 days							
<b>T4 (nmol/L)</b>	26.1 ± 6.9	18.9 ± 3.1**	17.9 ± 3.4**	19.5 ± 4.6**	16.5 ± 2.3**	16.3 ± 2.9**	20.1 ± 2.7**
<b>TSH (ng/mL)</b>	1.4 ± 0.6	2.1 ± 0.9	1.6 ± 0.5	1.2 ± 0.3	1.6 ± 0.7	1.6 ± 0.6	1.6 ± 0.4
Recovery phase							
<b>T4 (nmol/L)</b>	28.5 ± 5.4	-	-	-	-	27.1 ± 5.6	28.4 ± 7.5
<b>TSH (ng/mL)</b>	1.5 ± 0.3	-	-	-	-	1.4 ± 0.4	1.6 ± 0.5

<sup>a</sup> Data obtained from pages 32-34 in the study report

\* Significantly different from control, p<0.05

\*\* Significantly different from control, p<0.01

**E. Sacrifice and Pathology** – Absolute and relative liver weights were increased in both fluopyram-treated mice starting at 25 mg/kg bw/day and the phenobarbital-treated mice

following the dosing phase. After the recovery phase, all groups had comparable liver weights.

**Table 4.** Mean liver weights following 28 days of treatment plus recovery

mg/kg bw/d	0 n = 30-15	5 n = 15	13 n = 15	25 n = 15	102 n = 15	128 n = 30-15	80 PB n = 15
<b>Dosing for 28 days</b>							
<b>Abs liver</b>	1.31 ± 0.08	1.37 ± 0.13	1.40 ± 0.09	1.45 ± 0.13**	1.67 ± 0.12**	1.78 ± 0.12**	1.44 ± 0.12**
<b>Rel liver</b>	5.34 ± 0.31	5.57 ± 0.31	5.67 ± 0.28*	5.82 ± 0.28**	6.79 ± 0.47**	7.09 ± 0.34**	6.19 ± 0.29**
<b>Recovery phase</b>							
<b>Abs liver</b>	1.32 ± 0.10	-	-	-	-	1.37 ± 0.18	1.33 ± 0.09
<b>Rel liver</b>	4.98 ± 0.30	-	-	-	-	5.19 ± 0.50	5.08 ± 0.28

<sup>a</sup> Data obtained from pages 36-38 in the study report

\* Significantly different from control, p<0.05

\*\* Significantly different from control, p<0.01

UDPGT enzymatic activity towards bilirubin was increased in all treated animals and reached statistical significance at 102 and 128 mg/kg bw/day of fluopyram. Similar results were noted for UDPGT activity towards thyroxine, though the dose-response relationship was not strong at the high dose. Following the recovery phase, the UDPGT activities were roughly comparable to controls.

**Table 5.** Mean enzymatic UDPGT activities following 28 days of treatment plus recovery

mg/kg bw/d	0 n = 30-15	5 n = 15	13 n = 15	25 n = 15	102 n = 15	128 n = 30-15	80 PB n = 15
<b>Dosing for 28 days</b>							
<b>Bilirubin</b>	1.98 ± 0.58	2.22 ± .038	2.39 ± 0.33	2.62 ± 0.20	2.76 ± 0.32*	2.95 ± 0.42**	2.82 ± 0.68
<b>Thyroxine</b>	0.77 ± 0.14	0.77 ± 0.19	0.85 ± 0.20	1.17 ± 0.36	1.41 ± 0.25**	1.03 ± 0.27	1.02 ± 0.21
<b>Recovery phase</b>							
<b>Bilirubin</b>	1.80 ± 0.31	-	-	-	-	1.91 ± 0.24	1.99 ± 0.29
<b>Thyroxine</b>	0.82 ± 0.12	-	-	-	-	0.77 ± 0.33	0.81 ± 0.10

<sup>a</sup> Data obtained from pages 38-40 in the study report

\* Significantly different from control, p<0.05

\*\* Significantly different from control, p<0.01

PROD and BQ activities were greatly increased in a dose-dependent manner in fluopyram-treated mice at 28 days. The phenobarbital-treated mice also showed significant increases at that time point. The activities of both PROD and BQ were statistically comparable to controls following the recovery period.

**Table 6.** Mean activity of liver toxicity markers following 28 days of treatment plus recovery

mg/kg bw/d	0 n = 5	5 n = 5	13 n = 4	25 n = 5	102 n = 5	128 n = 5	80 PB n = 5
<b>Dosing for 28 days</b>							
<b>PROD pmol resorufin formed/min/ mg protein</b>	4.65 ± 1.12	67.22 ± 6.95***	156.89 ± 46.37***	170.99 ± 29.09***	201.51 ± 45.02***	219.06 ± 31.31***	151.87 ± 48.84***
<b>BQ nmol 7- hydroxyquinol ine formed/min/ mg protein</b>	7.59 ± 0.67	10.68 ± 1.92*	16.71 ± 2.61***	21.98 ± 1.76***	39.20 ± 7.49***	47.24 ± 3.85***	23.00 ± 5.24***
<b>Recovery phase</b>							
<b>PROD pmol resorufin formed/min/ mg protein</b>	4.63 ± 0.82	-	-	-	-	5.27 ± 0.75	5.33 ± 0.31
<b>BQ nmol 7- hydroxyquinol ine formed/min/ mg protein</b>	6.93 ± 1.01	-	-	-	-	6.68 ± 1.27	6.45 ± 1.22

<sup>a</sup> Data obtained from pages 7-9 in the study report

\* Significantly different from control, p<0.05

\*\* Significantly different from control, p<0.01

**F. qPCR analysis** – There was a significant increase of Tsh beta transcript in the pituitary gland of fluopyram (starting at 102 mg/kg bw/day) and phenobarbital-treated animals following the dosing phase. After the recovery phase, the fluopyram-treated mice had slightly elevated relative tsh b, but the phenobarbital-treated mice were similar to controls.

**Table 7.** Mean relative quantity of transcript following 28 days of treatment plus recovery

mg/kg bw/d	0 n = 30-15	5 n = 15	13 n = 15	25 n = 15	102 n = 15	128 n = 30-15	80 PB n = 15
<b>Dosing for 28 days</b>							
<b>Tsh b</b>	1.16 ± 0.33	1.22 ± 0.35	1.30 ± 0.34	1.30 ± 0.45	1.66 ± 0.46*	1.78 ± 0.72**	1.76 ± 0.59**
<b>Recovery phase</b>							
<b>Tsh b</b>	1.05 ± 0.09	-	-	-	-	1.17 ± 0.18*	1.09 ± 0.14

<sup>a</sup> Data obtained from pages 34-36 in the study report

\* Significantly different from control, p<0.05

\*\* Significantly different from control, p<0.01

### III. Discussion

**A. Investigator's Conclusions** – “This study demonstrates that fluopyram administration at the tumorigenic dose level of 750 ppm for at least 28 days in the C57BL/6J mouse, induced a decrease in plasma T4 levels correlated with an increase in the levels of Tsh transcript (beta subunit) in the pituitary gland, together with an increase in the UDPGT-bilirubin and UDPGT-T4 enzymatic activities. In addition, absolute and relative liver weights were significantly increased. These effects were not detected after a four-week recovery phase except for a marginal increase in Tsh transcript level.

All these effects occurred in a dose-related manner, apart from the decrease in plasma T4 for which there was no dose-related concordance in terms of the magnitude of the response observed. At the low dose of 30 ppm, the only finding was a decrease in plasma T4 levels, the relevance of which is doubtful based on the lack of clear dose response concordance.”

**B. Reviewer's Conclusions** – The study author's conclusions are valid.

**C. Deficiencies** – There were no significant deficiencies.

UNITED STATES ENVIRONMENTAL PROTECTION AGENCY  
WASHINGTON, D.C. 20460



OFFICE OF CHEMICAL SAFETY AND  
POLLUTION PREVENTION

**MEMORANDUM**

**DATE:** May 8, 2014

**SUBJECT:** **FLUOPYRAM:** Report of the Cancer Assessment Review Committee

**PC Code:** 080302  
**Decision No.:** N/A  
**Petition No.:** N/A  
**Risk Assessment Type:** NA  
**TXR No.:** 0056961  
**MRID No.:** N/A

**DP Barcode:** N/A  
**Registration No.:** N/A  
**Regulatory Action:** N/A  
**Case No.:** N/A  
**CAS No.:** N/A  
**40 CFR:** N/A

**FROM:** Ronnie J. Bever Jr., PhD, DABT, Toxicologist  
Executive Secretary  
Cancer Assessment Review Committee

A handwritten signature in blue ink, reading "Ronnie J. Bever Jr.".

**THROUGH** Jess Rowland, Chair  
Karlyn Middleton, Co-Chair  
Cancer Assessment Review Committee  
Health Effects Division (7509P)

Two handwritten signatures in black ink, one above the other, corresponding to the names Jess Rowland and Karlyn Middleton.

**TO:** Whang Phang, Ph.D., Senior Toxicologist  
Christine Olinger, Chief  
Risk Assessment Branch  
Health Effects Division (7509P)

The Cancer Assessment Review Committee (CARC) met on February 26, 2014 to evaluate the cancer classification of fluopyram in accordance with the *EPA's Final Guidelines for Carcinogen Risk Assessment* (March, 2005). Attached please find the final Cancer Assessment Document.

*CANCER ASSESSMENT DOCUMENT*

EVALUATION OF THE CARCINOGENIC POTENTIAL OF

*Fluopyram*

PC CODE: **080302**

**CANCER ASSESSMENT REVIEW COMMITTEE**

HEALTH EFFECTS DIVISION

OFFICE OF PESTICIDE PROGRAMS

May 8, 2014

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## EXECUTIVE SUMMARY

On February 26, 2014, the Cancer Assessment Review Committee (CARC) of the Health Effects Division (HED) of the Office of Pesticide Programs (OPP) evaluated the carcinogenic mode of action data provided by the Registrant for fluopyram. This is the second CARC meeting for fluopyram. Fluopyram is a pesticide active ingredient that is used to control fungal diseases of grapes and tomatoes. Fluopyram has been proposed for use on ornamentals and non-residential turf.

In 2009, the CARC classified fluopyram as “Likely to be Carcinogenic to Humans” based on tumors in two species and two sexes (treatment-related increases in thyroid follicular cell adenomas in male mice and liver tumors in female rats). At that time, the Registrant also submitted a proposed mode of action (MOA) for the liver and thyroid tumors. The CARC determined the submitted MOA data were insufficient to establish a mode of action for the observed tumors, in accordance with the framework provided by the International Programme on Chemical Safety (IPCS). The major deficiencies were the lack of dose-response concordance with key events and tumors; and the studies were performed at doses in excess of the dose that caused tumors in the carcinogenicity studies. The CARC recommended the use of a linear low dose extrapolation model applied  $Q_1^*$  for quantitative estimation of human risk.

Since the 2009 CARC meeting, the Registrant has submitted a series of studies to support a postulated MOA for liver and thyroid tumor formation. The submitted data were considered adequate to establish the mode of action for the etiology of these tumors. The results of the new studies are discussed in this report.

Key events leading to the progression towards liver tumors included sequentially the activation of the CAR/PXR receptors resulting in induction of hepatic cytochrome P450 activity, hepatocellular proliferation, altered hepatic foci, and liver tumors. These key events were established based on dose-response and temporal concordance at appropriate doses.

Key events leading to the progression towards thyroid tumors included sequentially the activation of the CAR/PXR receptors resulting in induction of hepatic cytochrome P450 activity, induction of Phase II hepatic enzymes resulting in increased serum T4 clearance, increased TSH, increased thyroid cell proliferation, increased thyroid cell hyperplasia, and thyroid tumors. These key events were established based on dose-response and temporal concordance at appropriate doses.

In accordance with the IPCS framework, alternate modes of actions were also considered, but were rejected based on the available toxicology data and published literature.

**The CARC classified fluopyram as “Not Likely to be Carcinogenic to Humans” at doses that do not induce cellular proliferation in the liver or thyroid glands.** This classification was based on convincing evidence that non-genotoxic modes of action for liver tumors in rats and thyroid tumors in mice have been established and that the carcinogenic effects have been demonstrated as a result of a mode of action dependent on activation of the CAR/PXR receptors.

The CARC has determined that quantification of risk is not required. There is sufficient data to ascertain the mode of action of fluopyram. The chronic Reference Dose (RfD) is derived using the NOAEL of 1.2 mg/kg/day as the “point of departure” which is below the dose of 11

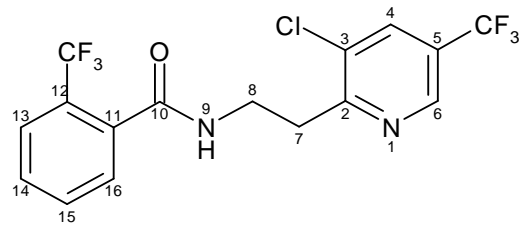
mg/kg/day that caused cell proliferation in the liver (i.e., a key event in tumor formation) and the subsequent liver tumors at a higher dose (89 mg/kg/day). Additionally, there is no concern for mutagenicity.

## I. INTRODUCTION

On February 26, 2014, the CARC evaluated the mode of action studies submitted by the Registrant in context with the carcinogenic potential of fluopyram. Previously, on July 8, 2009, the CARC had evaluated the carcinogenic potential of fluopyram (TXR No.0055261).

## II. BACKGROUND INFORMATION

Fluopyram is a new broad-spectrum systemic fungicide of the carboxamide group (FRAC Group 7). It acts on cell respiration in the fungus by inhibiting succinate dehydrogenase (mitochondrial respiration Complex II), thus blocking electron transport. The main use of fluopyram is the selective control of a variety of fungal diseases (like powdery mildew species, *Botrytis cinerea* and *Alternaria solani*) on grape vines and tomatoes. It is also proposed to be used on ornamentals and non-residential turf. Its structure and other pertinent information are depicted in Table 1.

Table 1: Structure and Chemical Information for Fluopyram	
Chemical structure:	
Empirical formula:	C <sub>16</sub> H <sub>11</sub> ClF <sub>6</sub> N <sub>2</sub> O
Common name:	Fluopyram
CAS name:	Benzamide, N-[2-[3-chloro-5-(trifluoromethyl)-2-pyridinyl]ethyl]-2-(trifluoromethyl)-(9CI)
CAS no.:	658066-35-4
PC Code	080302

## III. EVALUATION OF CARCINOGENICITY STUDIES

A summary of the conclusions of the July 8, 2009 CARC meeting is provided below.

In a combined chronic toxicity and carcinogenicity study, groups of 60 male and female Wistar rats were fed a diet containing 0, 30, 150, and 750 ppm (males) and 0, 30, 150, and 1500 ppm (females) fluopyram for 24 months. In males, the top dose level of 750 ppm had to be reduced to 375 ppm from Week 85 onwards, because of the high mortality in this group. Over the whole study period, these dietary concentrations corresponded to a mean daily intake of 0, 1.20, 6.0, and 29 mg/kg bw in male rats or 1.68, 8.6, and 89 mg/kg bw in females.

Administration of fluopyram resulted in the induction of liver tumors in female Wistar rats. There were statistically significant trends for liver adenomas ( $p < 0.01$ ), carcinomas ( $p < 0.05$ ), and combined liver adenomas and carcinomas ( $p < 0.01$ ). There were significant pair-wise comparisons of the 1500 ppm dose group with the controls for liver adenomas at  $p < 0.05$  and for combined liver adenomas and carcinomas at  $p < 0.01$ . When compared to historical control data (uncensored data) from the testing laboratory, the incidence of hepatocellular adenomas in the

female high dose group (9/55, 16%) was outside the range of the historical control group (range, 0 - 5%; average, 1.9%). Similarly, the incidence of hepatocellular carcinomas in the female mid (2/56, 4%) and high dose groups (3/55, 5%), while not statistically significant by pair-wise comparison, exceeded the range of the historical control group (no carcinomas observed in 10 studies from 2000 - 2006) and was considered to be biologically relevant. There were no statistically significant trends or significant pair-wise comparisons of the dosed groups with the controls for the male rats. **The CARC considered the liver tumors in female Wistar rats to be treatment-related.**

Groups of 60 male and female C57BL/6J mice were fed diets containing 0, 30, 150, or 750 ppm of fluopyram (corresponding to a mean compound intake of 0, 4.2, 20.9, and 105 mg/kg bw/day in males and 0, 5.3, 26.8, and 129 mg/kg bw/day in females, respectively) for up to 78 weeks.

Administration of fluopyram resulted in the induction of thyroid follicular cell tumors in male C57BL/6J mice. Male mice had a statistically significant trend at  $p < 0.01$  and a significant pair-wise comparison of the 750 ppm dose group with the controls at  $p < 0.05$  for thyroid follicular cell adenomas. There were no statistically significant trends or significant pair-wise comparisons of the dosed groups with the controls for the female mice. When compared to historical control data (uncensored data) from the testing laboratory, the incidence of thyroid follicular cell adenomas in the male high dose group (7/48, 15%) was outside the range of the historical control group (range, 0 - 2%; average, 0.4%). **The CARC considered the thyroid follicular cell adenomas in male C57BL/6J mice to be treatment-related.**

The CARC classified it as **“Likely to be Carcinogenic to Humans”** based on tumors in two species and two sexes: a treatment-related increase in thyroid follicular cell adenomas in high dose male mice and liver tumors in high dose female rats (Tables 2 and 3). There was no mutagenic concern for fluopyram, and CARC recommended the use of a linear low dose extrapolation model ( $Q_1^*$ ) for quantitative estimation of human cancer risk. The unit risk,  $Q_1^*$  (mg/kg/day)<sup>-1</sup> was determined to be  $1.55 \times 10^{-2}$  in human equivalents based upon female rat liver combined adenoma and carcinoma tumor rates (TXR No. 0055261).

At the meeting, the CARC reviewed the proposed MOA data submitted by the Registrant for liver tumors in female rats and thyroid follicular cell adenomas in male mice. The CARC determined that the submitted data were insufficient to establish a MOA for the observed tumors. The major deficiencies identified were the lack of dose-response concordance with key events and tumors, and the doses tested in the MOA studies were above the doses that caused tumors in the carcinogenicity studies.

<b>Table 2. Liver Tumor Rates in Female Rats and Fisher's Exact Test and Exact Test for Trend Results.<sup>a</sup></b>				
<b>Dose (ppm)</b>	<b>0</b>	<b>30</b>	<b>150</b>	<b>1500</b>
Adenomas (%) p =	2/59 (3) 0.00049**	2/57 (4) 0.67765	0/56 (0) 1.00000	9 <sup>b</sup> /55 (16) 0.01978*
Carcinomas (%) p =	0/59 (0) 0.02134*	0/57 (0) 1.00000	2/56 (4) 0.23494	3 <sup>c</sup> /55 (5) 0.10910
Combined (%) p =	2/59 (3) 0.00015**	2/57 (4) 0.67765	2/56 (4) 0.67083	11 <sup>d</sup> /55 (20) 0.00536**
Historical controls	Hepatocellular adenomas: range, 0 – 5%; average, 1.9% Hepatocellular carcinomas: no carcinomas observed in 10 studies from 2000 to 2006.			

<sup>a</sup> Data were obtained from page 10 of the previous Fluoropyram CARC report (TXR No. 0055261; 11/25/2009). Data are reported as the number of tumor bearing animals/number of animals examined, excluding those that died or were sacrificed before Week 54.

<sup>b</sup> First adenoma observed at Week 75 at 1500 ppm.

<sup>c</sup> First carcinoma observed at Week 97 at 1500 ppm.

<sup>d</sup> One animal in the 1500 ppm dose group had both an adenoma and a carcinoma.

Significance of trend denoted at control. Significance of pair-wise comparison with control denoted at dose level.

\* Significantly different from control, p<0.05

\*\* Significantly different from control, p<0.01

<b>Table 3. Thyroid Follicular Cell Tumor Rates and Fisher's Exact Test and Exact Test for Trend Results in Male Mice.<sup>a</sup></b>				
<b>Dose (ppm)</b>	<b>0</b>	<b>30</b>	<b>150</b>	<b>750</b>
Adenomas <sup>#</sup> (%) p =	1/49 (2) 0.00357**	1/47 (2) 0.74211	3 <sup>b</sup> /48 (6) 0.30076	7/48 (15) 0.02758*
Historical controls	Thyroid adenomas: range, 0-2%; average, 0.4%			

<sup>a</sup> Data were obtained from page 14 of the previous Fluoropyram CARC report (TXR No. 0055261; 11/25/2009). Data are reported as the number of tumor bearing animals/number of animals examined, excluding those that died or were sacrificed before Week 54.

<sup>b</sup> First adenoma observed at Week 79 at 150 ppm.

Significance of trend denoted at control. Significance of pair-wise comparison with control denoted at dose level.

\* Significantly different from control, p<0.05

\*\* Significantly different from control, p<0.01

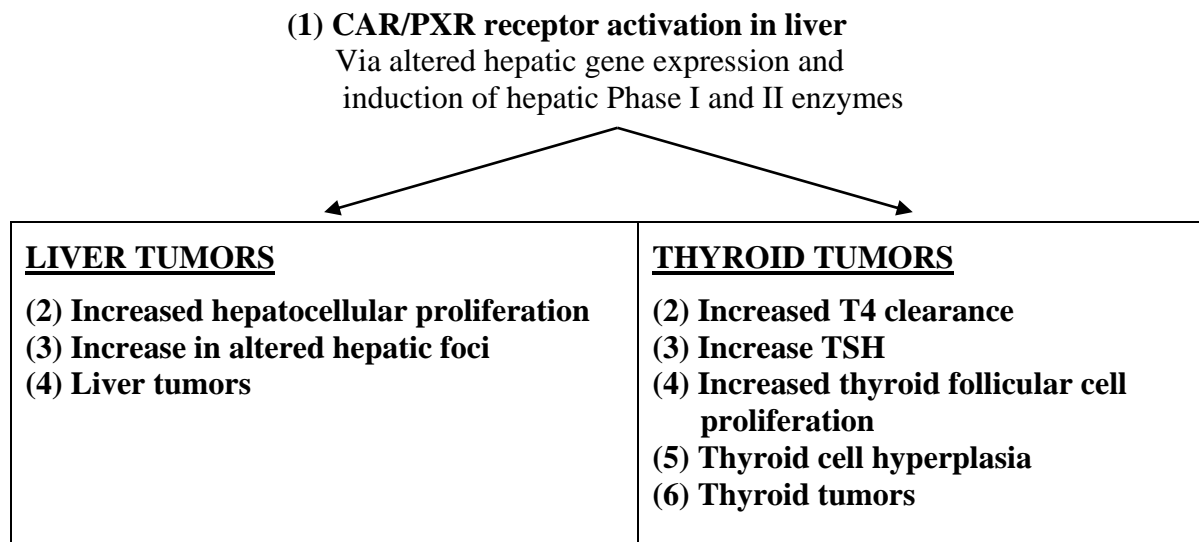
Subsequent to the 2009 CARC meeting, the registrant conducted a series of studies to support a postulated MOA for liver and thyroid tumor formation. The citation and summary of these studies are presented in Attachment A. The results of these new studies are discussed in the context of the proposed MOA.

#### IV. MODE OF ACTION STUDIES

It should be noted that most of the information presented below is partially derived from the submission (MRID 49005912).

The results of the battery of genetic toxicity studies demonstrate that fluopyram is not mutagenic or genotoxic. For non-DNA-reactive rodent liver carcinogens, several MOAs have been identified that act by stimulating hepatocellular proliferation through either a receptor- or non-receptor-mediated mechanism. Evidence from early studies suggested that the profile of liver effects induced by fluopyram were similar to those induced by phenobarbital, a chemical known to exert its effects through activation of the constitutive androstane receptor (CAR) and the pregnane X receptor (PXR) in the liver. Compounds that induce both CAR/PXR can also disrupt thyroid hormone balance, leading to stimulation of the thyroid cells, which can eventually result in formation of thyroid tumors following chronic exposure (Hiasa *et al.*, 1982; McClain *et al.*, 1988). Based on this information, the registrant proposed a MOA for liver and thyroid tumor formation as illustrated in the following diagram.

##### **Proposed Key Events for CAR/PXR-Mediated MOAs for Liver and Thyroid Tumors.**



Data were obtained from page 12 of the MOA Document (MRID 49005912). It should be noted that Key Events 1 and 2 for the liver; and Key Events 1 and 4 for the thyroid are mostly reversible on discontinuance of treatment (see MRIDs 49005902 and 49005911)

The submitted Mechanistic/MOA studies were conducted to demonstrate these key events occur following exposure to fluopyram as well as to characterize the dose- and temporal-response relationships for each of the key events. As liver tumors occurred only in the female rat and

thyroid tumors only in the male mouse, the mechanistic studies reported in the MOA document focused primarily on liver tumors in female rats and thyroid tumors in male mice to reduce the number of animals employed to generate the data to support the proposed MOA of fluopyram.

**a. Liver Tumor MOA and Key Events**

**i. Key Event #1: CAR/PXR Receptor Activation (induction of hepatic cytochrome p450 gene expression and enzyme activity)**

The first key event is activation of CAR/PXR nuclear receptors. However, no data are available for fluopyram that show direct interaction between fluopyram and CAR/PXR nuclear receptor. Evidence for CAR/PXR receptor activation can be provided by demonstrating increased expression of specific hepatic cytochrome P450 genes and activation of their associated enzyme products. In particular, activation of CAR/PXR is associated with the induction of the *Cyp2b* (CAR) and *Cyp3a* (PXR) families (Ueda *et al.*, 2002) and their corresponding enzymes, as measured by pentoxyresorufin-O-depentylation (PROD) and benzyloxyresorufin-O-debenzylation (BROD) or benzyloxyquinoline (BQ). For example, the prototypical CAR/PXR inducer is phenobarbital, which produces marked increases in these parameters in rodents following exposure.

For fluopyram, data showing CAR/PXR activation are provided from two new mechanistic studies where quantitative polymerase chain reaction (qPCR) and enzyme activity were used to characterize the dose and temporal response of Phase I (AhR-, CAR-, PXR-, or PPAR $\alpha$ -associated) transcripts/enzymes in female rats following treatment with fluopyram. In the first study (MRID 49005910), the animals were exposed to fluopyram in the diet for either 3 or 7 days. In the second study (MRID 49005902), the animals were exposed for 28 days with additional control and high dose group females being maintained on control diet for an additional 28 days after the exposure period. The dietary levels used in these studies covered the range of levels tested in female rats in the chronic cancer bioassay, *i.e.*, 30, 150, and 1500 ppm. Summaries of the gene expression data and the enzyme activity data are presented in Tables 6 and 7, respectively.

**Gene Expression**

Table 4 presents the data showing Phase I gene expression which demonstrates a dose-response increase in CAR/PXR-related genes (*Cyp2b1* and *Cyp3a3*) due to fluopyram treatment. Additionally, elevated transcript levels of *Cyp1a1* were seen with fluopyram, suggesting possible direct activation of the AhR. *Cyp4a1* was not elevated in these studies, suggesting the lack of PPAR $\alpha$  induction.

A return to normal transcript levels for Phase I enzyme-related genes was recorded for animals exposed to fluopyram for 28 days at the dose that caused tumors and then placed on a control diet for a further 28 days. Overall, the results of these gene expression studies demonstrated dose and temporal responses (3–28 days) of CAR/PXR at  $\geq 150$  ppm.

**Table 4. Gene Expression Presented as Mean Fold Change Relative to Controls for Female Rats Exposed to Fluopyram for 3, 7, or 28 Days with a Recovery Group of 28 Days.<sup>a</sup>**

	Dose (ppm)	0 n = 15	30 n = 15	75 n = 15	150 n = 15	600 n = 15	1500 <sup>b</sup> n = 15
Associated receptors	Rat genes	3-Days dosing					
AhR	<i>Cyp1a1</i>	1.35 ± 1.14	1.12 ± 0.68	1.51 ± 1.61	2.30 ± 2.30 (1.7)	9.87 ± 8.29** (7.3)	84.6 ± 51.6** (62.7)
CAR	<i>Cyp2b1</i>	1.27 ± 1.87	0.81 ± 0.56	1.44 ± 0.86	4.2 ± 3.38** (3.3)	63.0 ± 71.2** (49.6)	309.5 ± 176.7** (244.1)
PXR	<i>Cyp3a3</i>	0.93 ± 0.49	1.01 ± 0.50	1.38 ± 0.68* (1.9)	2.41 ± 0.89** (2.6)	7.64 ± 2.23** (8.2)	20.0 ± 6.45** (21.5)
PPARα	<i>Cyp4a1</i>	1.46 ± 0.33	1.37 ± 0.38	1.51 ± 0.40	1.30 ± 0.40	1.43 ± 0.46	1.14 ± 0.27
Associated receptors	Rat genes	7-Days dosing					
AhR	<i>Cyp1a1</i>	2.26 ± 1.30	3.07 ± 3.87	4.00 ± 3.87	10.31 ± 1.01** (4.6)	143.7 ± 81.0** (63.6)	503.8 ± 170.5** (222.9)
CAR	<i>Cyp2b1</i>	0.95 ± 0.74	2.43 ± 1.85	2.93 ± 3.87	13.64 ± 2.06** (14.4)	310.2 ± 22.0** (326.5)	1362.3 ± 1422.2** (1434)
PXR	<i>Cyp3a3</i>	0.99 ± 0.59	1.46 ± 0.84	1.93 ± 0.79** (1.9)	3.59 ± 1.65** (3.6)	12.32 ± 3.75** (12.4)	28.27 ± 10.15** (28.6)
PPARα	<i>Cyp4a1</i>	0.73 ± 0.26	0.70 ± 0.22	0.62 ± 0.14	0.64 ± 0.24	0.64 ± 0.19	0.46 ± 0.11**
Associated receptors	Rat genes	28-Days dosing					
AhR	<i>Cyp1a1</i>	1.06 ± 0.70	1.87 ± 1.25	2.43 ± 1.48	8.60 ± 7.02** (8.1)	107 ± 28.0** (100.9)	375 ± 130** (354.7)
CAR	<i>Cyp2b1</i>	1.26 ± 1.15	3.38 ± 7.17	2.09 ± 1.91	13.7 ± 10.6** (10.9)	268 ± 193** (212.5)	1345 ± 1518** (1543.8)
PXR	<i>Cyp3a3</i>	1.66 ± 0.65	3.01 ± 1.34** (1.8)	6.18 ± 2.66** (3.7)	8.72 ± 2.69** (5.3)	28.4 ± 7.22** (17.1)	83.7 ± 27.0** (50.4)
PPARα	<i>Cyp4a1</i>	0.78 ± 0.30	0.65 ± 0.25	0.69 ± 0.20	0.77 ± 0.16	0.63 ± 0.18	0.553 ± 0.08
Associated receptors	Rat genes	28-Day recovery					
AhR	<i>Cyp1a1</i>	0.72 ± 0.23	-	-	-	-	1.32 ± 1.11 (1.8)
CAR	<i>Cyp2b1</i>	0.30 ± 0.24	-	-	-	-	0.51 ± 0.36 (1.7)
PXR	<i>Cyp3a3</i>	2.67 ± 1.60	-	-	-	-	6.86 ± 3.75** (2.6)
PPARα	<i>Cyp4a1</i>	0.68 ± 0.28	-	-	-	-	0.76 ± 0.14 (1.1)

<sup>a</sup> Data were obtained from page 8 of the DER for MRID 49005910 (3 and 7 days of treatment); and pages 9 and 10 of the DER for MRID 49005902 (28 day treatment with a recovery group). Phenobarbital results are not included.

<sup>b</sup> This dose resulted in liver tumors in female rats (MRID 47372501).

\* Significantly different from control, p<0.05

\*\* Significantly different from control, p<0.01

( ) mean fold change relative to the controls.



### Enzyme Activity

Table 5 presents the data showing rats exposed to fluopyram demonstrated a temporal and dose-related induction of CAR/PXR-related enzyme activities that corresponded with the previously presented gene expression data. PROD and BROD were significantly elevated at doses  $\geq 600$  ppm in the female rat as early as 7 days. PROD and BROD were also elevated at 150 ppm after 28 days, but the changes were not statistically significant. The slight increase in liver 7-ethoxyresorufin-O-deethylase (EROD) activity corresponds to the slight induction of *Cyp1a1* gene expression. Compared to levels immediately after 28-days dosing, enzyme activities were 67 to 96% less in animals allowed to recover for 28 days. All of these findings are consistent with results as presented in Table 6 from earlier studies (MRIDs 47372516 and 47372520).

<b>Table 5. Mean Content or Enzyme Activity in Female Rats Following 7 Days or 28 Days of Treatment with Fluopyram.</b>						
<b>Dose (ppm)</b>	<b>0 n = 5</b>	<b>30 n = 5</b>	<b>75 n = 5</b>	<b>150 n = 5</b>	<b>600 n = 5</b>	<b>1500<sup>c</sup> n = 5</b>
<b>7 Day dosing<sup>a</sup></b>						
<b>EROD</b>	47.59 $\pm$ 8.52	50.09 $\pm$ 6.67	44.52 $\pm$ 6.27	48.58 $\pm$ 5.30	53.20 $\pm$ 5.63	77.47 $\pm$ 14.97* (1.6)
<b>BROD</b>	8.88 $\pm$ 0.26	9.65 $\pm$ 0.79	10.43 $\pm$ 0.84	12.81 $\pm$ 3.48	21.47 $\pm$ 12.24** (2.4)	52.30 $\pm$ 32.21** (5.9)
<b>PROD</b>	2.69 $\pm$ 0.22	3.60 $\pm$ 0.36	3.93 $\pm$ 0.86	3.78 $\pm$ 1.78	5.81 $\pm$ 2.64* (2.2)	12.27 $\pm$ 5.99** (4.6)
<b>28 Day dosing<sup>b</sup></b>						
<b>EROD</b>	33.64 $\pm$ 3.92	37.97 $\pm$ 3.73	36.23 $\pm$ 7.43	45.20 $\pm$ 2.95** (1.3)	44.00 $\pm$ 3.91* (1.3)	66.07 $\pm$ 6.13** (2.0)
<b>BROD</b>	1.61 $\pm$ 0.78	2.00 $\pm$ 0.42	2.32 $\pm$ 0.63	4.65 $\pm$ 1.11 (2.9)	14.69 $\pm$ 11.18** (9.1)	62.93 $\pm$ 42.55** (39.1)
<b>PROD</b>	4.07 $\pm$ 0.34	3.75 $\pm$ 0.70	5.18 $\pm$ 0.63	6.22 $\pm$ 0.47 (1.5)	7.61 $\pm$ 2.24* (1.9)	19.36 $\pm$ 10.00** (4.8)
<b>28 Day recovery<sup>b</sup></b>						
<b>EROD</b>	36.48 $\pm$ 3.23	-	-	-	-	44.02 $\pm$ 5.82* (1.2) [↓67%]
<b>BROD</b>	1.63 $\pm$ 0.35	-	-	-	-	2.39 $\pm$ 0.55* (1.5) [↓96%]
<b>PROD</b>	2.31 $\pm$ 0.61	-	-	-	-	3.56 $\pm$ 0.34** (1.5) [↓82%]

<sup>a</sup> Data were obtained from pages 7-9 of the DER for MRID 49005910.

<sup>b</sup> Data were obtained from page 9 of the DER for MRID 49005902, and phenobarbital results are not included.

<sup>c</sup> This dose resulted in liver tumors in female rats (MRID 47372501).

\* Significantly different from control,  $p < 0.05$

\*\* Significantly different from control,  $p < 0.01$

( ) fold change relative to the controls. [ ] % changes in recovery group relative to 28 day dosing values

**Table 6. Enzyme Activity Shown as Fold Change Compared to Control for Male and Female Wistar Rats Exposed to Fluopyram for Either 28 Days or 7 Days (Females Only).<sup>a</sup>**

	28 Days n=5						7 days n=10
	Males			Females			Females
Dose (ppm)	50	400	3200	50	400	3200	3000
EROD	-1.3	1.1	1.3	1.0	1.3	1.7	2.2*
PROD	-1.4	4.5	10.4	1.2	4.6	16.3	4.3*
BROD	1.1	2.3	19.3	1.5	9.4	31.0	11.7*

<sup>a</sup> Data were obtained from MRID 47372516 (BCS report SA 03332; 28 days) and MRID 47372520 (BCS report SA 07323; 7 days; females only).

\* Significantly different from control, p<0.05

***Bold Italics*** represent data considered as biologically significant (no statistical analyses conducted).

### **Associated effects supporting Key Event #1 (increased liver weights and liver hypertrophy)**

Changes in liver weights and liver hypertrophy are associated effects that inform the degree of CAR/PXR-mediated hepatic events. Female rats exposed to fluopyram for 3, 7, or 28 days showed significantly increased liver weight from 150 ppm (Table 7). Following 90 days of treatment, liver weight continued to be increased at dose levels of 200 ppm and above; however following chronic treatment (12 or 24 months), statistically significant increases were only recorded in the high dose (1500 ppm) groups. Hypertrophy was observed in all studies starting from 400 ppm (MRID 47372516; Table 8). These effects (weight increase and hypertrophy) were completely reversible in rats treated at 1500 ppm fluopyram for 28 days followed by a 28-day recovery period on a control diet. In addition, hepatocellular hypertrophy was found to be reversible for rats exposed to 3200 ppm fluopyram for 90 days followed by a 28 day recovery period. These data show both a temporal- and dose-response.

Table 7. Increased Liver Weights in Female Rats (% Increase Over Controls)								
Dose ↓	Temporal →							
	Dose (ppm)	3 Days MRID 49005910	7 Days MRID 49005910	28 Days MRIDs 49005902 & 47372516	90 Days MRID 47372441	28 Days Recovery MRID 49005902	12 Months MRID 47372501	24 Months MRID 47372501
	30	0%	-5%	2%			1%	-3%
	50			0%	0%			
	75	2%	-3%	5%				
	150	4%	-2%	7%			4%	6%
	200				11%*			
	400			15% <sup>a</sup>				
	600	5%	3%	13%*				
	1000				27%*			
	1500 <sup>b</sup>	17%*	18%*	33%*			54%*	56%*
	3200			73%*	74%*			

<sup>a</sup> It was considered treatment-related but was not statistically significantly different from controls.

<sup>b</sup> This dose resulted in liver tumors in female rats (MRID 47372501).

Blank cell = No data.

\* Significantly different from control,  $p < 0.05$

Table 8. Temporal Dose-Response for Hepatocellular Hypertrophy in Female Rats (% Incidence). <sup>a</sup>								
Dose ↓	Temporal →							
	Dose (ppm)	3 Days MRID 49005910	7 Days MRID 49005910	28 Days MRIDs 49005902 & 47372516	90 Days MRID 47372441	28 Days Recovery MRID 49005902	12 Months MRID 47372501	24 Months MRID 47372501
	30	0	0	0			0	0
	50			0	0			
	75	0	0	0				
	150	0	0	0			0	0
	200				0			
	400			20*				
	600	0	7	40*				
	1000				70*			
	1500	40*	93*	93*		0	100*	81*
	3200			100*	100*	0		

<sup>a</sup> Data were obtained from page 24 of MRID 49005912.

Blank cell = No data.

\* Significantly different from control,  $p < 0.05$

## ii. Key Event #2: Hepatocellular proliferation

The available data show that typically, CAR/PXR inducers such as phenobarbital increase hepatocellular proliferation within 2 to 3 days of treatment then slowly return to “normal” levels of proliferation after about 4 - 6 weeks of exposure (slightly longer for mice; Kolaja *et al.*, 1996a; Yamada *et al.*, 2009).

In a preliminary study, female rats were exposed to 3000 ppm fluopyram for 7 days, and a significant increase in liver proliferation (increased 4x) was recorded (measured by BrdU incorporation; MRID 47372520). The current studies (MRIDs 49005902 and MRID 49005910) evaluated hepatocellular proliferation in female rats by Ki-67 immunohistochemical staining of labeled nuclei as a measure of hepatocellular proliferation (Table 9).

The greater degree of proliferation at 3 days versus 7 days shows the typical early wave of proliferation that peaks at around 3 days for fluopyram as for other known CAR/PXR inducers. Proliferation is slower between 3 and 7 days because the liver has already reached an enlarged (hepatomegaly) state and is adapting to adequately meet metabolic/detoxification demand. The threshold for significant induction of hepatocellular proliferation at 3, 7, or 28 days was  $\geq 150$  ppm. The significant proliferation recorded at  $\geq 150$  ppm after 28 days of exposure shows fluopyram affects proliferation in a sustained manner which is supported by the recovery data.

The recovery data for animals placed on the control diet for 28 days following a 28-day exposure at 1500 ppm demonstrate that the proliferative effects induced by fluopyram were not completely reversible. In the 1500 ppm group, total hepatocellular proliferation was 51% higher than control at the end of the recovery period and was approximately 50% lower than the amount of proliferation observed after 28 days of continuous treatment.

**Table 9. Mean Cell Proliferation Indices in Female Rats Following 3, 7, or 28 Days of Treatment with Fluopyram.**

Dose (ppm)	0 n=5	30 n=5	75 n=5	150 n=5	600 n =5	1500 n = 5
<b>Day 3</b>						
<b>Centrilobular</b>	14.6 $\pm$ 7.4	11.8 $\pm$ 8.0	13.4 $\pm$ 5.9	25.1 $\pm$ 11.1*	57.3 $\pm$ 20.1**	99.5 $\pm$ 62.3**
<b>Perilobular</b>	11.1 $\pm$ 5.1	10.7 $\pm$ 5.8	15.4 $\pm$ 8.2	22.6 $\pm$ 13.4**	36.6 $\pm$ 15.7**	67.4 $\pm$ 30.0**
<b>Total</b>	12.8 $\pm$ 5.8	11.2 $\pm$ 6.4 (-13%)	14.4 $\pm$ 5.8 (12%)	23.8 $\pm$ 10.6** (86%)	47.0 $\pm$ 15.8** (267%)	83.4 $\pm$ 38.3** (551%)
<b>Day 7</b>						
<b>Centrilobular</b>	8.3 $\pm$ 5.3	11.2 $\pm$ 7.6	12.0 $\pm$ 6.1	20.4 $\pm$ 13.0**	27.8 $\pm$ 12.1**	32.2 $\pm$ 19.6**
<b>Perilobular</b>	10.5 $\pm$ 6.1	15.3 $\pm$ 11.2	10.3 $\pm$ 5.1	18.4 $\pm$ 8.1**	27.0 $\pm$ 13.0**	34.9 $\pm$ 17.2**
<b>Total</b>	9.4 $\pm$ 5.1	13.2 $\pm$ 8.6 (40%)	11.1 $\pm$ 5.1 (18%)	19.4 $\pm$ 9.6** (106%)	27.4 $\pm$ 9.8** (192%)	33.5 $\pm$ 15.0** (257%)
<b>Day 28</b>						
<b>Centrilobular</b>	4.93 $\pm$ 3.11	4.23 $\pm$ 2.42	7.23 $\pm$ 3.55*	10.16 $\pm$ 3.86**	10.14 $\pm$ 5.27**	15.54 $\pm$ 7.33*
<b>Periportal</b>	8.37 $\pm$ 4.75	7.62 $\pm$ 3.66	8.51 $\pm$ 3.86	12.51 $\pm$ 3.97	12.10 $\pm$ 8.36	22.80 $\pm$ 10.49

**Table 9. Mean Cell Proliferation Indices in Female Rats Following 3, 7, or 28 Days of Treatment with Fluopyram.**

Dose (ppm)	0 n=5	30 n=5	75 n=5	150 n=5	600 n =5	1500 n = 5
<b>Total</b>	6.65 ± 3.19	5.93 ± 2.82 (-11%)	7.87 ± 2.65 (18%)	11.33 ± 3.30** (70%)	11.12 ± 6.50** (67%)	19.17 ± 7.20** (189%)
<b>Recovery phase</b>						
<b>Centrilobular</b>	4.59 ± 2.44	-	-	-	-	8.30 ± 3.75**
<b>Periportal</b>	8.25 ± 4.60	-	-	-	-	11.12 ± 6.87
<b>Total</b>	6.42 ± 3.29	-	-	-	-	9.71 ± 4.77* (51%)

<sup>a</sup> Data were obtained from page 7 of the DER for MRID 49005910 and page 8 of the DER for MRID 49005902.



\* Significantly different from control, p<0.05

\*\* Significantly different from control, p<0.01

( ) % change from the controls

Table 10 summarizes the data presented in Table 10, and also demonstrates a dose-response relationship. A temporal relationship appeared to be present at 600 and 1500 ppm demonstrating the idea that peak hepatocellular proliferation occurred within 2 to 3 days of treatment then gradually decreased. After the 28 day recovery period the mean hepatocellular proliferation was still 51% higher than the controls.

**Table 10. Temporal Dose-Response for Total Hepatocellular Proliferation in Female Rats Presented as % Change from the Control.**

	Temporal 				
Dose 	Dose (ppm)	3 Days	7 Days	28 Days	28 day Recovery
	30	-13	40	-11	
	75	12	18	18	
	150	86*	106*	70*	
	600	286*	192*	67*	
	1500	551*	257*	189*	51*

<sup>a</sup> Data were obtained from page 21 of the MOA submission (MRID 49005912)

\* Significantly different from control, p<0.05

### iii. Key Event #3: Altered Hepatic Foci

The chronic administration of CAR/PXR inducers, such as phenobarbital, leads to the development of altered hepatic foci (IARC, 2001; Jones *et al.*, 2009; Thorpe and Walker, 1973; Whysner *et al.*, 1996). These hepatic focal lesions are characterized by altered cytoplasmic tinctorial properties that can be classified as either basophilic, eosinophilic, clear cell, or mixed type (reviewed in Goodman *et al.*, 1994). Altered hepatic foci are classified as proliferative, preneoplastic lesions that can result from sustained hepatocellular proliferation. The liver lesions

produced by PXR-CAR inducer, phenobarbital, were predominantly eosinophilic in nature. This observation is also seen with fluopyram.

Hepatic foci are believed to be precursors of liver tumors because most rodent liver carcinogens increase their size and/or number prior to the appearance of tumors (Popp and Goldsworthy, 1989). Phenobarbital administration results in a dose-dependent increase in cell proliferation within foci that is associated with progression from altered hepatic foci to hepatocellular adenomas (Klaunig, 1993). Table 11 shows the incidence of altered hepatic foci observed at the conclusion of the carcinogenicity studies (24 months) for fluopyram were significantly greater than controls both in the female and the male rat (81 and 48 % occurrence at the top dose, respectively). In the rat chronic study (12 months), the incidence of altered hepatic foci was higher in the male compared to the female (50 and 30%, respectively). However, after 21 months on study, the high dose of 750 ppm demonstrated adverse clinical signs and mortality in the male rat required lowering of this dose to 375 ppm to ensure sufficient numbers of surviving males at the scheduled sacrifice to allow appropriate statistical analyses. This resulted in equivalent altered hepatic foci in males at 24 months (50 versus 48%), whereas an increase from 30 to 81% was recorded for the female rat (maintained at 1500 ppm fluopyram) at 12 and 24 months, respectively. If the male rats could have continued the carcinogenicity study at 750 ppm, it is highly probable that they would have developed liver tumors as was seen in the female rat.

<b>Table 11. Incidence of Hepatocellular Alteration: Eosinophilic Foci (Focal/Multifocal).<sup>a</sup></b>									
<b>Sex</b>		<b>Males</b>				<b>Females</b>			
<b>12 Months</b>	<b>Dose (ppm)</b>	<b>0</b>	<b>30</b>	<b>150</b>	<b>750</b>	<b>0</b>	<b>30</b>	<b>150</b>	<b>1500</b>
	Incidence of altered hepatic foci	2/10 (20%)	1/10 (10%)	2/10 (20%)	5/10 (50%)	0/10 (0%)	0/10 (0%)	0/10 (0%)	3/10 (30%)
<b>24 Months</b>	<b>Dose (ppm)</b>	<b>0</b>	<b>30</b>	<b>150</b>	<b>375</b>	<b>0</b>	<b>30</b>	<b>150</b>	<b>1500</b>
	Incidence of altered hepatic foci	16/60 (27%)	24/60 (40%)	31/60* (52%)	28/58** (48%)	29/60 (48%)	26/60 (43%)	30/60 (50%)	48/58** (81%)

<sup>a</sup> Data were obtained from MRIDs 47372501 and 49005912.

\* Significantly different from control,  $p < 0.05$

\*\* Significantly different from control,  $p < 0.01$

### **Summary of Liver MOA**

The relevant molecular and pathological endpoints for fluopyram-induced liver effects are summarized below and are consistent with the established key events of nuclear receptor-mediated (CAR/PXR) rodent hepatocarcinogenesis (Cohen, 2010).

#### ***Liver Key Event #1***

Key event #1 for the fluopyram-induced liver tumor MOA is defined as activation of CAR/PXR, which is measured by surrogate liver-specific induction of the CAR-specific Cyp2b and PXR-specific Cyp3a cytochrome gene, protein, and correlative PROD, BROD/BQ enzymatic activity.

Supportive, associative events to Key Event #1 include increased liver weight and microscopic hepatocellular hypertrophy. This key event occurs rapidly (significant changes are observed following 3 days of treatment) and has been well characterized in terms of dose-response. In addition, gene expression, enzyme activity, liver weight, and hepatocellular hypertrophy were reversible in rats after 28 days without exposure to fluopyram. In addition, PXR-CAR KO mice showed almost no induction of Phase I enzyme activity and no evidence of liver enlargement or hepatocellular hypertrophy after 28 days exposure to fluopyram at a dose level that was biologically comparable in terms of mg/kg/d (125 mg/g) to the rat the dose that caused tumors level (89 mg/kg).

### ***Liver Key Event #2***

Key event #2 is an increase in global hepatocellular proliferation that was seen in a dose-related manner in rats starting at 150 ppm, which was reversible following a 28-day recovery period (73% of the levels seen at 28 days). This finding is also consistent with the known MOA for CAR/PXR-mediated rodent liver tumorigenesis.

### ***Liver Key Event #3***

Key event #3 is an increase in altered hepatic foci, which are considered to be proliferative pre-neoplastic lesions (Jones *et al.*, 2009). Persistent hepatocellular proliferation leads to the induction of these lesions within the liver that can develop over time into adenomas and carcinomas (Cohen, 2010). A significant increase in altered hepatic foci was recorded at the top dose of fluopyram at the end of both the chronic (12 months) and carcinogenicity phase (24 months) of the cancer bioassay.

**Generally, all of the key events for the MOA described for CAR/PXR-induced liver tumor were identified in fluopyram-exposed rats in a temporal- and dose-responsive manner with the final event of liver tumors observed in female rats exposed to 1500 ppm fluopyram.**

## **b. Thyroid Tumor MOA and Key Events**

A consequence of increased liver metabolism in rodents exposed to CAR/PXR inducers is increased elimination of thyroid hormones, which are produced by the thyroid and monitored by the hypothalamus-pituitary-thyroid axis. The hypothalamus releases thyrotropin-releasing hormone (TRH), which stimulates the anterior pituitary gland to secrete thyroid-stimulating hormone (TSH). TSH induces the thyroid gland to produce and release thyroxine (T4) and triiodothyronine (T3). The expression of TSH and TRH is controlled through a negative-feedback process that is very sensitive to circulating T4 and T3 levels. When the liver is exposed to high doses of a CAR/PXR inducer, it responds by increasing the size and number of cells as well as the activity of those enzymes capable of detoxifying the compound. Some of these detoxification enzymes, in particular the Phase 2 enzymes uridine diphosphate glucuronyltransferases (UDPGTs) and sulfotransferases, conjugate the CAR/PXR inducer with respectively a glucoronide or a sulfate moiety. This conjugation allows an easier elimination of the compound *via* urinary and biliary clearance (Klaassen and Hood, 2001). A consequence of this increased UDPGT and sulfotransferase activity is not only the increased elimination of

xenobiotics but also the increased conjugation and subsequent elimination of T4, resulting in decreased serum thyroid hormone levels. As part of a feedback mechanism, the pituitary increases its secretion of TSH in order to stimulate the thyroid gland to increase production of thyroid hormones to restore homeostasis. A sustained increase in thyroid hormone production is often achieved through hypertrophy and proliferation of thyroid follicular cells. After chronic exposure to high enough dose of a CAR/PXR inducer, hyperplasia and eventually tumors may develop in the thyroid gland due to sustained over-stimulation by TSH (Hiasa *et al.*, 1982; McClain *et al.*, 1988; Dellarco *et al.*, 2006;).

Based on these data, the following key events for thyroid tumor MOA were proposed by the Registrant, and the new mechanistic studies were conducted to support the proposed key events.

### **Key Events for CAR/PXR-Mediated Thyroid MOA (Dellarco et al., 2006)**

- (1) CAR/PXR receptor activation as demonstrated by induction of Phase I liver metabolic enzymes. Reversible upon discontinuance of treatment. Confirmation for CAR/PXR receptor activation provided by the results of PXR/CAR KO mice.
- (2) Increased serum T4 clearance due to induction of Phase II liver metabolic enzymes. Reversible upon discontinuance of treatment
- (3) Increased TSH level as measured by *TSH*  $\beta$ . Reversible upon discontinuance of treatment
- (4) Increased thyroid cell proliferation; reversible upon discontinuance of treatment.
- (5) Thyroid Tumor formation

#### **i. Key Event #1: Liver CAR/PXR activation with induction of Phase I metabolic enzymes**

The first key event is activation of CAR/PXR nuclear receptors. However, no data are available to show direct interaction between CAR/PXR receptor and fluopyram. As described earlier, evidence for this first key event can be demonstrated by increased expression of specific hepatic cytochrome P450 genes and activation of their associated enzyme products.

Table 12 clearly shows increases in the mouse liver enzymes (PROD and BQ) specific for CAR and PXR at 30 ppm and higher. The increases also show a dose-related response. Activity levels for these enzymes returned to essentially control levels when treatment at the dose level of 750 ppm was followed by a 28-day recovery period on a control diet. Confirmation of CAR/PXR activation was established by comparing WT and PXR-CAR-KO mice; PROD was increased 1.4-fold in KO mice compared to 69.8-fold in WT mice, whereas BQ activity was reduced (0.68-fold) in KO mice compared to an induction of 5.5-fold in WT mice (Tables 13 and 14). These results clearly demonstrate the link between CAR/PXR activation and subsequent induction of Phase I enzymes.

In addition, the results from the study with WT and PXR-CAR-KO mice exposed to 750 ppm fluopyram for 28 days demonstrated a significant increase in liver weight in the WT, whereas



only a slight but significant increase was seen in KO mice (Table 16). In addition, enlarged liver and hepatocellular hypertrophy was observed in WT but not in the KO mice.

**Table 12. Phase 1 (PROD and BQ) Enzyme Activities for Male Mice Treated with Fluopyram in the Diet for 28 Days (Including a Recovery Group).**

Dose (ppm)	0 n = 5	30 n = 5	75 n = 4	150 n = 5	600 n = 5	750 <sup>b</sup> n = 5
<b>28 Day dosing</b>						
<b>PROD (pmol formed/ min/mg protein)</b>	4.65 ± 1.12	67.22 ± 6.95** (14)	156.89 ± 46.37** (34)	170.99 ± 29.09*** (37)	201.51 ± 45.02** (43)	219.06 ± 31.31** (47)
<b>BQ (nmol formed/ min/mg protein)</b>	7.59 ± 0.67	10.68 ± 1.92* (1.4)	16.71 ± 2.61** (2.2)	21.98 ± 1.76** (2.9)	39.20 ± 7.49** (5.2)	47.24 ± 3.85** (6.2)
<b>Recovery phase</b>						
<b>PROD (pmol formed/ min/mg protein)</b>	4.63 ± 0.82	-	-	-	-	5.27 ± 0.75 (1.1)
<b>BQ (nmol 7- formed/ min/mg protein)</b>	6.93 ± 1.01	-	-	-	-	6.68 ± 1.27 (NC)

<sup>a</sup> Data were obtained from page 8 of the DER for MRIDs 49005911 and 49005903.

<sup>b</sup> This dose resulted in tumors in males in the mouse carcinogenicity study (MRID 47372450).

\* Significantly different from control, p<0.05

\*\* Significantly different from control, p<0.01

( ) Value in the parenthesis indicates folds change relative to the control. NC = no change relative to the control.

**Table 13. Phase 1 (PROD and BQ) Enzyme Activities for Wild Type (WT) and PXR/CAR KO Male Mice Following 28-Days of Treatment with Fluopyram.**

Dose (ppm)	0 n = 15	750 n = 15	1500 n = 15	0 n = 15	750 <sup>b</sup> n = 15	1500 n = 15
	<b>C57BL/6J (WT)</b>			<b>PXR /CAR KO</b>		
<b>PROD (pmols formed/min/ mg protein)</b>	2.01 ± 0.20	140.21 ± 15.11** (69.8)	302.14 ± 84.76** (150)	2.27 ± 0.30	3.20 ± 0.81** (1.4)	3.24 ± 1.16** (1.4)
<b>BQ (nmols formed/min/ mg protein)</b>	2.77 ± 0.34	15.20 ± 1.89** (5.5)	21.94 ± 1.83** (7.9)	3.51 ± 0.39	2.40 ± 0.40** (0.68)	2.09 ± 0.35** (0.60)

<sup>a</sup> Data were obtained from page 7 of the DER for MRID 49005906.

<sup>b</sup> This dose resulted in tumors in males in the mouse carcinogenicity study (MRID 47372450).

\* Significantly different from control, p<0.05

\*\* Significantly different from control, p<0.01

( ) Value in parenthesis indicated fold change relative to the control.

**Table 14. Mean Liver to Body Weight Ratio, Enlarged Liver, and Hepatocellular Hypertrophy in Wild Type and PXR-CAR Knockout Mice Exposed to Fluopyram for 28 Days. <sup>a</sup>**

Mouse	Wild Type (n= 15)		PXR-CAR Knock out (n= 15)	
Dose (ppm)	0	750	0	750
Mean liver to bw ratio (% increase)	0	39*	0	7*
Enlarged liver (% increase)	0	47*	0	0
Hepatocellular hypertrophy (% occurrence)	0	100*	0	0

<sup>a</sup> Data were obtained from MRID 49005906.

\* Significantly different from control, p<0.05

Italics considered biologically significant.

**ii. Key Event #2: Phase II liver enzyme induction leading to increased serum T<sub>4</sub> clearance and consequently decreased circulating T<sub>4</sub>**

Following CAR/PXR activation, liver metabolic enzymes that conjugate and eliminate thyroid hormones are induced. T<sub>3</sub> and T<sub>4</sub> are inactivated in the liver mainly by UDPGT-mediated conversion to glucuronide derivatives, which are eliminated via urine and bile (Rutgers *et al.*, 1989). This results in reduced serum T<sub>4</sub> concentrations in both mice (Hood *et al.*, 2003) and rats (Hood *et al.*, 1999; Liu *et al.* 1995). The activation of these Phase II enzymes is directly responsible for the cascade of events producing lower serum T<sub>4</sub>, increased TSH, elevated thyroid follicular cell proliferation, and eventually thyroid tumors (Barter and Klaassen, 1992; Hurley *et al.*, 1998; Dellarco *et al.*, 2006). The activities of two UDPGT isoenzymes, UDPGT-T<sub>4</sub> and UDPGT-BIL, were evaluated in the mouse mechanistic studies.

Table 15 shows an increase in the activity of both of UDPGT-T<sub>4</sub> and UDPGT-BIL starting from 150 ppm. Both UDPGT-T<sub>4</sub> and UDPGT-BIL levels returned to the control level following a 28 day recovery period. The registrant claimed that the absence of a statistically significant response for UDPGT-T<sub>4</sub> at 750 ppm was due to assay variability, because in an independent study (MRID 49005906) of an identical design, a 1.8-fold increase (statistically significant) in UDPGT-T<sub>4</sub> activity was observed in WT mice (Table 15). In contrast, the activity measured in PXR-CAR-KO mice was essentially comparable to untreated control group (Table 16).

**Table 15. Mean Enzymatic UDPGT Activities Following 28 Days of Fluopyram Treatment in Male Mice and Following a Recovery Phase. <sup>a</sup>**

Dose (ppm)	0 n = 30-15 <sup>c</sup>	30 n = 15	75 n = 15	150 n = 15	600 n = 15	750 <sup>b</sup> n = 30-15 <sup>c</sup>
<b>28 Days</b>						
UDPGT-T <sub>4</sub>	0.77 ± 0.14	0.77 ± 0.19 (NC)	0.85 ± 0.20 1.1	1.17 ± 0.36 1.5	1.41 ± 0.25** 1.8	1.03 ± 0.27 (1.3) (1.8) <sup>a</sup>
UDPGT-BIL	1.98 ± 0.58	2.22 ± .038 (1.1)	2.39 ± 0.33 (1.2)	2.62 ± 0.20 (1.3)	2.76 ± 0.32* (1.4)	2.95 ± 0.42** (1.5)
<b>Recovery phase</b>						
UDPGT-T <sub>4</sub>	0.82 ± 0.12	-	-	-	-	0.77 ± 0.33 (0.93)

<b>UDPGT-BIL</b>	1.80 ± 0.31	-	-	-	-	1.91 ± 0.24 (1.1)
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<sup>a</sup> Data were obtained from pages 7 of the DER for MRIDs 49005911 and 49005903. In an independent study of an identical design, a 1.8-fold increase (statistically significant) in UDPGT-T<sub>4</sub> activity was observed.

<sup>b</sup> This dose resulted in tumors in males in the mouse carcinogenicity study (MRID 47372450).

<sup>c</sup> 30-15 represents a total of 30 test animals in this dose group with 15 of the 30 test animals being placed in the recovery group.

\* Significantly different from control, p<0.05

\*\* Significantly different from control, p<0.01

**Table 16. Enzyme Activity Examining Phase II (UDPGT-T<sub>4</sub> and UDPGT-BIL) Enzyme Activity in Male C57BL/6J (Wild Type) and PXR-CAR Knockout (KO) Mice Exposed to Fluopyram in the Diet for 28 Days.**

Dose (ppm)	0 n = 15	600 n = 14	750 <sup>b</sup> n = 15	0 n = 15	600 n = 15	750 <sup>b</sup> n = 15
	<b>C57BL/6J</b>			<b>PXR KO/CAR KO</b>		
<b>UDPGT-T<sub>4</sub> (nmol T<sub>4</sub> glucuronide formed/min/ mg protein)</b>	0.58 ± 0.17	1.06 ± 0.17** (1.8)	1.09 ± 0.25** (1.9)	0.57 ± 0.19	0.66 ± 0.17 (1.2)	0.43 ± 0.15* (0.75)
<b>UDPGT-BIL (nmol bilirubin- glucuronide formed/min/ mg protein)</b>	0.73 ± 0.11	1.30 ± 0.22** (1.8)	1.43 ± 0.42** (1.8)	0.70 ± 0.24	0.66 ± 0.24 (0.86)	0.61 ± 0.28 (0.87)

<sup>a</sup> Data obtained from page 31-32 of MRID 49005906.

<sup>b</sup> This dose resulted in tumors in males in the mouse carcinogenicity study (MRID 47372450).

\* Significantly different from control, p<0.05

\*\* Significantly different from control, p<0.01

( ) Value in the parenthesis = fold change relative to the control.

Following the increase in Phase II enzymes, plasma T<sub>4</sub> concentration is expected to decrease. Several mouse studies were conducted to determine the effects of fluopyram treatment on circulating thyroid hormone levels. When mice were exposed to fluopyram at concentrations of 100 and 300 ppm (below the dose that caused tumors, 750 ppm) for 3 days, there was a noticeable and statistically significant drop in plasma T<sub>4</sub> (Table 17). A significant decrease in T<sub>4</sub> was also observed at Day 3, when male mice were exposed to 2000 ppm fluopyram (MRID 47372519; Table 18).

**Table 17. Mean Plasma Thyroxin (T<sub>4</sub>) Values in Male Mice Following 3 Days of Fluopyram Treatment.<sup>a</sup>**

Dose (mg/kg bw/d)	0 n = 15	100 n = 15	300 n = 15
<b>T<sub>4</sub> (nmol/L)</b>	34.2 ± 8.7	25.4 ± 6.1** (↓26%)	22.6 ± 4.6** (↓34%)

<sup>a</sup> Data were obtained from Table 3, page 4 of the DER (MRID 49005909)

\* Significantly different from control, p<0.05

\*\* Significantly different from control,  $p < 0.01$

<b>Table 18. Mean Plasma Thyroid Hormone Levels in Male Mice.<sup>a</sup></b>				
	<b>3 days</b>		<b>14 Days</b>	
<b>Dose (ppm)</b>	<b>0</b>	<b>2000</b>	<b>0</b>	<b>2000</b>
T <sub>3</sub>	1.62 ± 0.15	1.64 ± 0.25 (+1%)	1.45 ± 0.18	1.52 ± 0.38 (+ 5%)
T <sub>4</sub>	43.7 ± 8.1	30.7 ± 6.0** (-30%)	38.1 ± 9.1	27.7 ± 8.7** (-27%)

<sup>a</sup>Data were obtained from page 25 of MRID 47372519.

### iii. Key Event #3: Increased TSH

The first two key events leading to CAR/PXR-induced thyroid alterations occur in the liver; however, as previously discussed that reduced circulating levels of T<sub>4</sub> can activate the hypothalamic-pituitary-thyroid axis feedback mechanism in an attempt to maintain thyroid hormone homeostasis. Serum T<sub>4</sub> and T<sub>3</sub> concentrations are monitored by the hypothalamus and the anterior pituitary gland. A decrease in serum thyroid hormone concentrations stimulates the hypothalamus to secrete TRH, which then stimulates the release of TSH from the anterior pituitary. Increased pituitary secretion of TSH stimulates the function and the growth of the thyroid gland, resulting in an increased production of thyroid hormones, T<sub>4</sub> and T<sub>3</sub>, to restore thyroid hormone homeostasis.

Due to difficulties in detecting clear changes in TSH plasma levels in early studies (MRIDs 49005909 and 49005901), it was decided to measure pituitary “thyroid- stimulating hormone, beta” (TSH  $\beta$ ) transcript levels in the later studies. *TSH  $\beta$*  is a gene that provides instructions for making a beta protein subunit that is specific of TSH. When thyroid hormone levels are low, the hypothalamus produces TRH, which stimulates the pituitary gland to produce more TSH  $\beta$  (needed to produce more TSH). Thus, an increase in TSH  $\beta$  mRNA can serve as a biomarker for increased TSH protein levels. EPA agreed that TSH  $\beta$  could be considered as a biomarker for TSH. In a 28-day study (MRID 49005911) examining TSH  $\beta$  in mice, a significant induction of this transcript was observed in the pituitary gland at 600 and 750 ppm fluopyram (Table 19). During the recovery phase, the level of this transcript returned to the control level.

<b>Table 19. Mean Relative TSH Transcript Levels Following 28 Days of Treatment and a Recovery Phase.<sup>a</sup></b>						
<b>Dose (ppm)</b>	<b>0 n = 30-15</b>	<b>30 n = 15</b>	<b>75 n = 15</b>	<b>150 n = 15</b>	<b>600 n = 15</b>	<b>750<sup>b</sup> n = 30-15</b>
<b>28 Days dosing</b>						
TSH $\beta$	1.16 ± 0.33	1.22 ± 0.35 (+6%)	1.30 ± 0.34 (+13%)	1.30 ± 0.45 (+12%)	<b>1.66 ± 0.46*</b> (+43%)	<b>1.78 ± 0.72**</b> (+54%)
<b>Recovery phase</b>						
TSH $\beta$	1.05 ± 0.09	-	-	-	-	1.17 ± 0.18* (+12%)

<sup>a</sup>Data were obtained from pages 30-32 of MRID 49005911.

<sup>b</sup>This dose resulted in tumors in males in the mouse carcinogenicity study (MRID 47372450).

\* Significantly different from control,  $p < 0.05$

\*\* Significantly different from control,  $p < 0.01$

Support that CAR/PXR activation is required to produce changes in TSH transcript levels is provided when examining the gene expression of *TSH $\beta$*  in PXR-CAR-KO mice treated with fluopyram, as no change was seen in transcript levels in the KO mice (Table 20). The results with the KO mice provide evidence that, without the activation of CAR/PXR, UDPGT enzyme activity is not elevated (Tables 15 and 16), and there is no perturbation of the pituitary-thyroid axis.

**Table 20. Mean Relative Quantity of Transcript Following 28 Days of Treatment in Wild Type and Knock Out (KO) Mice.<sup>a</sup>**

	C57BL/6J			PXR/CAR KO		
Dose (ppm)	0 n = 15	750 <sup>b</sup> n = 15	1500 n = 15	0 n = 15	750 <sup>b</sup> n = 15	1500 n = 15
<i>TSH<math>\beta</math></i>	1.23 $\pm$ 0.289	1.92 $\pm$ 0.306** (+56%)	2.05 $\pm$ 0.586** (+67%)	1.25 $\pm$ 0.264	1.14 $\pm$ 0.177	1.04 $\pm$ 0.165* (-17%)

<sup>a</sup> Data were obtained from pages 32-33 of MRID 49005906.

<sup>b</sup> This dose resulted in tumors in males in the mouse carcinogenicity study (MRID 47372450).

\* Significantly different from control,  $p < 0.05$

\*\* Significantly different from control,  $p < 0.01$

#### iv. Key Event #4: Increased Thyroid Cell Proliferation

The data presented previously showed that exposure to fluopyram results in decreases in thyroid hormone, T<sub>4</sub>. The demand for increased thyroid hormones by TSH is met by increased thyroid follicular cell proliferation. This process of thyroid follicular cell proliferation, if sustained for a prolonged period of time, can result in thyroid gland tumors (McClain, 1992). The hypothesis of sustained proliferation leading to tumorigenesis is similar to that described for the liver.

In a study in mice which received fluopyram for 28 days, a dose-related increase in thyroid follicular cell proliferation was seen starting from 150 ppm (Table 21). This effect was reversible after cessation of treatment (1500 ppm) followed by 28 days on a control diet. Furthermore, when WT and CAR-PXR-KO mice treated at 750 or 1500 ppm, a significant increase was observed in the thyroid cell proliferation index for WT mice, whereas the treated KO mouse group was similar to the KO control (Table 22). The absence of increased cellular proliferation in KO mice demonstrates that the activation of the CAR/PXR receptor is obligatory for the induction of the thyroid follicular cell alterations in mice exposed to fluopyram.

**Table 21. Thyroid Cell Proliferation Index in Male Mice Following 28 Days of Treatment and a Recovery Phase.<sup>a</sup>**

Dose (ppm)	0	30	75	150	600	750 <sup>b</sup>	1500
<b>Dosing for 28 days (n=15)</b>							
Rate/1000 cells	21.55 ± 4.75	17.81 ± 7.37	19.51 ± 5.64	26.09 ± 8.62 (+21%)	30.11 ± 8.53** (+40%)	34.78 ± 7.61** (+61%)	50.21 ± 10.24** (+133%)
<b>Recovery phase (n=14)</b>							
Rate/1000 cells	17.57 ± 5.18	-	-	-	-	-	11.56 ± 4.78 (-34%)

<sup>a</sup> Data were obtained from pages 26-27 of MRID 490059505.

<sup>b</sup> This dose resulted in tumors in males in the mouse carcinogenicity study (MRID 47372450).

\* Significantly different from control, p<0.05.

\*\* Significantly different from control, p<0.01

**Table 22. Thyroid Gland Proliferation Index Following 28 Days of Treatment.<sup>a</sup>**

Dose (ppm)	0 n = 15	750 n = 15	1500 n = 15	0 n = 15	750 n = 15	1500 n = 15
	C57BL/6J (WT)			PXR KO/CAR KO		
Proliferation index	14.3 ± 3.96	26.1 ± 7.16** (+86%)	36.6 ± 10.27** (+156%)	10.05 ± 3.88	9.91 ± 4.06 (-1%)	8.27 ± 3.38 (-18%)

<sup>a</sup> Data were obtained from page 30 of MRID 49005906.

\* Significantly different from control, p<0.05

\*\* Significantly different from control, p<0.01

#### **v. Key Event #5: Increased Thyroid Cell Hyperplasia**

In male mice exposed to 2000 ppm fluopyram for 3 or 14 days, no evidence of gross- or microscopic changes to the thyroid gland was observed (MRID 47372519). Furthermore, no adverse thyroid findings were noted in the 28- and 90-day mouse studies at up to 5000 and 1000 ppm, respectively. Increased incidence of thyroid follicular cell hyperplasia was observed at ≥150 ppm in males at 12 and 18 months and at 750 ppm in females at 18 months (Table 23). The data on the fluopyram-induced thyroid follicular cell hyperplasia demonstrate that pre-neoplastic cellular changes in the thyroid may require exposure of 12 months or longer.

<b>Table 23. Thyroid Follicular Cell Hyperplasia in the Male Mice Exposed to Fluopyram for 12 or 18 Months.<sup>a</sup></b>					
		<b>Males</b>			
	<b>Dose (ppm)</b>	<b>0</b>	<b>30</b>	<b>150</b>	<b>750</b>
<b>12 months</b>	<b>Thyroid follicular cell hyperplasia</b>	0/9 (0%)	0/10 (0%)	2/9 (22%)	2/10 (20%)
<b>18 months</b>	<b>Thyroid follicular cell hyperplasia</b>	4/50 (8%)	6/50 (12%)	21/50** (42%)	32/50** (64%)
		<b>Females</b>			
<b>18 months</b>	<b>Thyroid follicular cell hyperplasia (%)</b>	17/48 (35%)	8/50 (16%)	19/50 (38%)	33/50* (66%)

<sup>a</sup> Data were obtained from pages 10 and 12 of MRID 47372450.

<sup>b</sup> This dose resulted in tumors in males in the mouse carcinogenicity study (MRID 47372450).

\* Significantly different from control,  $p < 0.05$

\*\* Significantly different from control,  $p < 0.01$

*Italics* represents biologically significant in the absence of statistical significance

### **Mouse Thyroid Associative Events**

Increased liver weight and hypertrophy played a key role in the formation of CAR/PXR-induced thyroid tumors. In the standard 28 and 90 day mouse studies, significant findings of increased liver weight and hepatocellular hypertrophy were seen at  $\geq 150$  ppm (Table 24, liver weight; Table 25, liver hypertrophy).

In a study with WT and PXR-CAR-KO mice exposed to 750 and 1500 ppm fluopyram for 28 days, a significant increase in liver weight was observed in the WT, whereas only a slight but significant increase was seen in KO mice (Table 26). In addition, hepatocellular hypertrophy and enlarged liver were observed in WT but not in the KO mice. Overall, these data support the idea that the liver induction in male mice is likely to be associated with the thyroid tumor formation, reinforcing that fluopyram induces thyroid tumors indirectly via the liver CAR/PXR activation as the first key event.

**Table 24. Temporal Dose-Response for Increased Relative Liver Weights in Male C57BL/6J Mice Given as % Increase Over Controls.**

Dose ↓	Temporal →						
	ppm	3 days MRID 47372519	14 days MRID 47372519	28 days MRID 49005911 & 47372517	90 days MRID 47372442	12 months MRID 47372450	24 months MRID 47372450
	30			5%		0%	8%
	75			7%			
	150			11% *		15% *	15% *
	600			27% *			
	750 (Recovery)			33% * (4%)*		25% *	27% *
	1000						
	2000	59% *	59% *				

Blank cell = No data.

\* Significantly different from control, p&lt;0.05

MRIDs 49005911 and 47372517 have common dose levels

**Table 25. Temporal Dose-Response for Hepatocellular Hypertrophy in Male C57BL/6J Mice Given as % Occurrence.**

Dose ↓	Temporal →						
	ppm	3 days MRID 47372519	14 days MRID 47372519	28 days MRID 47372517	90 days MRID 47372442	12 months MRID 47372450	24 months MRID 47372450
	30				0	0	0
	150			<b>100%</b>	<b>100%</b>	<b>63%</b>	<b>78%</b>
	750			<b>100%</b>		<b>100%</b>	<b>100%</b>
	1000				<b>100%</b>		
	2000	<b>100%</b>	<b>100%</b>				

Blank cell = No data.

**Bold** indicates treatment-related and biologically significant in absence of statistical analyses.



**Table 26. Mean Liver Weights, Enlarged Liver, and Hepatocellular Hypertrophy in Male Wild Type and PXR-CAR Knockout (KO) Mice Exposed to Fluopyram for 28 Days. <sup>a</sup>**

	C57BL/6J (n=15)			PXR KO/CAR KO (n=15)		
Dose (ppm)	0	750	1500	0	750	1500
Liver Weight	1.28 ± 0.069	1.80 ± 0.159** (↑41%)	2.12 ± 0.191** (↑66%)	1.29 ± 0.080	1.40 ± 0.080** (↑9%)	1.43 ± 0.077** (↑9%)
Enlarged Liver	0	7/15 (47%)	14/15 (93%)	0	0	0
Hepatocellular Hypertrophy	0	15/15 (100%)	15/15 (100%)	0	0	0

<sup>a</sup> Data were obtained from pages 27-28 of MRID 49005906.

\* Significantly different from control, p<0.05

\*\* Significantly different from control, p<0.01

### **Summary of Thyroid MOA**

The MOA for fluopyram-induced rodent thyroid effects is similar to that for known CAR/PXR inducers. The use of CAR/PXR knockout mice has demonstrated that fluopyram is a CAR/PXR-inducer producing typical liver effects and thyroid alterations. The relevant molecular and pathological endpoints for fluopyram-induced liver and thyroid effects appear to be consistent with the established key events of CAR/PXR-mediated rodent thyroid tumorigenesis (Dellarco *et al.*, 2006; Hurley *et al.*, 1998). The key events are summarized below.

#### ***Thyroid Key Event #1***

Key event #1 for fluopyram-induced thyroid tumor MOA is defined as activation of CAR/PXR, as observed by increases in the Phase I enzymes PROD (specific for CAR) and BQ (specific for PXR). On cessation of treatment, enzyme activities returned to control levels. As expected in PXR-CAR-KO mice, only marginal changes in PROD and BQ were observed.

#### ***Thyroid Key Event #2***

Key event #2 is defined as UDPGT induction leading to increased T<sub>4</sub> clearance and decreased plasma T<sub>4</sub>. In mice, the induction of the UDPGT-associated enzymes was not seen until ≥ 150 ppm. On cessation of fluopyram exposure, enzyme levels returned to normal. Additionally, PXR-CAR-KO mice showed no induction of UDPGT-associated Phase II enzymes after exposure to a dose that caused tumors for 28 days. Indications of increased T<sub>4</sub> clearance were observed in mice pre-exposed to fluopyram at 2000 ppm for up to 4 days prior to injection with radiolabeled T<sub>4</sub>. Further evidence for effects on T<sub>4</sub> levels was provided by several studies in which decreases in plasma T<sub>4</sub> were observed.

#### ***Thyroid Key Event #3***

Key event #3 is an increased level of TSH. A significant induction of *TSH β* in the pituitary gland of mice was observed at ≥ 600 ppm following fluopyram exposure for 28 days. Following cessation of treatment for 28 days, the levels of this transcript returned to normal. When *TSH β*

was examined in PXR-CAR-KO mice exposed to a carcinogenic concentration of fluopyram for 28 days, no change in transcript levels was seen, consistent with the lack of change in levels of UDPGT-associated enzymes. This finding shows that activation of CAR and PXR in the liver is obligatory to induce thyroid alterations following exposure to fluopyram.

#### ***Thyroid Key Event #4***

Key event #4 is an increase in thyroid follicular cell proliferation. A dose response increase in follicular cell proliferation was observed in mice exposed to fluopyram for 28 days starting from 150 ppm. However, PXR-CAR-KO mice exposed to the dose that caused tumors for 28 days showed no increase in follicular cell proliferation, demonstrating that activation of these receptors is required for altered thyroid effects.

#### ***Thyroid Key Event #5***

Key event #5 is an increase incidence of thyroid follicular cell hyperplasia seen after chronic administration of fluopyram to mice. The effect was observed at  $\geq 150$  ppm. Overall the key events for CAR/PXR-induced thyroid tumors were identified in fluopyram-exposed mice in a temporal and dose-responsive manner, with the final event of thyroid adenomas observed in male mice exposed to 750 ppm fluopyram. The absence of the key events in PXR-CAR-KO mice exposed to fluopyram provides strong support for CAR/PXR being the molecular initiating event for these tumors.

Overall the key events for CAR/PXR-induced thyroid tumors were identified in fluopyram-exposed mice in a temporal and dose-responsive manner, with the final event of thyroid adenomas observed in male mice exposed to 750 ppm fluopyram. The available mechanistic data provide strong support for CAR/PXR being the molecular initiating event for the thyroid tumors, and this is confirmed by the absence of the key events in PXR-CAR-KO mice exposed to fluopyram.

### **c. Strength, Consistency, and Specificity of Association of Tumor Response with Key Events**

In the available mechanistic data, the key events observed following exposure to fluopyram in both the mouse and rat occurred in a biologically relevant temporal sequence, were dose-dependent, and took place at dose levels that were at or below the doses that produced tumors.

***Liver tumor MOA***— Key events for fluopyram-induced rat liver tumor MOA were defined as: 1) activation of CAR/PXR, 2) increased hepatocellular proliferation, and 3) increased altered hepatic foci. All of these key events were identified, key events 1 and 2 were shown to be reversible, and all had a dose- and temporal-response.

***Thyroid tumor MOA***—The key events for fluopyram-induced mouse thyroid tumors were defined as: 1) activation of CAR/PXR, 2) induction of UDPGT (with an indication for increased T4 clearance and decreased plasma T4 levels), 3) increased TSH (*TSH*  $\beta$ ), 4) increased thyroid follicular cell proliferation, and 5) increased thyroid follicular cell hyperplasia. All of these key

events were identified, characterized in terms of dose and temporal response, and were shown to be reversible. As summarized in Table 27, key events 1 through 4 were absent in CAR-PXR-KO mice exposed to 750 ppm fluopyram the dose that caused tumors. This fact supports that the liver CAR /PXR activation is the initial molecular event causing the thyroid tumors in male mice.

**Table 27. Comparison of Fluopyram-Induced in Wild Type and PXR-CAR Knockout Male Mice Treated with 750 ppm Fluopyram in the Diet for 28 Days. <sup>a</sup>**

Key Events	Parameter	Wild-type	PXR-CAR-KO
1	Hepatic Phase I enzyme activity	↑PROD/BQ	No change
2	Hepatic Phase II enzyme activity	↑UDPGT-T <sub>4</sub> / UDPGT-Bil	No change
3	TSH β	↑	No change
4	Thyroid follicular cell proliferation	↑	No change

<sup>a</sup> Data were obtained from MRID 49005906.

#### d. Biological Plausibility and Coherence

Based on the available data, the proposed MOA for liver tumors in female rats and thyroid tumors in male mice after exposure to fluopyram is considered biologically plausible and coherent. The proposed key events are mostly consistent with the published literature information on the non-genotoxic mitogenic liver carcinogen. The common initial key event is the activation of rodent CAR and PXR, which produces a cascade of alterations in gene transcription that leads to increased hepatic metabolizing enzyme activities. In rats, the cascade of alterations led to hepatocellular proliferation, a critical event in the development of liver tumors. In male mice, the data showed that alterations in gene transcription led to increase in hepatic Phase II enzyme activities, which resulted in another series of events leading to thyroid follicular cell proliferation. The early key events of hepatic enzyme induction and cellular (liver and thyroid) proliferation, as well as the associative events of increased liver weight and hepatocellular hypertrophy, were largely reversible on cessation of treatment. Finally, the specificity of the MOA was demonstrated for fluopyram by using a genetically engineered PXR-CAR-KO mouse model. Fluopyram treated PXR-CAR-KO mice did not demonstrate PXR-CAR mediated hepatic or thyroid effects observed in wildtype mice. These data are consistent with the known MOA for phenobarbital and other PXR-CAR activators. Summaries of the analyses are presented in Tables 28 and 29.

**Table 28. Analysis of Fluopyram Rat Liver Tumor MOA.**

Key Event #1: CAR/PXR receptor activation; w/ associated CYP enzyme induction; w/ associated liver hypertrophy	
Key Event #2: Hepatocellular proliferation	
Key Event #3: Altered hepatic foci	
Key events 1 and 2 were shown to be reversible after cessation of fluopyram treatment.	
Strength of association	+
Consistency of association	+
Specificity of association	+
Dose response concordance	+

Temporal relationship	+
Coherence & plausibility	+ & +

+: Attribute present

**Table 29. Analysis of Fluopyram Mouse Thyroid Tumor MOA.**

Key Event #1: CAR/PXR receptor activation; w/ associated CYP enzyme induction  
 Key Event #2: Phase II liver enzyme induction and decrease T<sub>4</sub>  
 Key Event #3: Increased TSH  
 Key Event #4: Thyroid follicular cell proliferation  
 Key Event #5: Increased thyroid follicular cell proliferation  
 Key events 1, 2, 3, & 4 are reversible and they are not demonstrated in fluopyram treated PXR-CAR KO-mice.

Strength of	+
Consistency of association	+
Specificity of association	+
Dose response concordance	+
Temporal relationship	+
Coherence & plausibility	+ & +

+: Attribute present

#### e. Alternative Modes of Action for Liver Tumors

##### i. Mutagenic (DNA Reactivity)

There is no evidence from a comprehensive battery of genotoxicity assays of any mutagenic, clastogenic, aneugenic or DNA reactive activity of fluopyram. Based on the lack of genotoxicity in the available studies, a mutagenic mode of action is not supported.

##### ii. Cytotoxicity/Regenerative Cell Proliferation

No indication of hepatic damage or cytotoxicity was seen in the rat, the fluopyram-induced tumor do not appear to be acting through a cytotoxic MOA.

##### iii. Activation of the Peroxisome Proliferator-Activated Receptor Alpha (PPAR $\alpha$ )

An increase in *Cyp4a1* level is considered an indicator of PPAR $\alpha$  activation. Mechanistic studies examined liver *Cyp4a1* transcript level in fluopyram-treated male rats at different durations and dose levels. The results demonstrate mostly decreases in the levels of *Cyp4a1* transcript, as shown in Table 30. Therefore, the data do not support that this receptor plays a role in the key events leading to fluopyram-induced liver tumors.

**Table 30. Levels of *Cyp4a1* Transcript (% of Control) in Female Rats Treated with Fluopyram at Various Dose Levels and Durations.**

Dose (ppm)	30	75	150	600	1500
3 Days	-6%	+3%	-11%	-2%	-22%
7 Days	-3%	-15%	-12%	-12%	-37%
28 Days	-17%	-12%	-1%	-19%	-29%

The % of the control values were calculated from the data presented in Table 4.

#### iv. Activation of the Aryl Hydrocarbon Receptor (AhR)

Activators of AhR include a variety of polycyclic aromatic hydrocarbons, including the chlorinated dioxins and related halogenated aromatic hydrocarbons. Fluopyram is not a polycyclic aromatic hydrocarbon, as it does not have fused aromatic rings and contains elements other than hydrogen and carbon (nitrogen, oxygen, fluorine, and chlorine). Furthermore, it is not a chlorinated dioxin; however, it can be classified as a halogenated aromatic hydrocarbon molecule, because of the presence of fluorine and chlorine. *Cyp1a1* is used as a sensitive, although non-specific, indicator of AhR binding and activation; whereas, EROD is used to examine the activity of this enzyme (Hu *et al.*, 2007). Evaluation of the *Cyp1a1* gene expression levels and EROD liver enzymatic activity from fluopyram-exposed animals is summarized in Table 31.

**Table 31. *Cyp1a1* Gene Expression and EROD Enzyme Activity (Expressed as Fold Change, Compared to Control) in Female Wistar Rats Exposed to Fluopyram for up to 28 Days with a 28 Day Recovery High Dose Group.<sup>a</sup>**

Dose (ppm)	30	75	150	600	1500
<i>Cyp1a1</i>					
3 days	-1.2	1.1	1.7	7.3**	62.7**
7 days	1.4	1.8	4.6**	63.6**	222.9**
28 days	1.8	2.3	8.1**	100.9**	354.7**
Recovery					1.8
EROD					
7 days	1.1	0.9	1.0	1.1	1.6*
28 days	1.1	1.1	1.3**	1.3*	2.0**
Recovery					1.2

<sup>a</sup> Data were obtained from Tables 4 and 5 of this document.

\* Significantly different from control, p<0.05

\*\* Significantly different from control, p<0.01

Although there was a large increase in *Cyp1a1* transcript at the top dose of 1500 ppm (355-fold) at 28 days, this did not translate into a similar magnitude of increased enzyme activity, as EROD was only elevated 2-fold. These results show a discordant response with a large induction of *Cyp1a1* gene transcript and a very minimal increase in EROD enzyme activity in the rat following exposure to fluopyram. In addition, the registrant reported that in other studies examining EROD levels in rats exposed to the known AhR agonist  $\beta$ -naphthoflavone, EROD levels were increased 9.1-fold, while EROD levels in rats treated with fluopyram remain consistently lower than those seen for  $\beta$ -naphthoflavone – despite the highest dose of fluopyram

being more than 3 times higher (Table 32). In evaluating these data, it is unlikely that fluopyram is a significant agonist or activator of AhR. The minimal/mild effects seen on AhR markers here may be due to cross-talk subsequent to the significant induction of CAR and PXR.

**Table 32. EROD Enzyme Activity in Male and Female Wistar Rats Exposed to Dietary Fluopyram for 28 Days. Additionally, EROD Levels for the Positive Control,  $\beta$ -Naphthoflavone, Exposed via Oral Gavage at 75 mg/kg/day for 28 Days.<sup>a</sup>**

	Males				Females			
Dose (ppm)	50	400	3200		50	400	3200	
Dose (mg/kg/day)	4	31	254	$\beta$ -NF: 75	4.6	36.1	263	$\beta$ -NF: 75
EROD (fold change relative to controls)	-1.3	1.1	1.3	7.2	1.0	1.3	1.7	9.1

<sup>a</sup> Data were obtained from page 56 of MRID 49005912.

$\beta$ -NF =  $\beta$ -naphthoflavone

#### v. Activation of the Estrogen Receptor (ER)

Estrogens have a specific receptor-mediated MOA that results in cell proliferation in tissues, including the liver; however, fluopyram is not likely to have an estrogenic MOA based on its structural dissimilarity to estrogens. Most importantly, in the standard rat and mouse studies and the two-generation rat study, no evidence of interference in the estrogen system was observed, *e.g.*, decreased fertility in males, alterations in male and female reproductive organ weights, estrous cyclicity, or precocious vaginal opening. Additionally, fluopyram was not a developmental or reproductive toxicant. The available developmental toxicity studies in rats and rabbits and the multi-generation reproduction study in rats demonstrate no evidence of increased qualitative or quantitative susceptibility in developing or young animals following exposure during pre- or post-natal periods. From these data, it is concluded that fluopyram does not act as an agonist or activate the ER, and thus this receptor would not play a role in the development of fluopyram-induced tumors.

#### vi. Statins

The rodent profile for a statin-induced liver tumor MOA consists of an increase in liver HMG-CoA-reductase, *Cyp2b* and *Cyp4a* transcript levels, and hepatocellular proliferation and no change in serum cholesterol (Kocarek and Reddy, 1996; Cohen, 2010). No measure of HMG-CoA-reductase was conducted in the course of the fluopyram mechanistic work. Fluopyram was shown to increase serum cholesterol concentrations and mildly suppress *Cyp4a1* gene expression, both of which are opposite findings to those seen following exposure to known statins. These data demonstrate that fluopyram is not acting as a statin in rodents, and this MOA is unlikely to be responsible for fluopyram-induced tumors.

#### vii. Apoptosis

Standard regulatory studies do not typically provide quantitative data on the incidence of apoptosis in the liver or other tissues, and short-term mechanistic studies are not lengthy enough to induce altered hepatic foci for apoptosis evaluation. In addition, hepatocellular toxicity can

occur not only secondary to apoptosis but also from an increase in necrosis. Either or both of these findings can result in regenerative proliferation and, if sustained, in the development of liver tumors. With respect to fluopyram, no characterization of increased apoptosis was undertaken, because this was seen as only an associative event that does not inform the MOA of CAR/PXR activation (Cohen, 2010).

Finally a summary of possible alternative MOA for liver tumor is presented in Table 33.

<b>Table 33. Summary of Possible Alternative MOAs for Fluopyram-Induced Liver Tumor Formation.</b>					
	<b>DNA Reactivity (mutagenic)</b>	<b>AhR or PPAR<math>\alpha</math> activation</b>	<b>Cytotoxicity</b>	<b>Increased Apoptosis</b>	<b>Estrogen Statins</b>
Strength of Association	All genotoxicity assays negative	No increase in relevant gene transcripts	No changes in Relevant clinical chemistry parameters & no hepatic focal necrosis	No histopathological evidence. Difficult to determine microscopically	No histopathological evidence
Consistency of Association	–	–	–	–	–
Specificity of Association	–	–	–	–	–
Dose Response Concordance	No tumors in lower dose levels in rats	–	–	–	–
Temporal Relationship	Late onset tumors	–	–	–	–
Coherence & Plausibility	No Coherence Not Plausible	No Coherence Not Plausible	No Coherence Not Plausible	No Coherence Not Plausible	No Coherence Not Plausible

– Indicates attribute absent.

#### **f. Alternative Modes of Action for Thyroid Tumors**

The following alternative MOAs may be considered for thyroid tumor formation:

##### **i. DNA reactivity.**

Based on the available genotoxicity data, fluopyram was test negative in all genotoxicity assays. Therefore, alternative MOAs are not likely.

##### **ii. Inhibition of the active transport of inorganic iodide into the follicular cell (iodide pump).**

##### **iii. Inhibition of thyroid peroxidase that converts inorganic iodide into organic iodide. And couples iodinated tyrosyl moieties into thyroid hormone.**

**iv. Inhibition of thyroid hormone release into the blood.**

Alternative MOAs ii, iii, and iv are the direct thyroid gland effects and are not supported by the available data on fluopyram. The evidence of an increased T<sub>4</sub> clearance does not favor a direct effect of fluopyram on thyroid hormone biosynthesis and release to explain the decreased plasma T<sub>4</sub> levels induced by fluopyram. Moreover, mechanistic studies using hog thyroid microsomes showed fluopyram did not affect thyroid peroxidase (MRID 47372518). The findings in the KO mice as discussed below also confirm that fluopyram does not produce direct thyroid effects as postulated by these three alternative MOAs.

**v. Damage to thyroid follicular cells.**

Alternative MOA v, damage to thyroid follicular cells, is not supported by the available data, as histopathology data on the thyroid gland of mice and rats do not show overt cytotoxicity.

**vi. Inhibition of the conversion of T<sub>4</sub> to T<sub>3</sub> by 5'-monodeiodinase at various sites in the body.****vii. Enhancement of the metabolism and excretion of thyroid hormone by the liver, largely through the action of UDPGT.**

Alternative mechanism vi, inhibition of the conversion of T<sub>4</sub> to T<sub>3</sub> by 5'-monodeiodinase at various sites in the body, is unlikely because serum levels of T<sub>3</sub> were not changed in either mice or rats exposed to fluopyram. This indicates that MOA vii (enhancement of the metabolism and excretion of thyroid hormone by the liver, largely through induction of UDPGT enzymes) is the most likely mechanism. Fluopyram increased the activity of UDPGTs, which catabolize T<sub>4</sub>.

The results of PXR-CAR-KO mouse study demonstrate that fluopyram is a CAR-PXR activator and is not a direct thyroid toxicant. In this study, no induction of UGT-T<sub>4</sub>, no induction of *TSH*  $\beta$  transcripts, and no increased thyroid follicular cell proliferation was observed in KO mice following fluopyram treatment, whereas all these effects were observed in wild type mice. These data demonstrate that the interaction of fluopyram with hepatic CAR-PXR nuclear receptors is obligatory to induce hepatic Phase II and pituitary *TSH*  $\beta$  transcript and ultimately the pre-neoplastic thyroid effects. Table 34 summarizes the anticipated effects induced by PXR-CAR activator and direct thyroid toxicant. From all the possible MOAs that lead to thyroid hyperplasia and tumors, the available mechanistic data support the MOA involving hepatic PXR-CAR-nuclear receptor activation as the initial event in a cascade of events leading to thyroid tumors.



**Table 34. Anticipated Effects Induced by Direct Thyroid Toxicant and PXR-CAR Activator in Wild Type and PXR-CAR-KO Mice.<sup>a</sup>**

	PXR-CAR activator (indirect)		Direct thyroid toxicant	
	Wild Type	PXR-CAR-KO	Wild Type	PXR-CAR-KO
UDPGT-T <sub>4</sub>	↑	No change	No change	No change
T <sub>4</sub> clearance	↑	No change	No change	No change
Plasma T <sub>4</sub>	↓	No change	↓	↓
TSH $\beta$ transcripts	↑	No change	↑	↑
Thyroid follicular cell proliferation	↑	No change	↑	↑

<sup>a</sup> Data were obtained from page 65 of MRID 49005912.

### g. Data Limitations, Uncertainties, and Inconsistencies

#### *Lack of reliable TSH in the plasma*

The Registrant stated that during the course of the mechanistic program it was technically challenging to obtain reliable measurements for plasma levels of T<sub>4</sub> and TSH. Although a dose related decrease in plasma T<sub>4</sub> levels due to fluopyram treatment was demonstrated in two oral gavage studies using high dose levels (100 and 300 mg/kg/day i.e. ~750 and ~2000 ppm, respectively [MRID 49005901, MRID 49005909]), a clear dose-response relationship could not be established when treatment was via the diet at doses  $\leq$ 750 ppm. Several factors could have contributed to this observation, such as the fact that the hormone measurements were taken following dietary administration. With respect to plasma TSH measurements, it was difficult to identify alterations in TSH concentrations during the entire mechanistic program. This may be due to the fact that all thyroid-related effects induced by fluopyram were weak. Consequently, the Registrant used *TSH*  $\beta$  transcripts as a surrogate marker of plasma TSH and considers the increase in this biomarker as evidence for an increase in TSH.

### h. Human Relevance

The CARC considers both liver and thyroid tumors produced by fluopyram to be potentially relevant to humans.

## V. CONCLUSION AND CLASSIFICATION OF CARCINOGENIC POTENTIAL OF FLUOPYRAM

In 2009, fluopyram was classified as “**Likely to be Carcinogenic to Humans**” based on tumors in two species and two sexes: a treatment-related increase in thyroid follicular cell adenomas in high dose male mice and liver tumors in high dose female rats, with incidences exceeding that of the laboratory’s historical controls. There is no mutagenic concern for fluopyram. The data supporting the previously proposed MOA were insufficient. Subsequently, the registrant conducted a series of mechanistic studies to support the currently postulated MOA for liver and thyroid tumor formation.

The additional data submitted were considered adequate to establish the mode of action for the etiology of these tumors. Key events leading to the progression towards liver tumors included sequentially the activation of the CAR/PXR receptors resulting in induction of hepatic cytochrome P450 activity, hepatocellular proliferation, altered hepatic foci, and liver tumors, altered hepatic foci, and liver tumors. These key events were established based on dose-response and temporal concordance at appropriate doses. Key events leading to the progression towards thyroid tumors included sequentially the activation of the CAR/PXR receptors resulting in induction of hepatic cytochrome P450 activity, induction of phase II hepatic enzymes resulting in increased serum T4 clearance, increased TSH, increased thyroid cell proliferation, increased thyroid cell hyperplasia, and thyroid tumors. These key events were established based on dose-response and temporal concordance at appropriate doses. Alternate modes of actions were also considered, but were rejected based on the observed toxicity and biological function.

The CARC considers the hypothesized mode of action (CAR/PXR receptor mediated, mitogenic) for liver and thyroid tumors is adequately supported by the currently available data. These data have clearly identified the sequence of key events, and have demonstrated dose-response concordance, and temporal relationship to tumor types. The CARC classifies fluopyram as **“Not Likely to be Carcinogenic to Humans” at doses that do not induce cellular proliferation in the liver or thyroid glands.** This classification is based on convincing evidence that a non-genotoxic mode of action for liver tumors in rats and thyroid tumors in mice has been established and that the carcinogenic effects have been demonstrated as a result of a mode of action dependent on activation of the CAR/PXR receptors.

## VI. QUANTIFICATION OF CARCINOGENIC POTENTIAL

The CARC has determined that quantification of risk is not required. There is sufficient data to ascertain the mode of action of fluopyram. The chronic Reference Dose (RfD) is derived using the NOAEL of 1.2 mg/kg/day as the “point of departure” which is below the dose of 11 mg/kg/day that caused cell proliferation in the liver (i.e., a key event in tumor formation) and the subsequent liver tumors at a higher dose (89 mg/kg/day). Additionally, there is no concern for mutagenicity.

## Attachment A

### **List of repeat dose and liver/thyroid specific mechanistic MOA Studies**

- MRID 47372516 Kennel, P. (2004); AE C656948 - Exploratory 28-day toxicity study in the rat by dietary administration; Bayer S.A.S., Bayer CropScience, Sophia Antipolis, France; Report No.: SA 03332; Document No.: M-085510-01.
- MRID 47372517 Kennel, P. (2004); AE C656948 - Preliminary 28-day toxicity study in the mouse by dietary administration; Bayer S.A.S., Bayer CropScience, Sophia Antipolis, France; Report No.: SA 04013; Document No.: M-088486-01.
- MRID 47372441 Kennel, P. (2005); AE C656948 – 90-day toxicity study in the rat by dietary administration; Bayer S.A.S., Bayer CropScience, Sophia Antipolis, France; Report No.: SA 04048; Document No.: M-250946-01.
- MRID 47372442 Kennel, P. (2005); AE C656948 – 90-day toxicity study in the mouse by Dietary administration; Bayer S.A.S., Bayer CropScience, Sophia Antipolis, France; Report No.: SA 04052; Document No.: M-251136-01.
- MRID 47372450 Wason, S. (2007); Carcinogenicity study of AE C656948 in the C57BL/6J Mice by dietary administration; Bayer S.A.S., Bayer CropScience, Sophia Antipolis, France; Report No.: SA 05094; Document No.: M-295688-01.
- MRID 47372501 Kennel, P. (2008); Chronic toxicity and carcinogenicity study of AE C656948 in the Wistar rat by dietary administration; Bayer S.A.S., Bayer CropScience, Sophia Antipolis, France; Report No.: SA 04312; Document No.: M-298339-01.
- MRID 47372520 Blanck, M. (2008); Fluopyram (AE C656948) - 7-day mechanistic study in the female Wistar rat by dietary administration; Bayer S.A.S., Bayer CropScience, Sophia Antipolis, France; Report No.: SA 07323; Document No.: M-299274-01.
- MRID 47372523 Blanck, M. (2008); Phenobarbital - 7-day mechanistic study in the female Wistar Rat by gavage; Bayer S.A.S., Bayer CropScience, Sophia Antipolis, France; Report No.: SA 07325; Document No.: M-299491-01.
- MRID 47372518 Freyberger, A. (2008); AE C656948 (Fluopyram) - *In vitro* studies on the Potential interactions with thyroid peroxidase-catalyzed reactions; Bayer HealthCare AG, Wuppertal, Germany; Report No.: AT04481; Document No.: M-299276-01.
- MRID 47372519 Rouquié, D. (2008); AE C656948 - Mechanistic 14-day toxicity study in the mouse by dietary administration (hepatotoxicity and thyroid hormone investigations); Bayer S.A.S., Bayer CropScience, Sophia Antipolis, France; Report No.: SA 07215; Document No.: M-299522-01

- MRID 47372522 Rouquié, D. (2008); Phenobarbital - Mechanistic 14-day toxicity study in the mouse by oral gavage (hepatotoxicity and thyroid hormone investigations); Bayer S.A.S., Bayer CropScience, Sophia Antipolis, France; Report No.: SA 07326; Document No.: M-299521-01.
- MRID 49005909 Rouquié, D. (2011); Fluopyram – Mechanistic 3-day toxicity study in the mouse By oral gavage (thyroid hormone investigations); Bayer S.A.S., Bayer CropScience, Sophia Antipolis, France; Report No.: SA 10241; Document No.: M-408352-01.
- MRID 49005910 Tinwell, H. (2011); Fluopyram - Mechanistic investigations in the female rats by dietary administration for up to 7 days; Bayer S.A.S., Bayer CropScience, Sophia Antipolis, France; Report No.: SA 10240; Document No.: M-408029-01.
- MRID 49005901 Rouquié, D. (2012); Fluopyram – Mechanistic 3-day toxicity study in the mouse by oral gavage (thyroid hormone investigations); Bayer S.A.S., Bayer CropScience, Sophia Antipolis, France; Report No.: SA 10430; Document No.: M-426994-01.
- MRID 49005911 Rouquié, D. (2012); Fluopyram – Mechanistic 28-day toxicity study in the mouse by dietary administration (hepatotoxicity and thyroid hormone investigations); Bayer S.A.S., Bayer CropScience, Sophia Antipolis, France; Report No.: SA 11105; Document No.: M-428031-02.
- MRID 49005903 Elcombe, B. (2013); Fluopyram: Assessment of pentoxoresorufin-*o*-debenzylation and benzyloxyquinoline-*o*-debenzylation in 50 liver microsomal samples; CXR Biosciences Ltd., Dundee, United Kingdom; Report Number: CXR1284; Document No.: M-451628-01.
- MRID 49005902 Tinwell, H. (2012); Fluopyram - Mechanistic investigations in the liver of female rats following dietary administration; Bayer S.A.S., Bayer CropScience, Sophia Antipolis, France; Report No.: SA 11104; Document No.: M-427431-01.
- MRID 49005904 Blanck, O. (2012); Fluopyram – 28-day toxicity study for proliferation assessment in the C57BL/6J male mouse; Bayer S.A.S., Bayer CropScience, Sophia Antipolis, France; Report No.: SA 11123; Document No.: M-428303-01.
- MRID 49005905 Blanck, O. (2013); Fluopyram: 28-day toxicity study for thyroid cell proliferation in the C57BL/6J male mouse Bayer S.A.S., Bayer CropScience , Sophia Antipolis,France; Report Number: SA 12058; Document No.: M-449821-03.
- MRID 49005906 Blanck, O. (2013); 28-Day dietary study to determine potential role of the Nuclear pregnane X receptor (PXR) and constitutive androstane receptor (CAR) on the thyroid changes following the administration of fluopyram to male mice (C57BL/6J and PXR KO/CAR KO); Bayer S.A.S., Bayer CropScience,

Sophia Antipolis, France; Report Number: SA 12162; Document No.: M-449890-01

MRID 49005908 Elcombe, B. (2013); Fluopyram: Comparative assessment of enzyme and DNA synthesis induction in cultured rat hepatocytes; CXR Biosciences Ltd., Dundee, United Kingdom; Report Number: CXR1242; Document No.: M-450157-01

MRID 49005907 Elcombe, B. (2013); Fluopyram: Comparative assessment of enzyme and DNA synthesis induction in cultured human hepatocytes; CXR Biosciences Ltd., Dundee, United Kingdom; Report Number: CXR1241; Document No.: M-450156-01.

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